

A G E N D A
COUNTY BOARD, COUNTY OF KANE
TUESDAY, MAY 8, 2012
9:45 A.M.

1. CALL TO ORDER
2. ROLL CALL
3. MINUTES: April 10, 2012
4. NEW AND UNFINISHED BUSINESS

(A) PRESENTATIONS: None

(B) SPEAKERS (Agenda Items):

(C) ZONING PETITIONS

Petition #4280	BLACKBERRY TOWNSHIP
Petitioner:	LaSalle Bank & Trust #5200 WH
Location:	On the north side of Keslinger Road, Section 1, Blackberry Township (39W124 Keslinger Road) (11-01-400-013)
Proposed:	Rezoning from F-2 District - Agricultural related sales, service, processing, research, warehouse and marketing; with a Special Use for a landscaping business to PUD – Planned Unit Development for an Animal Hospital (3.06 acres)
2030 Plan:	Resource Management/Proposed Open Space
Objectors:	None
Recommendations:	<u>Staff:</u> Approve <u>Regional Planning Comm.:</u> Not Applicable <u>Zoning Board of Appeals Recommendation:</u> Approve with the following stipulations: 1. The height of the sign be reduced from 24' 10" to 20'. 2. The setback of the sign be increased from 10' from the right of way to 35' from the right of way. <u>Development Committee Recommendation:</u> Approve with the two stipulations from the Zoning Board of Appeals.

(D) RESOLUTIONS & ORDINANCES (*DRAFT)

.....CONSENT AGENDA

Administration -

- | | |
|-----------|--|
| #12 - 115 | Purchase NetApp Storage Area Network (SAN) Shelves |
| #12 - 116 | Data Backup System |

County Development -

- | | |
|-----------|---|
| #12 - 117 | Adopting the Draft 2040 Plan & Land Use Map |
| #12 - 118 | Approving a Housing and Community Development Annual Action
Plan for Program Year 2012 |
| #12 - 119 | 19-012 Mill Creek Native Prairie Maintenance |

Executive -

- | | |
|------------|--|
| #12 - 120 | Appointment to the Sheriff's Merit Commission (Elmer J. Weber) |
| #12 - 121* | Appointment of Superintendent of the Kane County Regional
Office of Education |

Finance/Budget -

- #12 - 122 Authorizing Exception to the County Financial Policies Regarding Personnel Hiring (Shelter Programs and Operations Manager)
- #12 - 123 Authorizing Exception to the County Financial Policies Regarding Personnel Hiring (Animal Control Warden)
- #12 - 124 Requesting the Maintenance or Reduction of Tax Extension Rates and Amount of Certain Levied Funds
- #12 - 125 Amending the 2012 Fiscal Year Budget, KDOT, Transit Sales Tax Bond Construction Fund
- #12 - 126 Amending the 2012 Fiscal Year Budget, KDOT, Recovery Zone Bond Construction Fund
- #12 - 127 Authorizing a Contract for a Construction Manager to Complete Phase I (Architectural Design and Building) of the Construction of an Elevator at the 3rd Street Courthouse
- #12 - 128 Kane County Court Services Supplemental Budget for Additional Staff
- #12 - 129 Amending the 2012 Health Department Budget – TB

Human Services -

- #12 - 130 Accepting Obligation Due IMRF (Krawczyk)
- #12 - 131 Accepting Obligation due IMRF (Marquez)
- #12 - 132 Establishing Holiday and After-Hours On Call Policy for Kane County Animal Control Department Employees
- #12 - 133 Retain Health Insurance Broker/Consultants (Global Group)

Judicial & Public Safety -

- #12 - 134 Kane County Court Services Drug and Alcohol Assessment and Education Provider List

Transportation -

- #12 - 135 Transit Sales Tax Bond Project Re-Appropriations
- #12 - 136 FY2012 Fund Transfers, Transit Sales Tax Bond
- #12 - 137 Approving an Intergovernmental Agreement between the County of Kane and the Village of Elburn for the Construction of Anderson Road Extension including a Bridge over the Union Pacific Railroad Tracks and Metra Coach Yard
- #12 - 138 Approving an Agreement for Regional Planning Liaison Services
- #12 - 139 Approving an Engineering Services Agreement with Transcore ITS, LLC for the Advanced Traffic Management System, Kane County Section No. 12-00389-01-EG
- #12 - 140 Approving a Phase III Engineering Services Agreement with Knight E/A, Inc. for Arterial Operations Center, Kane County Section No 12-00389-00-MG
- #12 - 141 Approving an Intergovernmental Agreement with the State of Illinois for Phase III Engineering Services for Arterial Operations Center, Kane County Section No. 12-00389-00-MG

- #12 - 142 Approving Contract for Rural Traffic Sign Upgrades, Kane County Section No. 11-00000-00-SG
- #12 - 143 Approving a "T" Intersection Access to Randall Road for the Dundee Township Park District
- #12 - 144 Approving Amendment No. 1 to a Phase II Engineering Services Agreement with Crawford, Murphy & Tilly, Inc. for Plank Road Realignment, Kane County Section No.10-00095-00-FP
- #12 - 145 Approving Contract for 2012 Pavement Preservation, Kane County Section No. 12-00000-03-GM
- #12 - 146 Approving Contract for the 2012 Pavement Crack Sealing, Kane County Section No. 12-00000-04-GM
- #12 - 147 Approving Contract for Corron Road over Branch of Ferson Creek, Kane County Section No. 08-00381-00-BR
- #12 - 148 Acquisition of Highway Right-of-Way, Anderson Road Extension, Kane County Section No. 01-00274-00-BR, Parcel No. 0005PE
- #12 - 149 Authorizing Acquisition of Highway Right of Way, Burlington Road (County Highway No. 2) at Illinois Route 47, Kane County Section No. 07-00357-00-CH (4 Parcels)
- #12 - 150 Authorizing Acquisition of Highway Right of Way, Fabyan Parkway (County Highway No. 8) from Nagel Boulevard to Illinois Route 25, Kane County Section No. 10-00404-00-CH (5 Parcels)

.....END OF CONSENT AGENDA

(E) APPOINTMENTS: None

- 5. Proposed Amendment to Kane County Code – Sec. 28-48 Standing Committees
- 6. EXECUTIVE SESSION
- 7. Release of Closed Session Minutes
- 8. SPEAKERS (Non-Agenda Items):
- 9. ADJOURNMENT to Tuesday, June 12, at 9:45 a.m.

STATE OF ILLINOIS }
 }
COUNTY OF KANE }

PETITION NO. 4280
ORDINANCE AMENDING THE
ZONING ORDINANCE OF KANE COUNTY, ILLINOIS

BE IT ORDAINED by the County Board of Kane County, Illinois, as follows:

- 1) That the following described property is hereby rezoned and reclassified from F-2 District – Agricultural related sales, service, processing, research, warehouse and marketing with a Special Use for a landscaping business to PUD – Planned Unit Development for an animal hospital:

That part of the Southeast 1/4 of Section 1 and part of the Northeast ¼ of Section 12, Township 39 North, Range 7 East of the 3rd Principal Meridian, described as follows: Commencing at the Northwest corner of said Southeast ¼; thence Easterly along the North line of said Southeast 1/4 , 1695.80 feet; thence Southerly parallel with the West line of said Southeast 1/4 2094.15 feet to the Southerly line of the Right of Way of the Chicago and Northwestern Transportation Company; thence continuing Southerly parallel with said West line 554.78 feet to the centerline of Keslinger Road; thence Easterly along said centerline 50.02 feet to the point of beginning; thence North 00°48'10" East parallel with said West line, 498.07 feet to a point 50.00 feet perpendicularly distant from said Southerly Railroad Right of Way line; thence South 84°04'05" East parallel with said Southerly Right of Way line 312.05 feet; thence South 01°22'37" East 463.02 feet to the South line of said Southeast 1/4; thence North 89°44'04" West along said South line 125.24 feet to a point on the centerline of said Keslinger Road; thence South 89°01'20" along said centerline 203.27 feet to the point of beginning (excepting the South 60.00 feet), in Blackberry Township, Kane County, Illinois.
- 2) That the rezoning is granted be granted with the following stipulations:
 - 1) The height of the sign be reduced from 24' 10" to 20'.
 - 2) The setback of the sign be increased from 10' from the right of way to 35' from the right of way.
- 3) That the zoning maps of Kane County, Illinois, be amended accordingly.
- 4) This ordinance shall be in full force and effect from and after its passage and approved as provided by Law.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

Keslinger Real Estate, LLC

BRUNDIGE RD.

U.P. R.R.

KESLINGER RD.

4L KESLINGER RD.

LEA DR.

LEA DR.

BLACKBERRY WAY

POURCE CLOVER DR.

Mill Creek

BARTLEY RD.

KESLINGER RD.

41

PL. ROAD 11

EAST CURTIS SQ.

WEST CURTIS SQ.

SILLEY DR.

BRUNDIGE DR.



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-115

☒ Resolution
☐ Ordinance

Name NetApp Storage Area Network (SAN) Shelves

Presenter/Sponsor: Roger Fahnestock

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$258,947.50

If not budgeted,
explain funding
source

SUMMARY: The Information Technologies Department is responsible for storage and retrieval of electronic information and computing. As the demand and requirement for storage space continues to grow, there are ongoing requirements for the County to increase storage capacity through an expansion of our current hardware platform.

The County maintains two NetApp storage solutions to provide optimal disk storage space. These units have the ability to expand as the need arises by purchasing additional disk shelves. Purchasing received the lowest bid from Datalink Technologies, Inc. (RFP 23-012) for up to five 24 disk shelf units at a price not to exceed \$51,789.55 per shelf. Information Technologies is requesting permission to purchase not less than one nor more than five shelves from Datalink at a price not to exceed the bid price. This would apply to either "new" or "used" shelves if they become available at a lower price, this will give Information Technologies the flexibility to purchase units lower than the bid price. This purchase is to be made in fiscal years 2012 and 2013. GIS will provide \$28,000 towards the purchase.

Attachments: Abstract of Bids (23-012 NetApp SAN Shelves)

Detailed information available from :

Staff Name: William Lake

Phone: 630-232-5976

Resolution/Ordinance Tracking:

Assigned Committee: Administration Passed Sent to: Executive on: 04/19/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

Hansen, Jim

From: Dejan Perovic [dperovic@datalink.com]
Sent: Monday, January 03, 2011 2:01 PM
To: Hansen, Jim
Subject: RE: Contractor Disclosure

Jim –

Here is the complete list from our 2009 annual report – this is an audited annual report as well:

Name and Address of Beneficial Owner Number(1) Percent

Beneficial Owners of More Than 5%

Diker Management, LLC(2)	1,283,741 9.7%
745 Fifth Avenue, Suite 1409 New York, New York 10151	
Wellington Trust Company, NA(3)	844,028 6.4%
c/o Wellington Management Company, LLP 75 State Street Boston, MA 02109	
Mercury Management, LLC(4)	758,040 5.7%
501 Park Lake Drive McKinney, Texas 75070	
Lodi Vercelli	760,000 5.1%
945 John Street Wilmington, IL 60841	

Directors and Executive Officers

Greg R. Meland	2,717,926 20.6%
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Hope this helps. Let me know if you need any more information. Here is the link for the full download of the annual report:

<http://phx.corporate-ir.net/External.File?item=UGFyZW50SUQ9Mzc2Nzg2fENoaWxkSUQ9Mzc1NDU0fFR5cGU9MQ==&t=1>

Thanks! Dejan

Dejan Perovic | Account Executive | 312.972.3254 | dperovic@datalink.com

From: Hansen, Jim [<mailto:HansenJim@co.kane.il.us>]
Sent: Monday, January 03, 2011 1:29 PM
To: Dejan Perovic
Subject: RE: Contractor Disclosure

No, just the individual.

Jim Hansen

Assistant Director of Purchasing
Kane County, IL
Phone: 630-444-1071
Fax: 630-208-5107
e-mail: hansenjim@co.kane.il.us

From: Dejan Perovic [<mailto:dperovic@datalink.com>]
Sent: Monday, January 03, 2011 1:27 PM

To: Hansen, Jim
Subject: RE: Contractor Disclosure

I will get that for you Sir! There is just one person that is above 5% ownership. There might be an institutional investor (mutual fund) as well do you need their info as well?

Thanks! D

Dejan Perovic | Account Executive | 312.972.3254 | dperovic@datalink.com

From: Hansen, Jim [<mailto:HansenJim@co.kane.il.us>]
Sent: Monday, January 03, 2011 1:25 PM
To: Dejan Perovic
Subject: RE: Contractor Disclosure

Dejan,
I also need a list of your company's principal owners that hold a share greater than 5%.
Thanks,

Jim Hansen
Assistant Director of Purchasing
Kane County, IL
Phone: 630-444-1071
Fax: 630-208-5107
e-mail: hansenjim@co.kane.il.us

From: Dejan Perovic [<mailto:dperovic@datalink.com>]
Sent: Monday, January 03, 2011 12:47 PM
To: Hansen, Jim
Subject: RE: Contractor Disclosure

Jim –

Is this for Kane County board members only? If so, I believe we did not make any donations. I am the account executive for Kane County and I have not made any contributions to any party at Kane County.

Thanks!
Dejan

Dejan Perovic | Account Executive | 312.972.3254 | dperovic@datalink.com

From: Hansen, Jim [<mailto:HansenJim@co.kane.il.us>]
Sent: Wednesday, December 22, 2010 1:27 PM
To: Dejan Perovic
Subject: Contractor Disclosure

Dejan,
The County Board recently passed a Resolution amending its Ethics Ordinance. All contractors seeking or who are part a contract(s) greater than \$15,000 shall now disclose in writing all cumulative campaign contributions made in previous 12 months to any current county-wide elected officer. The Contractor shall also provide name, address and percentage of ownership interest of each individual or entity having a legal or a beneficial interest of more than five percent (5%) in the company. This information shall be provided to the Purchasing Department since your company is over the \$15,000 threshold. I have attached the current Contractor Disclosure statement for your reference.
Thank you,

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 115

PURCHASE NETAPP STORAGE AREA NETWORK (SAN) SHELVES

WHEREAS, the Kane County Information Technologies Department is responsible for proper maintenance, support and operation of storage systems for electronic information and computing; and

WHEREAS, the demand and requirement for data storage continues to grow. Our current NetApp Storage Area Network (SAN) system may be expanded to accommodate growing demand through the purchase of additional disk drive shelves; and

WHEREAS, the County has obtained competitive pricing from Datalink, Lombard, IL, being the lowest price and most responsible vendor (RFP 23-012).

NOW, THEREFORE, BE IT RESOLVED that the Information Technologies Department be authorized to purchase additional shelves of 48 terabytes each at a price not to exceed Fifty One Thousand Seven Hundred Eighty Nine Dollars and Fifty Five Cents (\$51,789.55) per shelf. Authorization will be to purchase up to a quantity of five additional "New or Used" shelves at the bid price or lower. GIS Department has budgeted \$28,000.00 for this purchase, the remaining funds will come from Information Technologies Department's budget.

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
001-060-060-70000	Computers	Original	Yes	
101-060-070-70000	Computers	Original	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5SAN



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-116

- ☒ Resolution
☐ Ordinance

Name DATA BACKUP SYSTEM

Presenter/Sponsor: Roger Fahnestock

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$72,630.90

If not budgeted,
explain funding
source

SUMMARY: The IT Department uses tape for its backup media. The Tape Library (SL500) is budgeted to be replaced this fiscal year. Tape has many limitations:

1. It is a slow process to backup from disk to tape
2. Tape recovery is usually only 70% reliable and may not even be recoverable
3. Tape shelf life is less than 8 years

It is in the County's best interest to migrate from the tape to a disk backup system. Information Technologies has reviewed several backup solutions and vendors. The recommendation is to purchase Unitrends software and hardware from CCC Technologies, Inc. as the lowest responsible bidder (RFP 26-012) for \$72,630.90, which includes three years maintenance. The cost will be shared between GIS (\$38,500) and ITD (\$34,130.90).

Attachments: Abstract of bids 26-012

Detailed information available from :

Staff Name: William Lake

Phone: 630-232-5976

Resolution/Ordinance Tracking:

Assigned Committee: Administration Passed Sent to: Executive on: 04/19/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012



CCC Technologies, Inc.
"The Convergence Company."

700 Nicholas Blvd. Elk Grove Village, IL 60007
T 847.427.2330 | F 847.427.2370
www.ccctechnologies.com

April 17, 2012

Mr. Jim Hansen
Assistant Director of Purchasing
County of Kane
719 South Batavia Avenue
Geneva, IL 60134

Dear Mr. Hansen,

Below you will find CCC Technologies response to Kane County's Contractor Disclosure:

1. **CCC Technologies has not made any campaign contributions to any current officer or countrywide elected officer or official in Kane County in the past twelve months.**
2.
 - a. **CCC Technologies ownership interest is as follows:**
 - **Jim Poull / Vice President of Sales / 50% ownership in CCC Technologies**
880 W. Glencoe, Palatine, IL 60067
 - **Juan Rodriguez / Vice President of Operations / 50% ownership in CCC Technologies / 4415 W. Altgeld Street, Chicago, IL 60639**
 - b. **Contact information for individuals who will be having contact with County employees in relation to the contract or bid:**
 - i. **Elizabeth Svoboda - Senior Account Manager - esvoboda@CCC1.com - 847-427-2413.**
 - ii. **Nicole Nagel - Contracts Administrator - nnagel@CCC1.com - 847-427-2373**
 - iii. **Eryn Lopez - Accounts Receivable Administrator - elopez@CCC1.com - 847-427-2416**
 - c. **Understood.**
 - d. **Understood.**
3. **Understood.**
4. **Understood.**
5. **Understood.**

If you require any additional information about CCC Technologies, please do not hesitate to contact me.

Thank you,

Elizabeth Svoboda
Senior Account Manager
847-427-2413

IP Telephony

Contact Centers

Mobility

Services

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 116

DATA BACKUP SYSTEM

WHEREAS, the Kane County Information Technologies Department is responsible for proper maintenance, support, and operation of backup systems for electronic information and computing; and

WHEREAS, the Kane County Information Technologies Department must replace the aging data backup infrastructure which has outgrown the ability to meet the expanding computing needs of the county; and

WHEREAS, the Information Technologies Department has obtained competitive pricing from CCC Technologies, Inc., being the lowest responsible bidder for a Unitrends data backup system.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Information Technologies Department purchase a Unitrends data backup and recovery system for Seventy Two Thousand Six Hundred Thirty Dollars and Ninety Cents (\$72,630.90) to be paid from Information Technologies Department and GIS fund line items as follows:.

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
001.060.060.70030	Computer Software Licensing	Yes	Yes	
101.060.070.70030	Computer Software Licensing	Yes	Yes	
001.060.060.50340	Software Licensing	Yes	Yes	
101.060.070.50340	Software Licensing	Yes	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-117

- ☒ Resolution
☐ Ordinance

Name A RESOLUTION ADOPTING
THE KANE COUNTY 2040 PLAN

Presenter/Sponsor: Mark D. VanKerkhoff

Budget Information: Was this item budgeted? ☐ Yes ☐ No ☒ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: The Statutes of the State of Illinois provide for counties to engage in planning and the adoption of plans for the coordinated, adjusted and harmonious development of the county. The 2040 Plan has been prepared by the Regional Planning Commission pursuant to the authority of the Regional Planning Act, which encourages "counties to protect the land, air, water, natural resources and environment of the State and to encourage the use of such resources in a manner which is socially and economically desirable through the adoption of join or compatible Local Land Resource Management Plans" and furthers "the policy of the State in land resource management";

The Kane County 2040 Plan has been developed under the direction of the Kane County Regional Planning Commission with the overarching theme of "Healthy People, Healthy Living, and Healthy Communities", and this theme recognizes and emphasizes the connection between the most important resource in Kane County – its people – with the opportunities for and barriers to healthy living created by the built and natural environment, and how together they shape the overall health of communities.

Kane County has placed the highest priority for improving the quality of life for all its residents by establishing the Quality of Kane initiative. The Quality of Kane initiative reflects the full integration of health, transportation and land use for planning a

Attachments: Memo from Regional Planning Commission Chairman Glenn Morgenroth,
Resolution Adopting the Kane County 2040 Plan

Detailed information available from :

Staff Name: Mark D. VanKerkhoff

Phone: (630) 232-3451

Resolution/Ordinance Tracking:

Assigned Committee: Other Passed Sent to: Development on: 04/04/2012

If Other, specify: Regional Planning Commission

Committee Remarks: Regional Planning Commission Unanimously recommended the draft 2040 Plan and Map dated April 4, 2012, to the County Board for adoption.

Next Committee: Development Passed Sent to: Executive on: 04/17/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 – 117

ADOPTING THE KANE COUNTY 2040 PLAN

WHEREAS, 55 ILCS 5/5-14001 and 50 ILCS 805/1 provide for counties to engage in planning for the coordinated, adjusted and harmonious development of said region and prepare and adopt land resource management plans; and

WHEREAS, the 2040 Plan has been prepared by the Regional Planning Commission pursuant to the authority of the Regional Planning Act (55ILCS 5/5-14001 et seq.), which encourages “counties to protect the land, air, water, natural resources and environment of the State and to encourage the use of such resources in a manner which is socially and economically desirable through the adoption of join or compatible Local Land Resource Management Plans” and furthers “the policy of the State in land resource management”; and

WHEREAS, the Kane County 2040 Plan has been developed under the direction of the Kane County Regional Planning Commission with the overarching theme of “Healthy People, Healthy Living, and Healthy Communities”, and this theme recognizes and emphasizes the connection between the most important resource in Kane County – its people – with the opportunities for and barriers to healthy living created by the built and natural environment, and how together they shape the overall health of communities; and

WHEREAS, Kane County has placed the highest priority for improving the quality of life for all its residents by establishing the Quality of Kane initiative; and

WHEREAS, the Quality of Kane initiative reflects the full integration of health, transportation and land use for planning and implementation of policies and programs; and

WHEREAS, the Kane County Board has adopted the Fit Kids 2020 Plan, the 2012-2016 Community Health Improvement Plan, and the 2040 Transportation Plan with its three components, the 2040 Recommended Roadway Plan, the 2040 Bicycle & Pedestrian Plan, and the 2040 Transit Plan; and

WHEREAS, the before mentioned plans are all fully integrated and incorporated into the 2040 Plan, which serves as the guiding master plan for Kane County and its 30 municipalities; and

WHEREAS, the development of the 2040 Plan included participation and review by the Chicago Metropolitan Agency for Planning, Kane County municipalities, departments and agencies of the county and state, interest groups and individual residents and considered comments received at two public forums held on November 10th and 15th, 2011, and a public hearing held on March 7, 2012.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Kane County, Illinois, 2040 Plan as presented to this meeting be and is hereby adopted as the official land use and resource management plan for Kane County pursuant to the provisions of 55 ILCS 5/5-14001 et seq. And 50 ILCS 805/1. et seq..

NOW, THEREFORE, BE IT FURTHER RESOLVED by the Kane County Board that that the Kane County Board directs the Kane County Development Department to prepare this adopted 2040 Plan for publication and distribution to the public and units of government.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5 Kane2040Plan



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-118

- ☒ Resolution
☐ Ordinance

Name Resolution: Approving a Housing and Community Development Annual Action Plan for Program Year 2012

Presenter/Sponsor:

Scott Berger, Director, Office of Community Reinvestment

Budget Information: Was this item budgeted? ☐ Yes ☐ No ☒ N/A

Appropriation Amount: N/A

If not budgeted,
explain funding
source

U.S. Department of of Housing and Urban Development

SUMMARY: In order to apply for and receive federal housing and community development funding (including CDBG and HOME funds), the county must prepare and submit to the U.S. Department of Housing and Urban Development (HUD) a Housing and Community Development Plan on an annual basis. The plan identifies high-priority housing and community development needs, and includes specific projects and activities that will be undertaken with CDBG and HOME funds. Attached is an executive summary of the plan for Program Year 2012 (June 1, 2012 – May 31, 2013). It includes a summary of the programs/projects that have been recommended by the Community Development Commission and the HOME Commission.

Attachments:

Resolution: Approving a Housing and Community Development Annual Action Plan for 2012
Executive Summary of the Housing and Community Development Annual Action Plan

Detailed information available from :

Staff Name: Scott Berger

Phone: 630-208-5351

Resolution/Ordinance Tracking:

Assigned Committee: Development Passed Sent to: Executive on: 05/17/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

Table 1
KANE COUNTY COMMUNITY DEVELOPMENT PROGRAM
BUDGET FOR PROGRAM YEAR 2012

Priority Needs Category	Program/Project Name	Program/Project Description	Com. Dev. Funding
Affordable Housing	Owner-Occupied Housing Rehabilitation Program	Provide housing rehabilitation assistance to income-eligible homeowners in the Kane County Com. Dev. Program Area.	\$250,000
	Homeownership Education and Counseling	Provide homeownership education and counseling services to prospective homebuyers and homeowners facing mortgage default and foreclosure.	\$25,000
Infrastructure	2012 Street Re-Surfacing & Sidewalk (Carpentersville)	Repair and replace paving on several streets and sidewalks	\$242,044
	N. 15 th St. Reconstruction Phase 1 (St. Charles)	Street resurfacing and upgrade of storm and sanitary sewer systems	\$100,000
	Offut & Lovedale Lane Roadway Improvements (North Aurora)	Drainage improvements and the addition of curb, gutter, and sidewalk	\$170,000
Homelessness	Lazarus House Emergency Shelter	Provide operating support for emergency homeless shelter and transitional housing program that serves central Kane County.	\$42,400
	PADS Emergency Shelter (Aurora)	Provide operating support for emergency homeless shelter that serves southern Kane County.	\$33,600
	PADS Emergency Shelter (Elgin)	Provide operating support for emergency homeless shelter that serves northern Kane County.	\$30,000
	Homeless Management Information Systems		\$21,800
Planning and Capacity-Building	Program Administration and Planning	Provide funds to cover expenses associated with administration of the Community Development Program, as well as certain planning activities.	\$193,625
GRAND TOTAL			\$1,108,469

KANE – ELGIN HOME CONSORTIUM
BUDGET RECOMMENDATIONS FOR PROGRAM YEAR 2011

Priority Needs Category	Program Name	Program Description	HOME Funding
Affordable Housing	Owner-Occupied Housing Rehabilitation Program	Provide housing rehabilitation assistance to income-eligible homeowners in Kane-Elgin Consortium Area.	\$270,752
	First Time Homebuyer Program	Provide downpayment and closing-cost assistance to income-eligible first-time homebuyers in the Kane-Elgin Consortium Area.	\$225,000
Planning and Capacity-Building	Administration	Provide funds to cover expenses associated with administration of the HOME Program.	\$55,083
GRAND TOTAL			\$550,835

Hansen, Jim

From: Liz E <lize@lazarushouseonline.com>
Sent: Friday, February 24, 2012 1:41 PM
To: Hansen, Jim
Cc: 'Jane Creen'
Subject: Disclosure statement.

Hi Jim,

Per your instructions please note Lazarus House has made no campaign contributions in the previous 12 months to any current county-wide elected officer. Lazarus House is a 510c3 charitable not-for-profit organization. As such, it has no single owners.

If you need anything further, please feel free to contact me.

Most sincerely,

Liz Eakins

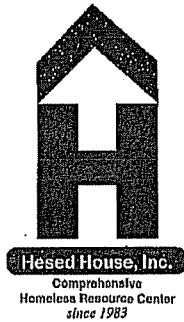
Lazarus House Executive Director

214 Walnut Street

St. Charles, IL 60174

Phone: 630-587-2144

Fax: 630-587-2540



HESED HOUSE
Comprehensive Homeless Resource Center

Ending Homelessness, One Person – One Family – at a Time...

659 South River Street
Aurora, IL 60506-5551

(630) 897-2165 Phone
(630) 801-9759 Fax

info@hesedhouse.org
www.hesedhouse.org

April 4, 2012

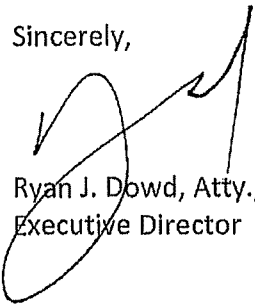
Attention: Mr. Jim Hansen
Assistant Director of Purchasing
Kane County, Illinois

Per your request let it be noted that Public Action to Deliver Shelter, Inc. dba Hesed House, Inc. is a not-for-profit 501c (3) organization with no owners.

Public Action to Deliver Shelter, Inc. does not donate to any elected official County wide.

Please feel free to contact me if you are in need of any other further information.

Sincerely,



Ryan J. Dowd, Atty., MPA
Executive Director

Hansen, Jim

From: bmittchell@padsofelgin.org
Sent: Tuesday, April 24, 2012 11:57 AM
To: Hansen, Jim
Subject: On behalf of Dennis Hewitt

4/24/2012

Jim Hansen
Kane County Government

Dear Mr. Hansen,

As per our conversation this morning I am writing to state that PADS of Elgin, Inc. is a 501c3 social service organization and as such has no owners. We are governed by a board of community directors. Furthermore, the corporation itself has made no contributions to any county political campaigns at this time or in the past.

I trust that this will satisfy the requirements of the related ordinance. Please advise if any additional information is necessary.

Regards,

Dennis Hewitt

Executive Director, PADS of Elgin, Inc.

Brittany Ann Mitchell
Special Projects Coordinator, PADS of Elgin, Inc.
1730 Berkley St.
Elgin, IL 60123
www.padsofelgin.org
bmitchell@padsofelgin.org
Phone: 847.608.9744
Fax: 847.608.9746

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 118

**APPROVING A HOUSING AND COMMUNITY DEVELOPMENT
ANNUAL ACTION PLAN FOR PROGRAM YEAR 2012**

WHEREAS, the Kane County Board adopted Resolution No. 97-344, which established the Kane County Community Development Block Grant (CDBG) Program, and Resolution No. 04-06, which established the Kane-Elgin HOME Consortium; and

WHEREAS, said resolutions also established commissions which are charged with the responsibility of recommending to the Kane County Board policies, procedures, and funding priorities for said programs, consistent with applicable statutory and regulatory requirements; and

WHEREAS, acting on recommendations from the commissions, the Kane County Board adopted a Housing and Community Development Consolidated Plan for Program Years 2010-2014, which identified priority needs and strategies to be addressed with Federal funds over a five-year period; and

WHEREAS, the County prepared a draft Action Plan for Program Year 2012, including budgets for the use of CDBG and HOME funds; and

WHEREAS, said budgets include specific programs and projects that are consistent with the priority needs and strategies identified in the Consolidated Plan for Program Years 2010-2014; and

WHEREAS, a summary of the plan was published and made available for public review and comment for a period of thirty days as required by the County's Citizen Participation Plan.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Housing and Community Development Annual Action Plan for Program Year 2012, including activities to be undertaken with CDBG and HOME funds, are hereby approved.

BE IT FURTHER RESOLVED that the Kane County Board Chairman is authorized to sign agreements necessary to complete the activities described in the plan, and to execute certifications and other documents required by the U.S. Department of Housing and Urban Development.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes

No

Voice

Abstentions



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-119

- ☒ Resolution
☐ Ordinance

Name

Mill Creek Native Prairie Maintenance

Presenter/Sponsor:

John Lochbaum/Tim Harbaugh

Budget Information: Was this item budgeted?

☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$32,900

If not budgeted,
explain funding
source

SUMMARY:

The Kane County Board is the fiscal agent for the Mill Creek Special Service Area (MCSSA). The Mill Creek Special Service Area was created as part of the long term maintenance and service program for the select areas within the Mill Creek Subdivision. The responsibilities of the MCSSA include, among other things, the prairie maintenance of select areas in the Mill Creek Subdivision for the MCSSA.

Bids have been solicited and received for the Native Prairie Maintenance of selected areas at the Mill Creek Subdivision for the Mill Creek Special Service Area District in accordance with the County's Procurement Ordinance. Natural Resource Management, Inc is the lowest responsible bidder for providing landscape maintenance of selected areas in the Mill Creek Subdivision for the MCSSA.

No general funds will be used for the contract. Services will be paid from the Special Service Area Fund 520.690.730.55000. for the MCSSA.

Attachments:

Resolution

Detailed information available from :

Staff Name: John Lochbaum/Tim Harbaugh

Phone: 630 208 6580

Resolution/Ordinance Tracking:

Assigned Committee: Development

Passed

Sent to: Executive

on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive

Passed

Sent to: County Board

on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee:

Sent to:

on:

Committee Remarks:

County Board Date:

05/08/2012

NRM

Natural Resource Management, Inc.
P.O. Box 702, Beecher, IL 60401
708/ 935-2100 Fax 708/ 946-2865
dshortnrm@hotmail.com

14 April 2012

Mr. Jim Hansen
Assistant Director of Purchasing
Kane County, IL

Dear Mr. Hansen:

Natural Resource Management (NRM) nor its owner, Doug Short, President, has made any monetary or other types of donations to any political campaign or politician in Kane County, Illinois.

The sole owner of Natural Resource Management:
Doug Short, President
PO Box 702
Beecher, IL 60401
708.935.2100
dshortnrm@hotmail.com

If you have any questions, I can be reached at 708.935.2100.

Sincerely,

Doug Short, President

Doug Short, President
Natural Resource Management, Inc.

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 119

19-012 Mill Creek Native Prairie Maintenance

WHEREAS, bids have been solicited and received for the Mill Creek Native Prairie Maintenance of selected areas of the Mill Creek subdivision for the Mill Creek Special Service Area District; and

WHEREAS, Natural Resource Management, Inc of Beecher Illinois, was the lowest responsible bidder for providing maintenance at a cost of \$32,920; and

WHEREAS, adequate funds have been budgeted and are available to complete this work.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the chair thereof is authorized to enter into a contract with Natural Resource Management of Beecher Illinois for the Mill Creek Prairie Maintenance for the selected areas of the Mill creek Subdivision for the Mill Creek Special Service Area District at a cost of thirty two thousand nine hundred twenty dollars (\$32,920). Funding shall be paid from the Special Service Area Fund 520.690.730.55000.

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
520.690.730.55000	Contractual Expense	yes	yes	n/a

Passed by the Kane County Board on May 8, 2012

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5Native rairie



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-120

- ☒ Resolution
☐ Ordinance

Name Appointment to the Sheriff's Merit Commission

Presenter/Sponsor: Sheriff Perez

Budget Information: Was this item budgeted? ☐ Yes ☐ No ☒ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: The Sheriff has recommended that Elmer Weber be reappointed to a six year term on the Sheriff's Merit Commission commencing April 2012 and expiring April 2018.

Attachments:

Detailed information available from :

Staff Name: Sheriff Perez

Phone:

Resolution/Ordinance Tracking:

Assigned Committee: Executive

Passed

Sent to: County Board

on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board

Sent to:

on:

If Other, specify:

Committee Remarks:

Next Committee:

Sent to:

on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 120

**APPOINTMENT TO THE SHERIFF'S MERIT COMMISSION
(Elmer J. Weber)**

WHEREAS, the Sheriff's Merit Commission was established pursuant to Ordinance; and

WHEREAS, 55 ILCS 5/3-8003 provides that appointments to the Merit Commission be made by the Sheriff with the approval of a majority of the members of the County Board; and

WHEREAS, the Sheriff of Kane County has recommended that Elmer J. Weber be reappointed to a six year term commencing April 2012 and expiring April 2018.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that Elmer J. Weber be reappointed to the Sheriff's Merit Commission for a six year term expiring April 2018.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5ReAppt.MeritComm



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-121

- ☒ Resolution
☐ Ordinance

Name Appointment of Superintendent of the Kane County Regional Office of Education

Presenter/Sponsor: Karen McConnaughay

Budget Information: Was this item budgeted? ☐ Yes ☐ No ☒ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: A vacancy exists due to the retirement of Superintendent Johnson effective March 1, 2012. An interim appointment ito fill the unexpired term s being made until the next general election.

Attachments:

Detailed information available from :

Staff Name:

Phone:

Resolution/Ordinance Tracking:

Assigned Committee: Executive

Passed

Sent to: County Board

on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee:

Sent to:

on:

If Other, specify:

Committee Remarks:

Next Committee:

Sent to:

on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

DRAFT

COUNTY OF KANE

RESOLUTION NO. 12 - 121

**APPOINTMENT OF SUPERINTENDENT OF THE KANE COUNTY
REGIONAL OFFICE OF EDUCATION
(_____)**

WHEREAS, a vacancy exists in the office of the Kane County Regional Office of Education due to the retirement of Kane County Regional Office of Education Superintendent Douglas Johnson effective March 1, 2012; and

WHEREAS, the Chairman of the Kane County Board is authorized, pursuant to 105 ILCS 5/3A-6(c), and with the approval of the County Board, to fill a vacancy in the Kane County Regional Office of Education Superintendent position by appointment until the next general election.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that effective May 1, 2012, _____ is appointed to complete the unexpired term of Douglas Johnson. Appointment to expire upon election of a Kane County Regional Office of Education Superintendent at the next general election.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5Appt.-ROE



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-122

- ☒ Resolution
☐ Ordinance

Name Resolution: Authorization Exception to the County Financial Policies Regarding Personnel Hiring and Replacement: Shelter Programs and Operations Manager (formerly Kennel Manager)

Presenter/Sponsor:

Kimberly W. Rudloff, DVM, Veterinarian/Administrator, Kane County Animal Control

Budget Information: Was this item budgeted?

☒ Yes ☐ No ☐ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY:

The Kane County Animal Control Department is responsible for the enforcement of the Animal Control Ordinance of Kane County; and has built an animal control facility for the purpose of providing needed resources for the Department in carrying out its mission, specifically to be used as a shelter for seized, stray, homeless, abandoned, or unwanted dogs or other animals.

The renamed Shelter Programs and Operations Manager (SPOM) position, oversees the daily operations of the kennel and supervises a team of Kennel Assistants to ensure a high level order and cleanliness to prevent spread of diseases. The SPOM is responsible for the health and safety of all animals in custody ensuring all animals receive medication as directed by a veterinarian and receive medical attention as necessary. Included duties will be maintaining records on all inhouse patients, inventory control, and safety of all staff and clients when entering the kennel area. In addition, the SPOM will be responsible for new program implementation and maintenance. This would embody programs such as volunteers and staff in-house education to name a few.

The Administrator of Kane County Animal Control is requesting an exception to the hiring moratorium as the continuance of vacancies in this position will have a negative operational impact on the department's ability to successfully manage operations and carry out its mission.

Attachments:

Detailed information available from :

Staff Name: Kimberly Rudloff, DVM

Phone: 630-208-3814

Resolution/Ordinance Tracking:

Assigned Committee: Public Health

Passed

Sent to: Finance/Budget

on: 04/24/2012

If Other, specify:

Committee Remarks:

Next Committee: Finance/Budget

Passed

Sent to: Executive

on: 04/25/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive

Passed

Sent to: County Board

on: 05/02/2012

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 122

**AUTHORIZINE EXCEPTION TO THE COUNTY FINANCIAL POLICIES REGARDING PERSONNEL
HIRING AND REPLACEMENT: SHELTER PROGRAMS AND OPERATIONS MANAGER
(FORMERLY KENNEL MANAGER)**

WHEREAS, the Kane County Animal Control Department is responsible for the enforcement of the Animal Control Ordinance of Kane County; and

WHEREAS, Kane County has built an animal control facility for the purpose of providing needed resources for the Department in carrying out its mission, specifically to be used as a shelter for seized, stray, homeless, abandoned, or unwanted dogs or other animals; and

WHEREAS, currently staffing levels for operations of the shelter, due to voluntary terminations have fallen below those needed to provide care to animals in the shelter; and

WHEREAS, the Kane County Animal Control Department requires hiring of the Shelter Program and Operations Manager (formerly known as Kennel manager) to fill the position vacated in January 2012; and

WHEREAS, this position with a salary range of \$39,900 through \$45,000, has been included in the FY12 budget and therefore passage of this resolution does not require a budget amendment for fiscal year 2012; and

WHEREAS, on September 9, 2008 the Kane County Board had deemed it necessary to adopt by Resolution a moratorium on the hiring of new County personnel and the replacement of existing or future personnel vacancies; and

WHEREAS, the Executive Director of the Kane County Health Department and the Administrator of the Kane County Animal Control Department have requested the Kane County Board to allow exception to the hiring moratorium as the continuance of a shortage of staff will have a negative operational impact on the Department's ability to efficiently and effectively conduct the operations of the shelter.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Kane County Animal Control Department be allowed to hire a replacement to fill the Kennel Manager vacancy within the department.

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
290-500-500.40000	Salary & Wages	Yes	Yes	N/A

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5Hire-Shelter



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-123

☒ Resolution
☐ Ordinance

Name

Resolution: Authorization Exception to the County Financial Policies Regarding Hiring and Replacement: Animal Control Warden

Presenter/Sponsor:

Kimberly W. Rudloff, DVM, Veterinarian/Administrator, Kane County Animal Control

Budget Information: Was this item budgeted?

☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$1,745.00 through \$2,635.00

If not budgeted,
explain funding
source

SUMMARY:

The Kane County Animal Control Department is responsible for the enforcement of the Animal Control Ordinance of Kane County; and has built an animal control facility for the purpose of providing needed resources for the Department in carrying out its mission, specifically to be used as a shelter for seized, stray, homeless, abandoned, or unwanted dogs or other animals.

The Animal Control Warden is responsible to answer all calls pertaining to stray or injured dogs, bite cases, and possible rabid wildlife. They pickup and impound stray dogs, transport specimens of biting animals to the State Lab, and investigates complaints of violations to the animal ordinance and issues citation to owners violating such laws.

The Administrator of the Kane County Animal Control is requesting an exception to the hiring moratorium as the continuance of vacancies in this position will have a negative operational impact on the Department's ability to successfully manage operations and carry out its mission.

Attachments:

Detailed information available from :

Staff Name: Kimberly W. Rudloff, DVM

Phone: 630-208-3814

Resolution/Ordinance Tracking:

Assigned Committee: Public Health

Passed

Sent to: Finance/Budget

on: 04/24/2012

If Other, specify:

Committee Remarks:

Next Committee: Finance/Budget

Passed

Sent to: Executive

on: 04/25/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive

Passed

Sent to: County Board

on: 05/02/2012

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 123

**AUTHORIZING EXCEPTION TO THE COUNTY FINANCIAL POLICIES REGARDING PERSONNEL
HIRING AND REPLACEMENT: ANIMAL CONTROL WARDEN**

WHEREAS, the Kane County Animal Control Department is responsible for the enforcement of the Animal Control Ordinance of Kane County; and

WHEREAS, Kane County has built an animal control facility for the purpose of providing needed resources for the Department in carrying out its mission, specifically to be used as a shelter for seized, stray, homeless, abandoned, or unwanted dogs or other animals; and

WHEREAS, currently staffing levels for operations of the shelter, due to voluntary terminations and interim appointments have fallen below those needed to provide care to animals in the shelter; and

WHEREAS, the Kane County Animal Control Department requires hiring of the Animal Control Warden to fill the position anticipated to be vacated on or about May 2012; and

WHEREAS, this position with a salary range of \$1,745 through \$2,635 monthly (40 hour work week), has been included in the FY12 budget and therefore passage of this resolution does not require a budget amendment for fiscal year 2012; and

WHEREAS, on September 9, 2008 the Kane County Board had deemed it necessary to adopt by Resolution a moratorium on the hiring of new County personnel and the replacement of existing or future personnel vacancies; and

WHEREAS, the Executive Director of the Kane County Health Department and the Administrator of the Kane County Animal Control Department have requested the Kane County Board to allow exception to the hiring moratorium as the continuance of a shortage of staff will have a negative operational impact on the Department's ability to efficiently and effectively conduct the operations of the shelter.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Kane County Animal Control Department be allowed to hire a replacement if needed to fill the Animal Control Warden vacancy within the department so the department has three Animal Control Wardens.

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
290-500-500.40000	Salary & Wages	Yes	Yes	N/A

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5Hire-Warden



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-124

☒ Resolution
☐ Ordinance

Name

Resolution: Requesting the Maintenance or Reduction of Tax Extension Rates and Amount of Certain Levied Funds

Presenter/Sponsor:

Cheryl Pattelli, Executive Director of Finance

Budget Information: Was this item budgeted? ☐ Yes ☐ No ☒ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: This resolution is a housekeeping resolution done each year after the County Clerk's Office performs the property tax extension. The County Clerk reduces all of the County levies proportionately. This resolution adjusts the proportionate reduction to reflect what the County actually budgeted for in its 2011 budget. The overall rate does not change with this resolution, just the individual rates for the levied funds.

Attachments: Resolution: Requesting the Maintenance or Reduction of Tax Extension Rates and Amount of Certain Levied Funds

Detailed information available from :

Staff Name: Cheryl Pattelli

Phone: 630-208-5113

Resolution/Ordinance Tracking:

Assigned Committee: Finance/Budget

Passed

Sent to: Executive

on: 04/25/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive

Passed

Sent to: County Board

on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee:

Sent to:

on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 124

**REQUESTING THE MAINTENANCE OR REDUCTION OF TAX EXTENSION RATES
AND AMOUNT OF CERTAIN LEVIED FUNDS**

WHEREAS, 35 ILCS 200/18-195 provides that taxing bodies may request reduction of specific funds when it appears that the aggregate tax extension requires reduction of the extension of certain funds in order to comply with terms of the Property Tax Extension Limitation Act (35 ILCS 200/18-185).

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Kane County Clerk is hereby requested to maintain or reduce the 2011 tax extension rates and amounts of the following levied funds if it appears that it is necessary to do so in order to comply with the Property Tax Limitation Act.

		PROPOSED AMOUNT	PROPOSED RATE
001	Corporate	31,863,101.86	0.235818
021	IMRF	6,266,337.07	0.046377
023	Social Security	3,315,779.63	0.024540
026	Liability Insurance	3,782,474.93	0.027994
031	County Highway	5,011,907.65	0.037093
032	County Bridge	312,796.65	0.002315
034	Highway Matching	65,261.68	0.000483
041	Health Department	1,972,983.46	0.014602
045	Veterans Commission	305,094.96	0.002258
	TOTAL CAPPED LEVIES	52,895,737.89	0.391480
042	Capital Improvement Bonds	1,013,380.08	0.007500
	TOTAL LEVIES	53,909,117.97	0.398980

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes

No

Voice

Abstentions



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-125

☒ Resolution
☐ Ordinance

Name Amending the 2012 Fiscal Year Budget, Kane County Division of Transportation, Transit Sales Tax Bond Construction Fund

Presenter/Sponsor: Gary Sirotzke, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: N/A

If not budgeted,
explain funding
source

SUMMARY: As the Transit Sales Tax Bond Construction Fund (513) needs to be fully expended within two to three years of issuance of the \$40,000,000 of Series 2009A and 2009B Series General Obligation Alternate Bonds (Regional Transportation Authority Sales Tax Alternate Revenue Source), staff is utilizing various finance methods such as budget adjustments, fund reallocation, and re-appropriations for eligible projects to expedite the use of the funds.

The attached resolution amends the FY2012 Transit Sales Tax Bond Construction Fund Budget to expend the remaining funds. The budget adjustment of an additional \$1.9 million is primarily the result of a carryover of some projects from FY2011 where the County has not yet been billed for our share of the federally funded projects.

Attachments: Resolution

Detailed information available from :

Staff Name: Gary Sirotzke, Chief of Finance

Phone: (630) 845-7872

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 125

**AMENDING THE 2012 FISCAL YEAR BUDGET
KANE COUNTY DIVISION OF TRANSPORTATION
TRANSIT SALES TAX BOND CONSTRUCTION FUND**

WHEREAS, the County of Kane has issued Series 2009A Series and 2009B General Obligation Alternate Bonds (Regional Transportation Authority Sales Tax Alternate Revenue Source) for highway improvement purposes; and the bond proceeds therefrom are required to be expended within 3 years of the date of issuance of said bonds; and

WHEREAS, it is desirable to utilize said Series 2009A and Series 2009B General Obligation Alternate Bond proceeds for purposes of funding the Kane County Division of Transportation's highway construction program; and

WHEREAS, the County Fiscal Year 2012 Budget allows for certain capital highway expenditures to be paid from the Transit Sales Tax Bond Construction Fund; and

WHEREAS, in order to utilize said Series 2009A and Series 2009B General Obligation Alternate Bonds for purposes of funding the Kane County Division of Transportation's highway construction program, a budget adjustment is required to the FY2012 Budget to expend the remaining funds available from the Series 2009A and Series 2009B General Obligation Alternate Bonds proceeds in the allotted period of time.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the following budget adjustments be made to the FY 2012 Budget, in the amount of One Million Nine Hundred Thousand Dollars (\$1,900,000.00):

513.520.528.50140	Engineering Services	\$ 215,000
513.520.528.73000	Road Construction	\$ 310,000
513.520.528.73010	Bridge Construction	\$1,375,000
513.520.000.39000	Cash on Hand	\$1,900,000
304.520.524.73000	Road Construction	(\$1,000,000)
304.520.000.39000	Cash on Hand	(\$1,000,000)
540.520.525.73000	Road Construction	(\$ 900,000)
540.520.000.39000	Cash on Hand	(\$ 900,000)

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-126

- ☒ Resolution
☐ Ordinance

Name Amending the 2012 Fiscal Year Budget, Kane County, Recovery Zone Bond Construction Fund

Presenter/Sponsor: Gary Sirotzke, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$466,000

If not budgeted,
explain funding
source

SUMMARY: County of Kane's FY 2012 Budget allows for certain capital expenditures to be paid for out of the Recovery Zone Bond Construction Fund. In order to expend the remaining funds, it is desirable to utilize these funds for the purpose of funding a portion of the County's resurfacing program.

Attachments: Resolution

Detailed information available from :

Staff Name: Gary Sirotzke, Chief of Finance

Phone: (630) 845-7872

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 126

**AMENDING THE 2012 FISCAL YEAR BUDGET
KANE COUNTY
RECOVERY ZONE BOND CONSTRUCTION FUND**

WHEREAS, the County of Kane's Fiscal Year 2012 Budget allows certain capital expenditures to be paid for out of Recovery Zone Bond Construction Funds; and

WHEREAS, it is desirable to utilize Recovery Zone Bond Construction Funds for the purposes of funding the Division of Transportation's highway resurfacing program; and

WHEREAS, in order to utilize Recovery Zone Bond Construction Funds for purposes of funding the County's Highway resurfacing program, a budget adjustment is required to the FY2012 Budget to fund the County Resurfacing Program Resolution No. 12-107.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the following budget adjustments be made to the FY 2012 Budget, in the amount of Four Hundred Sixty Six Thousand Dollars (\$466,000.00):

514.800.529.52080	Repairs & Maintenance - Resurfacing	\$ 466,000
514.520.000.39900	Cash on Hand	\$ 466,000

BE IT FURTHER RESOLVED that there is hereby appropriated the sum of Four Hundred Sixty Six Thousand Dollars (\$466,000.00) from Recovery Zone Bond Construction Fund #514, Line Item #52080 Repairs & Maintenance – Resurfacing.

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
514.520.000.52080	Repairs & Maintenance – Resurfacing	No	No	514.520.000.39900 (Cash on Hand)

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5AMNDRCRYBNDCONS.4GS



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-127

- ☒ Resolution
☐ Ordinance

Name

AUTHORIZING A CONTRACT FOR A CONSTRUCTION MANAGER TO COMPLETE PHASE I (ARCHITECTURAL DESIGN AND BIDDING) OF THE CONSTRUCTION OF AN ELEVATOR AT THE 3RD STREET COURTHOUSE

Presenter/Sponsor:

Tim Harbaugh and Chris Rossman

Budget Information: Was this item budgeted? ☐ Yes ☒ No ☐ N/A

Appropriation Amount: \$56,720

If not budgeted,
explain funding
source

Capital Projects Fund

SUMMARY: The 3rd Street Courthouse, constructed in approximately 1891, is 70,800 square feet and contains only one elevator which is significantly undersized for the building. This resolution approves the selection of a Construction Manager to administer the architectural design of a second elevator at the 3rd Street Courthouse, and to bid out this elevator Project. This Project will need to be completed second shift (anticipated 4pm-11pm) to minimize disruption to the Courthouse. A professional Construction Manager is needed to administer this Project. An RFP was developed to select a construction manager. Phase I of the project is the selection of a Construction Manager to administer the design of the elevator Project and to bid the Project. Phase II, which will be approved at a latter date, is the approval of the construction of the Project. Because of the age and extensive concrete in the building, staff recommended the project be completed in these two Phases. This will ensure the Board will know the exact construction cost of the Project when approving Phase II of the project.

The top three companies submitting proposals to this RFP were interviewed, and the firm of Williams Construction Management of St. Charles, Il. is recommended with a cost to complete Phase I of the project at \$56,720. Adequate funds are available to complete the Project in the Capital Projects Fund. Phase II of the project will be approved by a separate resolution, anticipated in approximately 90 days. This Phase I expenditure was not budgeted in FY 12, and a budget amendment is required.

Attachments:

Detailed information available from :

Staff Name: Tim Harbaugh or Chris Rossman

Phone: 208.5173 or 444.3186

Resolution/Ordinance Tracking:

Assigned Committee: Administration Passed Sent to: Finance/Budget on: 04/19/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

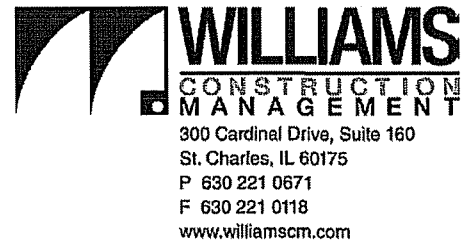
If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012



17 April 2012

Mr. Jim Hansen
County of Kane Government Center
Purchasing Department, Building A
719 South Batavia Avenue
Geneva, Illinois 60134

Dear Jim:

Williams Development, Ltd., d/b/a Williams Construction Management, hereby affirms that we have made no campaign contributions to any candidate for county office or to any county office holder within the past twelve months. This includes owners, officers, managers, insurance brokers, lobbyists, agents, consultants, bond counsel and underwriter counsel, subcontractors' corporations, partnerships, associations, business trusts, estates, trustees and or beneficiaries, or political action committees under the control of our firm.

A list of all individuals owning 5% or more ownership interest in our firm is as follows:

Thomas M. Tristano, President 5N851 Tiber Trail Saint Charles, Illinois 60175	28% Ownership
---	---------------

David V. Stermetz, Secretary 741 Warwick Court Carol Stream, Illinois 60188	17% Ownership
---	---------------

At this time there are no other shareholders with 5% or more ownership. No other representatives, agents or lobbyists will be having contact with County employees or officials in relation to our bid for Construction Management Services for the Renovations to the Existing Courthouse, submitted to you on March 15, 2012.

Cordially,

A handwritten signature in black ink, appearing to read 'Thomas M. Tristano', with a stylized flourish at the end.

Thomas M. Tristano, AIA
President

xc: David V. Stermetz / Williams Construction Management
Kristen R. Anderson / Williams Construction Management

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 127

**AUTHORIZING A CONTRACT FOR A CONSTRUCTION MANAGER TO COMPLETE
PHASE I (ARCHITECTURAL DESIGN AND BIDDING) OF THE CONSTRUCTION OF AN
ELEVATOR AT THE THIRD STREET COURTHOUSE**

WHEREAS, the Third Street Courthouse, constructed in approximately 1891, is 70,800 square feet and contains only one elevator which is significantly undersized for the building. The Judiciary has demonstrated a need for an additional elevator to be constructed in the Third Street Courthouse to meet the demands of the citizens who use the Courthouse; and

WHEREAS, the two year Kane County Capital Program identifies \$850,000 for the construction of an additional elevator at the Third Street Courthouse ("the Project"); and

WHEREAS, the Administration Committee endorsed completing the Project in two Phases: Phase I being the selection of a Construction Manager to administer the design of the Project and to bid the Project. Phase II being the approval of the construction of the Project; and

WHEREAS, the Purchasing Department and the Building Management Department jointly developed specifications and advertised an RFP for the selection of a Construction Manager to complete Phases I and II of the Project; and

WHEREAS, five proposals were received and evaluated. The top three companies were interviewed by staff from Purchasing and Building Management, and the firm of Williams Construction Management of St. Charles, IL. is recommended with a cost to complete Phase I of the project at \$56,720; and

WHEREAS, adequate funds are available to complete Phase I of the Project in the Capital Projects Fund. Phase II of the project will be approved by a separate resolution, anticipated in approximately 90 days. This Phase I expenditure was not budgeted in FY12, and a budget amendment is required.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Chairman is hereby authorized to enter into a contract with Williams Construction Management of St. Charles, IL. for the architectural design and bidding of a new elevator at the Third Street Courthouse for an amount of fifty six thousand seven hundred and twenty dollars (\$56,720.00); and

BE IT FURTHER RESOLVED that the 2012 Budget is amended as follows:

500.800.805.39900 Cash on Hand	\$56,720.00
500.800.805.50150 Contractual/Consulting Services	\$56,720.00

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
500.800.805.39900 500.800.805.50150	Cash on Hand Contractual/Consulting Services	No	Yes	N/A

Passed by the Kane County Board on May 8, 2012

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5Elevator



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-128

- ☒ Resolution
☐ Ordinance

Name Kane County Court Services Budget Supplemental for Additional Staff

Presenter/Sponsor: Lisa Aust

Budget Information: Was this item budgeted? ☐ Yes ☒ No ☐ N/A

Appropriation Amount: \$220,224

If not budgeted,
explain funding
source

001.43.000.37090 - Youth Home Reimbursement, additional income based on IGA with DuPage County for detention

SUMMARY: Court Services has seen an overall decrease in head count of 7.5 positions since 2008 to present. The three (3) additional staff are needed to continue to serve the functions of the court; the Diagnostic Center has seen an increase in court ordered evaluations, probation has had multiple leaves of absences and worker's comp issues coupled with our need to supervise defendants who are released as an alternative to jail and to meet staffing provisions of the new union contract, as well as a book keeper who can assist with budgeting, invoice processing, grant compliance and other functions needed by administration.

Attachments: Resolution for "Kane County Court Services Budget Supplemental for Additional Staff"

Detailed information available from :

Staff Name: Lisa Aust

Phone: 630-232-5809

Resolution/Ordinance Tracking:

Assigned Committee: Finance/Budget Passed Sent to: Executive on: 04/25/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 128

**SUPPLEMENTAL BUDGET FOR ADDITIONAL STAFF
(Kane County Court Services)**

WHEREAS, the Kane County Court Services Department has had a total loss of 7.5 overall staff positions since 2008; and

WHEREAS, the Kane County Court Diagnostic Center has seen an increase in 43% of the number of evaluations ordered by the court since 2009 that need to be completed in order to not delay the proceedings of the court; and

WHEREAS, the Kane County Court Services Department has increased the use of electronic home monitoring for adults and juveniles as ordered by the court and as mandated by statute under the provisions of the "Domestic Violence Surveillance Program" (730 ILCS 5/5-8A-7) in order to release violators of orders of protection into the community and has need of additional field probation officers; and

WHEREAS, the Kane County Court Services Department currently has 170 personnel and a total budget in excess of \$10 million and only one bookkeeper, is in need of additional staff for reporting, budgeting and grant writing in order to meet the specialized needs of the Administrative Office of Illinois Courts and the County; and

WHEREAS, the Department wishes to effectively provide services to the court as ordered and be able to accurately report on the functioning of the Department to our regulating bodies; and

WHEREAS, the Department has engaged in revenue enhancement by contracting out with surrounding counties to maximize use of available beds located at the Juvenile Justice Center estimated to be an additional \$35,000 per month,

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that Kane County Court Services is authorized to add three (3) positions to its head county; one (1) post doctoral staff member to be located at the Kane County Diagnostic Center with a salary range of \$30,000 to \$40,000 plus benefits, one (1) probation officer with a starting salary of \$38,976 plus benefits, and one (1) book keeper with a salary range of \$40,000 to \$55,000 plus benefits.

BE IT FURTHER RESOLVED by the Kane County Board that the following FY12 budget adjustment be made to reflect the funding for additional staff:

001.430.000.37090	Youth Home Reimbursement	\$220,224
001.430.438.40000	Salaries and Wages	\$40,000
001.430.438.45000	Healthcare Contribution	\$17,952
001.430.438.45010	Dental Contribution	\$ 517
001.430.431.40000	Salaries and Wages	\$38,976
001.430.431.45000	Healthcare Contribution	\$17,952
001.430.431.45010	Dental Contribution	\$ 517
001.430.430.40000	Salaries and Wages	\$55,000
001.430.430.45000	Healthcare Contribution	\$17,952
001.430.430.45010	Dental Contribution	\$ 517
001.800.808.99000	Transfer to Other Funds (IMRF)	\$14,443

001.800.808.99000	Transfer to Other Funds (FICA/SS)	\$10,249
001.800.808.99000	Transfer to Other Funds (Insurance Liability, Worker's Comp, Unemployment)	\$ 6,149
110.800.000.39000	Transfer from Other Funds	\$14,443
110.800.802.45200	IMRF	\$14,443
111.800.000.39000	Transfer from Other Funds	\$10,249
111.800.803.45100	FICA/SS	\$10,249
010.000.000.39000	Transfer from Other Funds	\$6,149
010.120.130.53000	Insurance Liability	\$3,537
010.120.130.53010	Worker's Compensation	\$2,278
010.120.130.53020	Unemployment	\$334

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
001.430.XXX.XXXX	Various Salary and Wage line items	No	No	001.430.000.37090 (Youth Home Reimbursement JJC – DuPage IGA)

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5Bdgt-CourtSvcs



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-129

☒ Resolution
☐ Ordinance

Name Amending 2012 Health Department Budget TB

Presenter/Sponsor:

Paul Kuehnert, Executive Director, Kane County Health Department

Budget Information: Was this item budgeted? ☐ Yes ☒ No ☐ N/A

Appropriation Amount: \$194,900

If not budgeted,
explain funding
source

Illinois Department of Public Health

SUMMARY: The Kane County Health Department in collaboration with the Federal Centers for Disease Control and Prevention and the Illinois Department of Public Health, is currently managing an outbreak of Tuberculosis among homeless individuals in Kane County. Organized, concerted public health, medical and social support activities must be directed toward the homeless population in Kane County in order to identify TB infections and assure treatment, in order to contain the outbreak.

The Illinois Department of Public Health will award the Kane County Health Department additional grant in the amount of up to One Hundred Ninety Four Thousand Nine Hundred Dollars (\$194,900) for the purposes of responding to this TB Outbreak. All funds will be used for direct medical care, social services, housing and nutrition services.

Attachments:

Detailed information available from :

Staff Name: Claire Dobbins

Phone: 630-2085152

Resolution/Ordinance Tracking:

Assigned Committee: Public Health Passed Sent to: Finance/Budget on: 04/24/2012

If Other, specify:

Committee Remarks:

Next Committee: Finance/Budget Passed Sent to: Executive on: 04/25/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 – 129

AMENDING 2012 HEALTH DEPARTMENT BUDGET – TB

WHEREAS, THE Kane County Health Department, in collaboration with the federal Centers for Disease Control (CDC) and Prevention and the Illinois Department of Public Health, is currently managing an outbreak of tuberculosis among individuals in Kane County; and

WHEREAS, organized, concerted public health, medical and social support activities must be directed toward the homeless population in Kane County in order to identify TB infections and assure treatment, in order to contain the outbreak; and

WHEREAS, the Illinois Department of Public Health will award the Kane County Health Department One Hundred Ninety Four Thousand Nine Hundred Dollars (\$194,900) of federal CDC funds for the purpose of responding to this outbreak.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the following FY2012 budget adjustment be made to reflect the additional funding amount of One Hundred Ninety Four Thousand Nine Hundred Dollars (\$194,900) in revenue from CDC funds passed through the Illinois Department of Public Health.

HEALTH DEPARTMENT FUND

350.580.000.32580 (TB Observed Therapy Grant)

350.580.631.50150 (Contracts & Consulting)

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
350.580.000.32580	TB Observed Therapy Grant	No	No	350.580.000.32580 (TB Observed Therapy Grant)

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5Bdgt-TB



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-130

☒ Resolution
☐ Ordinance

Name Accepting Obligation Due IMRF - Jerry Krawczyk

Presenter/Sponsor:

Betsy Flood/Michele Niermann (SAO)

Budget Information: Was this item budgeted? ☐ Yes ☐ No ☒ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: Mr. Krawczyk is buying back service credits with IMRF for the time he was away from work. County agrees to accept the obligation due IMRF for employee contributions with interest

Attachments:

Detailed information available from :

Staff Name: Betsy Flood

Phone: 630-208-5326

Resolution/Ordinance Tracking:

Assigned Committee: Human Services

Passed

Sent to: Executive

on: 04/04/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive

Passed

Sent to: County Board

on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board

Sent to:

on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 130

**ACCEPTING OBLIGATION DUE IMRF
(JERRY KRAWCZYK)**

WHEREAS, Jerry Krawczyk was terminated from employment at the Kane County Sheriff's Office on June 8, 2011; and

WHEREAS, the Policeman's Benevolent Labor Committee ("PBLC") grieved the termination of Jerry Krawczyk and requested that such grievance be heard by an arbitrator; and

WHEREAS, at the arbitration, the parties negotiated a settlement which resulted in an unpaid 90 day suspension for Jerry Krawczyk, followed by employment for Jerry Krawczyk in a different division of the Kane County Sheriff's Office, in which he is also eligible for IMRF benefits; and

WHEREAS, the Arbitrator issued an Award-Settlement, which incorporated, among other terms, those outlined in the preceding paragraph; and

WHEREAS, based on the Award-Settlement, Jerry Krawczyk desires to buy back service credits with the Illinois Municipal Retirement Fund by paying his contribution plus interest to IMRF.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that it agrees to accept the obligation due IMRF for employee contributions with interest thereon payable through future employee monthly contributions.

BE IT FURTHER RESOLVED that the County IMRF authorized agent is hereby directed to file a certified copy of this resolution with the Illinois Municipal Retirement Fund.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes

No

Voice

Abstentions

5IMRF-Krawczyk



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-131

- ☒ Resolution
☐ Ordinance

Name Accepting Obligation Due IMRF - Juan A. Marquez

Presenter/Sponsor:

Betsy Flood/Michele Niermann (SAO)

Budget Information: Was this item budgeted? ☐ Yes ☐ No ☒ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: Mr. Marquez is buying back service credits with IMRF for the time he was away from work. County agrees to accept the obligation due IMRF for employee contributions with interest

Attachments:

Detailed information available from :

Staff Name: Betsy Flood

Phone: 630-208-5326

Resolution/Ordinance Tracking:

Assigned Committee: Human Services Passed Sent to: Executive on: 04/04/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 131

**ACCEPTING OBLIGATION DUE IMRF
(Juan A. Marquez)**

WHEREAS, Juan A. Marquez was terminated from employment at the Kane County Sheriff's Office on October 11, 2010; and

WHEREAS, the Policeman's Benevolent Labor Committee ("PBLC") grieved the termination of Juan A. Marquez and requested that such grievance be heard by an arbitrator; and

WHEREAS, the arbitrator issued an Award which imposed a 90 day unpaid suspension for Juan A. Marquez, after which he was ordered to be reinstated to employment in the Sheriff's Office; and

WHEREAS, as a result of such Award, Juan A. Marquez desires to buy back service credits with the Illinois Municipal Retirement Fund by paying his contribution plus interest to IMRF.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that it agrees to accept the obligation due IMRF for employee contributions with interest thereon payable through future employee monthly contributions.

BE IT FURTHER RESOLVED that the County IMRF authorized agent is hereby directed to file a certified copy of this resolution with the Illinois Municipal Retirement Fund.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes

No

Voice

Abstentions

5IMRF-Marquez



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-132

- ☒ Resolution
☐ Ordinance

Name

ESTABLISHING HOLIDAY and AFTER-HOURS ON CALL POLICY FOR KANE COUNTY ANIMAL CONTROL DEPARTMENT EMPLOYEES

Presenter/Sponsor:

Paul Kuehnert

Budget Information: Was this item budgeted?

☒ Yes ☐ No ☐ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

Policy impact projected to decrease KCAC overtime costs by 10%.

SUMMARY:

The Kane County Animal Control Department requires certain of its personnel (the wardens and the Administrator/Veterinarian) to provide on-call coverage after normal business hours, on weekend and on holidays. Additionally, it requires kennel staff to work on holidays. These policies address these operational needs, balancing legal requirements, incentives to attract and retain qualified personnel, and controlling overtime costs. Over the past 3 years, KCAC has paid approximately \$30,000 in annual overtime costs. These policies are projected to reduce these costs by approximately 10%. The policies have been developed jointly by KCAC management, Kane County Human Resources Department and the Kane County State's Attorney's Office. KCAC staff have had the opportunity to review and give feedback to KCAC management on the proposed policies.

Attachments:

Detailed information available from :

Staff Name:

Paul Kuehnert

Phone:

630-444-3021

Resolution/Ordinance Tracking:

Assigned Committee:

Public Health

Passed

Sent to:

Human Services

on:

04/07/2012

If Other, specify:

Committee Remarks:

Next Committee:

Human Services

Passed

Sent to:

Executive

on:

04/04/2012

If Other, specify:

Committee Remarks:

Next Committee:

Executive

Sent to:

County Board

on:

05/02/2012

Committee Remarks:

County Board Date:

05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 -132

**ESTABLISHING HOLIDAY and AFTER-HOURS ON CALL POLICY FOR KANE COUNTY ANIMAL
CONTROL DEPARTMENT EMPLOYEES**

WHEREAS, from time to time the Human Services Committees finds it desirable to establish certain policies for Kane County Departments that are in variance with those contained in the Kane County Personnel Policy Handbook and to adopt specific policies for a certain Department to meet unique operational requirements; and

WHEREAS, the Human Services Committee desires to establish such personnel policies related to after-hours on-call and holiday staffing for the Kane County Animal Control Department;

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board the *Animal Control Warden On-Call Policy and Procedure* policy and the *Overtime and the Overtime and Holidays Worked* policy are adopted as set forth in the attached document.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes

No

Voice

Abstentions

5AC Policy

ADMINISTRATIVE POLICY

Category		Number	
Applicability		Effective Date	
Established Date:		Approved by:	

Subject: Animal Control Warden On-Call Policy and Procedure

Policy: In order to fulfill the mission of preventing the spread of rabies, impounding stray dogs, and removing sick or injured wildlife, Animal Control Wardens must be available to work after hours, weekends, and County-scheduled holidays.

Purpose: The purpose of this policy is to assure a response to rabies control, stray dogs and sick and injured wildlife after normal business hours in accordance with the Overtime Policy.

Procedure:

1. When on-call, the Animal Control Warden is expected to complete the following activities in unincorporated areas of Kane County and when permission has been received from municipalities that have current Animal Control Service Agreements:
 - a. Respond to all calls received on the Emergency Pager System by returning phone calls and responding in the field as needed.
 - b. Impound confined stray dogs.
 - c. Pick-up sick/ injured stray dogs and cats.
 - d. Remove sick/ injured raccoons, opossums, skunks and birds of prey from outside of a structure on private property.
 - e. Respond to life threatening complaints of animal abuse/ neglect.
 - f. Assist police departments, fire departments, the Kane County Coroner, and the Kane County Sheriff's Department as necessary.
 - g. Complete animal bite confinement notices within twenty-four (24) hours of an incident, including Saturdays and Sundays and County- scheduled holidays.
2. When an Animal Control Warden is on-call the following shall apply:
 - a) During the week the Animal Control Warden on call will respond to call outs beginning at 6:00pm October 1 through March 31 and at 10pm April 1 through September 30.
 - b) An Animal Control Warden shall respond to calls (as outlined in section 1a-f) received on the Emergency Pager System within fifteen (15) minutes of being notified.

Kane County Department of Animal Control

ADMINISTRATIVE POLICY

- c) An Animal Control Warden shall be en route within thirty (30) minutes of receiving a call for all situations requiring an on-site response.
 - i. All subsequent calls are to be responded to either in the order in they were received or by severity of the call.
 - d) Animal Control Wardens shall document all calls received during an on-call period on a form provided by the Animal Control Administrator, indicating the time the call was received, what agency or individual from whom it was received, whether the call necessitated travel and how long the call lasted.
 - e) The Kane County Animal Control Administrator shall be available to wardens for consultation during on-call.
 - f) For emergency calls arising after midnight, the Kane County Animal Control Administrator shall be contacted. If it is determined the situation requires a response by an Animal Control Warden, the Kane County Animal Control Administrator will contact the Animal Control Warden on-call to respond.
3. When an Animal Control Warden is on call the following restrictions shall apply:
- a. Animal Control Wardens may not be impaired by drugs (legal or illegal) or alcohol.
 - b. Animal Control Wardens are not permitted to use Kane County Animal Control vehicles for personal reasons.
 - c. Animal Control Wardens are not permitted to use Kane County Animal Control vehicles outside of Kane County without prior approval of the Administrator.

ADMINISTRATIVE POLICY

Category:	Operations and Administration		
Applicability:	All staff	Effective Date:	
Established Date:		Approved by:	

Subject: Overtime and Holidays Worked

Policy: In order to fulfill the mission of preventing the spread of rabies and caring for the animals in the custody of Kane County Animal Control, staff must be available to work unscheduled overtime hours as necessary and to care for the animals at the shelter during County scheduled holidays.

Purpose: To establish policies and procedures for Animal Control Wardens and Kennel staff regarding overtime and time/pay earned when physically working during a County scheduled holiday.

Procedure:

Animal Control Wardens Schedule and On-Call Stipend: October 1 through March 31

1. Each Animal Control Warden is assigned to work 40 hours per week. An Animal Control Warden will be assigned one of three schedules including a one hour unpaid lunch break and two fifteen minute paid breaks in accordance with the current Kane County Personnel Policy Handbook:
 - a. 7:00 am – 4:00 pm
 - b. 8:00 am – 5:00 pm
 - c. 9:00 am – 6:00 pm
2. Each Animal Control Warden is assigned to be on-call every third week beginning on Friday evening at 6:00 pm and ending at Midnight the following Thursday. On-call is defined as carrying the pager and responding to calls between 6:00 pm and Midnight during weekdays and from 7:00 am until Midnight on Saturday, Sunday, and County-scheduled holidays.
3. Each week the Animal Control Warden is on-call and carries the pager, a stipend of \$120.00 will be earned.

Animal Control Wardens Schedule and On-Call Stipend: April 1 through September 30

1. Each Animal Control Warden is assigned to work 40 hours per week. An Animal Control Warden will be assigned one of three schedules including a one hour unpaid lunch break and two fifteen minute paid breaks in accordance with the current Kane County Personnel Policy Handbook:
 - a. 7:00 am – 4:00 pm

ADMINISTRATIVE POLICY

- b. 9:00 am – 6:00 pm
 - c. 1:00 pm – 10:00 pm
2. Each Animal Control Warden is assigned to be on-call every third week beginning on Friday evening at 10:00 pm and ending at 7:00 a.m. the following Thursday. On-call is defined as carrying the pager and responding to calls between 10:00 pm and Midnight during weekdays and from 7:00 am until Midnight on Saturday, Sunday, and County – scheduled holidays.
3. Each week the Animal Control Warden is on-call and carries the pager, a stipend of \$180.00 will be earned.

Animal Control Wardens On-Call Pay

1. In the event that an Animal Control Warden performs work by answering the telephone or responding to a call in the field while assigned to be on-call, on-call pay at the rate of one and one half times the regular rate of pay will be earned for time spent on these activities including travel time. In the event that an Animal Control Warden responds to a call outside of their assigned schedule, when not on-call and with Administrator or designee approval, on-call pay at the rate of one and one half times the regular rate of pay will be earned for time spent on these activities including travel time.
2. In the event that an Animal Control Warden has used benefit time during a normal work week (not on-call), benefit time shall not be counted as hours worked for the purpose of calculating overtime. Benefit time includes family and medical leave (“FMLA leave”), vacation, sick, personal, and any other excused or unexcused leave regardless of whether the leave time is paid. If, however, the Animal Control Warden responds to a call outside of their assigned schedule, when not on-call and with Administrator or designee approval, on-call pay at the rate of one and one half times the regular rate of pay will be earned for time spent on these activities including travel time.

Animal Control Wardens – Weekends and Holidays Worked

1. At the beginning of each County fiscal year Animal Control Warden’s will meet with the Administrator and jointly agree on a holiday on-call schedule for the following calendar year, dividing the County-scheduled holidays evenly among them. If consensus cannot be reached on the schedule, the Administrator will assign holiday on-call coverage to the Wardens. The Holiday on-call schedule will be published and made available to all Wardens and Department staff.
2. When an Animal Control Warden is assigned to be on-call for a weekend or a holiday they will be on call from 7:00 a.m. – Midnight each day.
3. When an Animal Control Warden performs work (responds to calls by phone or going out into the field or performs other required work duties that may not be postponed to a regular work day) on a County - scheduled holiday, Wardens shall earn the following pay and paid time off:

ADMINISTRATIVE POLICY

- a. Animal Control Wardens will be paid eight hours of holiday pay. In addition, Wardens will be allowed to bank eight hours as Holiday Special Earned on their timesheet to be used at a later date.
- b. Holiday Special Earned must be used within sixty days of being earned. When used, this bank of time will be indicated on Animal Control Warden's timesheets as Holiday Special Used.
- c. Time worked on a holiday will be paid as per other time worked when a Warden is on call.

Kennel Assistants – Overtime Earned

1. Each Kennel Assistant is assigned to work a 35 or 40 hour work week including evenings, weekends and holidays. A Kennel Assistant will receive a one month work schedule no less than two weeks before the beginning of each month. This schedule may vary from month to month; a weekly set schedule is not guaranteed. A one hour unpaid lunch break and two fifteen minute paid breaks will be given in accordance with the current Kane County Personnel Policy Handbook.
2. Overtime earned by a Kennel Assistant will be paid in accordance with the current Kane County Personnel Policy Handbook.

Kennel Assistants – Holidays Worked

1. On County scheduled holidays, two Kennel staff will be needed to complete kennel duties. Once kennel duties have been completed the work day is ended.
2. At the beginning of each County fiscal year Animal Control kennel staff and the Kennel Manager will meet with the Administrator and jointly agree on a holiday work schedule for the following calendar year, dividing the County-scheduled holidays evenly among them. Priority for working a holiday will be based upon seniority of an employee in their current position. Each Kennel Assistant and the Kennel Manager will be required to work half of the holidays listed on the Kane County Government Holiday Schedule each year.
3. If consensus cannot be reached on the schedule, the Administrator will assign holiday work coverage. The Holiday schedule will be published and made available to all kennel and Department staff.
4. When a Kennel Assistant works a County-scheduled holiday, they shall earn the following pay and paid time off:
 - a. If a Kennel Assistant works on a County-scheduled holiday to complete kennel duties, he or she will be paid at a rate of one and on-half times their base pay.
 1. In addition to the pay for hours worked, the Kennel Assistant will then be able to bank seven or eight hours (based on their base schedule of 35 or 40 hours) to use as paid leave. This earned paid leave time will be recorded on the Kennel Assistant's timesheets as Holiday Special Earned. The Kennel Assistant will not receive holiday pay for this day

ADMINISTRATIVE POLICY

2. Holiday Special Earned must be used within 60 days of being earned. When used, this bank of time will be indicated on Kennel Assistant's timesheets as Holiday Special Used.
- b. Except for time worked on a holiday, overtime paid at time and a half will only be earned if the employee physically works more than 40 hours per week. Benefit time (defined above) used shall not be counted when calculating physical hours worked.



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-133

- ☒ Resolution
☐ Ordinance

Name Global Group, Inc.

Presenter/Sponsor: Sheila McCraven

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$60,000

If not budgeted,
explain funding
source

SUMMARY: Kane County entered into a contract with Global Group to be our health insurance broker/consultants in 2009 after going through an RFP process. The contract was for two years with the option for two additional one year extensions. This is the last of those extensions.

Attachments:

Detailed information available from :

Staff Name: Sheila McCraven

Phone: 630-232-5932

Resolution/Ordinance Tracking:

Assigned Committee: Human Services Passed Sent to: Executive on: 04/04/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012



GLOBAL

*Comprehensive strategies.
Individual attention.*

April 20, 2012

Jim Hansen
Assistant Director of Purchasing
Kane County, IL

Re: Contractor Disclosure Statement

Dear Mr. Hansen:

This letter stands to verify that we have made no contributions to any Kane County official, personally or as a firm.

Global has two owners:

Laurence Marx (50%)
641 E Sunnyside Ave
Libertyville, IL 60048

Kurt Schmitke (50%)
642 E Sunnyside Ave
Libertyville, IL 60048

Please let me know if you have any questions.

Sincerely,

Laurence Marx
Principal

Global Group

*Comprehensive strategies.
Individual attention.*

P: 847 837 3037

C: 312 371 2552

E: LMarx@globalgroupinc.com

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 133

**RESOLUTION TO RETAIN HEALTH INSURANCE BROKER/CONSULTANTS
(Global Group)**

WHEREAS, Kane County provides health, dental and vision insurance to eligible employees, board members, and county-wide elected officials; and

WHEREAS, in 2009 through an RFP process, Global Group, Inc. was selected to negotiate the best possible rate with insurance carriers on behalf of Kane County; and

WHEREAS, Global has provided quality representation to Kane County and should be retained as Kane County's Broker of Record in negotiations with insurance carriers at a cost not to exceed \$60,000 per annum; and

WHEREAS, Kane County elects to exercise its option for the final one year extension of its contract with Global.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that it does hereby retain Global Group, Inc. as its Broker of Record for the health, dental and vision insurance and authorizes the Kane County Board Chairman to sign any and all contracts and documents necessary to effectuate this resolution.

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
001.800.808.50520	Healthcare Admin Services	Yes	Yes	N/A

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5Global



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-134

☒ Resolution
☐ Ordinance

Name Court Services Drug and Alcohol Assessment and Education Providers List

Presenter/Sponsor: Lisa Aust, Executive Director, Court Services

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A Appropriation Amount: not to exceed \$150,000 annualIf not budgeted,
explain funding
source

SUMMARY: Court Services is adopting a fee for services model in our contracts with community agencies who provide court ordered drug and alcohol assessments for our sentenced offenders and for those who may have urine tests indicating drug use while under the court's jurisdiction. We issued an RFQ for all drug and alcohol assessment and intervention service providers in our catchment area and have compiled a list of qualified respondents, as attached. The model allows for client choice as well as setting certain data collection needs and out come measures which are in line with evidence based practices.

These services are to be paid from collected probation fees and have been budgeted for. There is no impact on the general fund.

Attachments: Resolution, and list of service providers

Detailed information available from :

Staff Name: Lisa Aust, Executive Director

Phone: 630-232-5809

Resolution/Ordinance Tracking:

Assigned Committee: Judicial/Public Safety Passed Sent to: Executive on: 04/20/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

**Sixteenth Judicial Circuit, Kane County Court Services
Drug and Alcohol Assessment and Education Providers List**

PROVIDER	ADDRESS	CITY	ZIP	TELEPHONE	SERVICES	Spanish	ADULT	JUVENILE	WEBSITE
Abraxas Youth & Family Services/Interventions	2221 W. 64th Street	Woodridge	60517	(630)968-6477	Inpatient, Intensive Outpatient, Outpatient		X	X	www.abraxasyfs.com
Breaking Free, Inc.	120 Gale Street	Aurora	60506	(630)897-1003	Outpatient assessment & treatment, individual/group/family counseling, drug testing	X	X	X	www.breakingfreeinc.org
Gateway Foundation Inc.	400 Mercy Lane	Aurora	60506	(630)966-7400	Assessment, Level I, Level II, IOP, Residential, MISA, psychiatry, CBT programs,	X	X	X	www.recovergateway.org
Healthcare Alternative Systems	799 Roosevelt Road, Bldg 6, Ste 312	Glen Ellyn	60137	(630)942-9720	Dual diagnosis, Assessment, IOP, Outpatient, MISA, Aftercare	X	X		www.hascares.org
Latino Treatment Center	54 S. Grove Street	Elgin	60120	(847)695-9155	Family & Co-dependent services, assessment, outpatient, IOP	X	X	X	www.alcoholism.about.com/od/tx_il/qt/il461.htm
Lutheran Social Services of Illinois	675 Varsity Drive	Elgin	60120	(847)741-2600	Outpatient services, residential, family program		X		www.lssi.org
Professional Consultations, Inc.	745 W. Eighth Street	West Dundee	60118	(800)428-7260	Assessment, Early Intervention, Outpatient, IOP, Family Counseling	X	X	X	www.professionalconsultations.com
Renz Addiction Counseling Center	Two American Way	Elgin	60120	(847)742-3545	Assessment, Early Intervention, Outpatient, IOP, Family Counseling		X	X	www.renzcenter.org
Serenity House Counseling Services, Inc.	891 S. Route 53	Addison	60101	(630)620-6616	Assessments, Residential, IOP, Family Education		X		www.serenityhouse.com
Tools for Life	35 S. Stolp Avenue	Aurora	60506	(630)906-1200	Outpatient, IOP, Early Intervention	X	X	X	www.tools4life.info

Hansen, Jim

From: Adriana Trino <adri1631@aol.com>
Sent: Wednesday, April 25, 2012 7:48 AM
To: Hansen, Jim
Subject: Re: Disclosure

Jim,

Latino Treatment Center is a non for profit 501 (c). Latino Treatment Center has never contributed nor will we ever contribute to any campaign county wide.

Thank You
Adriana Trino
Assistant Director
Latino Treatment Center

From: "Hansen, Jim" <HansenJim@co.kane.il.us>
Date: Mon, 23 Apr 2012 21:12:49 +0000
To: adri1631@aol.com<adri1631@aol.com>
Subject: Disclosure

Adriana,
Have you had a chance to create the Contractors Disclosure Statement yet? I will need to have it by tomorrow, I need to turn in all the statements so that the items may be placed on the next agenda. Let me know if you have any questions.
Thanks,

Jim Hansen

Assistant Director of Purchasing
Kane County, IL
Phone: 630-444-1071
Fax: 630-208-5107
e-mail: hansenjim@co.kane.il.us

Hansen, Jim

From: Michael Moran <MMoran@breakingfreeinc.org>
Sent: Wednesday, April 18, 2012 1:11 PM
To: Hansen, Jim
Subject: Follow up on Substance Abuse request for Qualifications

Dear Jim Hansen:

Thank you for your follow up on the Request for Qualifications we submitted to provide substance abuse services as part of the provider network being developed. As discussed, please note that Breaking Free is incorporated as a not-for-profit 501(c)3 organization here in Illinois, and as such governed by a voluntary Board of Directors. Accordingly, the organization does not make campaign contributions.

Please do not hesitate to contact me should you require additional information.

Michael Moran, MA, CAADC

Our passionate and proven approach helps build strong family foundations and rebuild those impacted by substance abuse and other life challenges.

Executive Director, Breaking Free

120 Gale Street, Aurora, IL 60506

Telephone: 630-897-1003, x224

Facsimile: 630-897-1042

Mail to: mmoran@breakingfreeinc.org

Website: <http://www.breakingfreeinc.org>

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Please consider the environment before printing this e-mail



www.RenzCenter.org

ADMINISTRATION

One American Way
Elgin, IL 60120
(847) 742-3545
Fax: (847) 697-5583

March 1, 2012

OUTPATIENT OFFICES

Two American Way
Elgin, IL 60120
(847) 742-3545
Fax: (847) 742-3559
TDD: (847) 742-0242

309 Walnut Street
St. Charles, IL 60174
(630) 513-6886
Fax: (630) 513-6892

1535 Burgundy Parkway
Streamwood, IL 60107
(630) 837-6445
Fax: (630) 837-7184

Passage Program
Two American Way
Elgin, IL 60120
(847) 742-3545
Fax: (847) 697-5685

PREVENTION OFFICE

One American Way
Elgin, IL 60120
(847) 742-3545
Fax: (847) 697-2719

EMPLOYEE ASSISTANCE SERVICES

One American Way
Elgin, IL 60120
(847) 742-4033
Fax: (847) 697-5583

Jim Hansen
Assistant Director of Purchasing
Kane County, Illinois
HansenJim@co.kane.il.us

Dear Mr. Hansen:

This is in request to your Contractor Disclosure request.

Renz Addiction Counseling Center has not made any campaign contributions to any county-wide elected officers in the last 12 months nor do we intend to at any time in the future.

Attached is a listing of the Board of Directors of the Agency as per your request.

Sincerely,

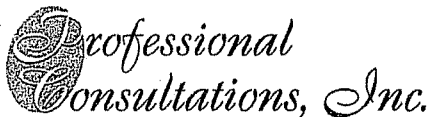
A handwritten signature in black ink, appearing to read "Jerry Skogmo". The signature is written in a cursive style with a long horizontal line extending to the right.

Jerry Skogmo
Executive Director

Restoring Hope &
Recovering Lives . . .

For A Healthier, Safer
Community





Family Counseling & DUI Centers since 1984

Administrative Office
745 S. Eighth St.
West Dundee, IL 60118
(800) 428-7260
Fax (847) 428-7269

www.professionalconsultations.com

February 28, 2012

Mr. Jim Hansen, Assistant Director of Purchasing
COUNTY OF KANE
Kane County Government Center
719 S. Batavia Avenue, Bldg. A.
Geneva, IL 60134

Re: Contractor Disclosure
RFQ-02-012 Evidence-based Practice

Sent via e-mail to: hansenjim@co.kane.il.us

Dear Mr. Hansen:

Thank you for providing us with the new Contractor Disclosure recently enacted by the Kane County Board.

Professional Consultations, Incorporated was established on November 1, 1989 and incorporated on January 14, 1994 as an Illinois corporation. 100 shares of common stock are authorized and currently issued as follows:

Douglas J. Milliman
Chairman of the Board & President
39W250 Herrington Blvd., Suite GG3
Geneva, IL 60134
66 shares of common stock

Carole L. Milliman
Program Specialist
11 Lilac Ln.
Trabuco Canyon, CA 92679
34 shares of common stock

Neither the corporation, stockholders or the sole corporate officer listed above have made any campaign contributions to any countywide candidate or office holder in the past 12 months. To the best of my knowledge, I believe this to be a true and accurate statement that complies completely with the attached copy of the Contractor Disclosure requirement.

Sincerely yours,

PROFESSIONAL CONSULTATIONS, Inc.

Douglas J. Milliman
Chairman of the Board & President



Jim Hansen
Assistant Director of Purchasing
Kane County, IL
Via e-mail: hansenjim@co.kane.il.us

Dear Mr. Hansen:

Gateway Foundation, Inc. has not donated to any County wide elected official in the previous 12 months. We are a private, non-profit organization without any principal owners.

Sincerely,

A handwritten signature in cursive script that reads "Michael J. Darcy".

Michael J. Darcy
President & CEO

Behavioral Health Services

675 Varsity Drive

Elgin, IL 60120

847-741-2600

847-741-3248 Fax



Lutheran Social Services of Illinois

April 19, 2012

Dear Jim Hansen:

Lutheran Social Services of Illinois (LSSI) is a not-for-profit Illinois (501) (c) (3) corporation, and thus does not have owners. However, attached is a current list of the LSSI Board of Directors and the LSSI Credit Reference Data. Additionally, as a (501) (c) (3) not-for-profit corporation, LSSI cannot and does not make any campaign contributions within Kane County or any other jurisdiction.

Respectfully Submitted,

Joseph L. Paul

Joseph L Paul
Director



Lutheran Social Services of Illinois

1001 EAST TOUHY AVENUE, SUITE 50
DES PLAINES, ILLINOIS 60018

www.LSSI.org

Board of Directors

March 14, 2012

<u>OFFICERS</u>	<u>GENDER/ RACE</u>	<u>COMMUNITY AFFILIATION/OCCUPATION</u>	<u>TERM OF OFFICE</u>
The Rev. Robert J. Rasmus, Chairperson 611 W. Indiana Ave. Urbana, IL 61801	M/W	Parish Pastor St. Matthew Lutheran Church Urbana, Illinois	3/06-6/2012
Ms. Karen L. Leets, Vice Chairperson 4711 Torphin Hill Court Naperville, IL 60654	F/W	Vice President & Treasurer USG Corporation Chicago, Illinois	4/08-6/2013
Ms. Jeanne Rapp, Secretary 21912 N 1710 East Road Pontiac, IL 61764	F/W	Land owner/manager/retired organizational consultant Pontiac, Illinois	6/08-6/2014
Mr. Eric J. Draut, Treasurer 524 S. Banbury Road Arlington Heights, IL 60005	M/W	Executive VP/CFO, Retired Unitrin, Inc. Chicago, Illinois	4/06-6/2014
<u>DIRECTORS</u>			
Steven C. Bahls, J.D. 639 38th Street Rock Island, IL 61201-2296	M/W	President Augustana College Rock Island, Illinois	4/07-6/2013
Mr. William Bartlett 990 Waco Way Poplar Grove, IL 61065	M/W	President/CEO First American Plastic South Beloit, Illinois	5/09-6/2012
Ms. Patricia S. Cain 1309 Evergreen Court Glenview, IL 60025	F/W	Lawyer Neal, Gerber & Eisenberg LLP Chicago, Illinois	6/09-6/2012
Mr. John W. Countryman 571 Brant Circle DeKalb, IL 60015	M/W	Lawyer The Foster & Buick Law Group Sycamore, Illinois	9/11-6/2014
The Rev. Robert A. Davis 29700 N. St. Mary's Road Libertyville, IL 60048	M/W	Parish Pastor Holy Cross Lutheran Church Libertyville, Illinois	12/11-6/2013
The Rev. Stacie Fidler 4501 7 th Ave. Rock Island, IL 61201	F/W	Parish Pastor St. John's Lutheran Church Rock Island, Illinois	1/09-6/2014
Mr. Joseph P. Galvan 2959 Kelly Drive Elgin, IL 60124	M/H	Consultant; Retired Midwest Regional Director U.S. Dept. of Housing & Urban Dev., Chicago, Illinois	11/08-6/2014

CREDIT REFERENCE DATA

Lutheran Social Services of Illinois is a not-for-profit Illinois corporation, established in 1867, providing a wide spectrum of social services throughout the State of Illinois.

FEIN # 36-2584799 TAX STATUS: EXEMPT [Section (501) (c) (3)]
INCORPORATED: STATE OF ILLINOIS - JUNE 25, 1965
DUN & BRADSTREET: #076855626 (Rating 4A2)

OFFICERS: Pastor Frederick Aigner, President & CEO
David M.A. Jensen, Chief Operating Officer
Gerald E. Noonan, Vice President of Finance/CFO
Jean Johnson, Vice President for Advancement
Donna Barber, Vice President of Human Resources

BANK REFERENCE:

JP Morgan Chase Bank, N.A.
10 South Dearborn Street, Mail Code IL1-1228
Chicago, IL 60603-2003
312/ 732-6927 or Fax 312/732-7005
E-mail: bruce.j.davis@jpmchase.com
A/C #: 4039033 (ABA# 071000013)
Contact: Mr. Bruce J. Davis, Vice President

TRADE REFERENCES:

Elk Grove Graphics
1200 Chase Avenue
Elk Grove Village, IL 60007
847/439-7834
Contact: Mary Zietlow

Pitney Bowes
1842 Brummel Avenue
Suite 101
Elk Grove Village, IL 60007-2121
Ph # 800/322-8000 Ext 64089
Ms. Jayne Doughty Fax 847/228-7663

Alpha Graphics – Des Plaines
1580 River Road
Des Plaines, IL 60018
Contact: Mary Winkleman
847/296-9225

ARAMARK
1851 Howard Street, Suite F
Elk Grove Village, IL 60017
Ph # 847/472-7302
Credit Reference Contact: Priscilla
Fax: 847/593-6300

Hansen, Jim

From: Tom Stamas <Tom.Stamas@serenityhouse.com>
Sent: Wednesday, April 18, 2012 4:07 PM
To: Hansen, Jim
Subject: RE: Disclosure Statement

Jim,

I am informing you that Serenity House Counseling Services, Inc. is a non profit 501c3 organization which there is no ownership and Serenity House has not made any campaign contributions to any elected officials.

If you need any other information, feel free to contact me.

Tom Stamas MA, LPC, CADC
Acting & Clinical Director
Serenity House Counseling Services, Inc.
630-620-6616 ext.105
www.serenityhouse.com

From: Hansen, Jim [<mailto:HansenJim@co.kane.il.us>]
Sent: Wednesday, April 18, 2012 2:15 PM
To: Tom Stamas
Subject: Disclosure Statement

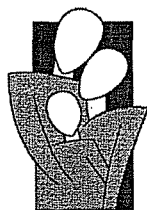
Tom,

At the next Kane County Board meeting, the Board will be selecting organizations from the Request for Qualifications that were submitted to provide substance abuse services as part of the provider network which is being developed by the Kane County Court Services. As part of the process, I will need to have a Contractors Disclosure Statement on file from your organization.

The County Board recently passed a Resolution amending its Ethics Ordinance. All contractors seeking or who are part a contract(s) greater than \$15,000 shall now disclose in writing all cumulative campaign contributions made in previous 12 months to any current county-wide elected officer. The Contractor shall also provide name, address and percentage of ownership interest of each individual or entity having a legal or a beneficial interest of more than five percent (5%) in the company. This information shall be provided to the Purchasing Department since your company could be over the \$15,000 threshold.

I have attached the current Contractor Disclosure Statement requirements for your reference. Basically, we are looking for your statement on your company letterhead stating whether (if yes, please list) or not you have donated to any County wide elected official and list of your principal owners and their addresses. If you have any questions please feel free to contact me. You may e-mail the statement to me.

Thanks,



H.A.S.

Healthcare Alternative Systems, Inc.

Providing a continuum of multicultural and bilingual (English/Spanish) behavioral care and social services that empower individuals, families and communities

web site: www.hascares.org

CITY OF CHICAGO LOCATIONS

**Administrative Office
& North Outpatient
Tx. Program**
2755 W. Armitage Ave.
Chicago, Illinois 60647
Tel. (773) 252-3100
Fax (773) 252-8945

**South Outpatient Tx. &
Prevention Programs**
4534 S. Western Ave.
Chicago, Illinois 60609
Tel. (773) 254-5141
Fax (773) 254-5753

Women's Tx. Program
1942 N. California Ave.
Chicago, Illinois 60647
Tel. (773) 292-4242
Fax (773) 292-0355

Residential Tx. Program
1949 N. Humboldt Blvd.
Chicago, Illinois 60647
Tel. (773) 252-2666
Fax (773) 252-0527

Transitional Housing
1866 N. Milwaukee Ave.
Chicago, Illinois 60647
Tel. (773) 782-4734
Fax (773) 782-8160

**Domestic Violence &
Youth Programs**
5005 W. Fullerton Ave.
Chicago, Illinois 60639
Tel. (773) 745-7107
Fax (773) 745-9902

OMT Program
210 N. Ashland Ave.
Chicago, Illinois 60607
Tel. (312) 948-0200
Fax (312) 948-0600

SUBURBAN LOCATIONS

Outpatient Tx. Program
799 Roosevelt Rd.
Bldg. 6, Suite 312
Glen Ellyn, Illinois 60137
Tel. (630) 942-9720
Fax (630) 942-9725

Outpatient Tx. Program
1115 N. 23rd Avenue
Melrose Park, IL 60160
Tel. (773) 387-4843
Fax (708) 345-4519

April 24, 2012

Mr. Jim Hansen
Assistant Purchasing Director
719 S. Batavia Ave.
Bldg. A, 2nd Floor
Geneva, Illinois 60134

Dear Mr. Hansen:

Healthcare Alternative Systems, Inc. (HAS) is a nonprofit 501 (c) (3) organization incorporated in the State of Illinois providing substance abuse treatment and other behavioral health care services. For nearly 40 years HAS has empowered individuals to overcome their addictions.

HAS is governed by the Board of Directors who as such receive no financial compensation or remuneration for their services nor have any ownership interest or shares.

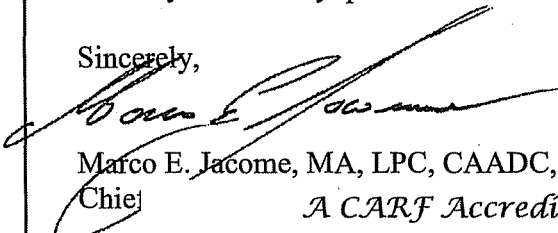
Please be advise that to the best of my knowledge during the last 12-month period HAS nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe a public officer or employee of the City, the State of Illinois, or any agency of the federal government.

To the best of my knowledge HAS nor any controlling person during the last 12-month period has provided any gifts or monetary contributions to an employee, or elected or appointed official of the City of Chicago or State of Illinois.

To the best of my knowledge HAS nor any controlling person during the last 12-month period has declared any familial relationship with an elected city official or a head of a department of the City of Chicago or State of Illinois.

I hope this information is satisfactory for your purposes. Please feel free to contact me at (773) 252-3100 ext. 222 or via email at mjacome@hascares.org should you have any questions.

Sincerely,


Marco E. Jacome, MA, LPC, CAADC, CEAP
Chief

A CARF Accredited Organization

TOOLS FOR LIFE**CONTRACTOR DISCLOSURE**

1. Tools For Life as a corporation, nor any of Tools For Life owners, directors, or officers have contributed to any political funds, candidates, or lobbyists in the past 12 months.

2a. The following is a disclosure of parties that have an ownership investment in Tools For Life, Ltd

Steven Fiorito, Owner 50% shareholder
393 Kensington Dr, Oswego IL 60543

Michelle Simmons, Owner 50% shareholder
393 Kensington Dr. Oswego IL 60543

2b Tools For Life has no associations with lobbyists, agents and/or representatives and/or any individuals who are or will be having contact with County employees or officials in relation to the contract or bid.

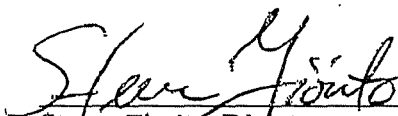
2c No interests identified in paragraph 2a.

2d. Steven Fiorito and Michelle Simmons-Fiorito make this statement under oath that disclosures of our economic interests in the undertaking nor reserved any information, data or plan as to the intended use or purpose for which it seeks County Board or other county agency action. This oath is acknowledged by the written signature of both parties noted

3. All disclosure and information are current as of April 19, 2012.

4. Tools For Life understands that any additional information required with respect to contracts awarded and relevant to the application submitted will be submitted by this agency and ownership

5. Tools For Life, Ltd and it's owners understand that failure to comply with the provisions of this section shall render any ordinance, ordinance amendment, County Board approval or other County action I behalf of the applicant failing to comply voidable at the option of the County Board or other County agency involved upon the recommendation of the County Board Chairman or the majority of the County Board.


Steven Fiorito, Director


Michelle Simmons, Director

35 S Stolp Ave
Aurora Illinois 60506

630-906-1200
630-906-1203 (fax)
www.tools4life.info



Youth & Family Services
2840 Liberty Avenue, Suite 300
Pittsburgh, Pennsylvania 15222

MAIN TEL: 412 208 4000
FAX: 412 227 2720
www.abraxasyfs.com

April 24, 2012

Via e-mail

Mr. Jim Hansen
Assistant Director of Purchasing
Kane County
719 South Batavia Avenue
Geneva, Illinois 60134

Re: Contractors Disclosure Statement

Dear Mr. Hansen,

In compliance with the County Board's Resolution amending its Ethics Ordinance, I would like to provide the requested information on behalf of Cornell Interventions, Inc.

We have not made any campaign contributions to any current county wide-elected officer, nor do we have any lobbyists in relation to this agreement. There is no individual who has a legal or beneficial interest of greater than five (5%) in the company.

Should you have any questions or need any additional information, please feel free to contact me at (412) 201-4117.

Regards,

A handwritten signature in black ink, appearing to read "Jonathan P. Swatsburg".

Jonathan P. Swatsburg,
Divisional Vice President

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 134

**KANE COUNTY COURT SERVICES
DRUG AND ALCOHOL ASSESSMENT AND EDUCATION PROVIDERS LIST**

WHEREAS, the Sixteenth Judicial Circuit Court has the authority to direct adult and juvenile offenders to participate in assessment and education services by individual court order (730 ILCS 5/5-6-3 (b)(4) and 405/5-720(7)); and

WHEREAS, the Kane County Court Services Department (the Department) has requested professional services to be provided for offenders under the Department's supervision; and

WHEREAS, the Department is interested in obtaining specific professional services for offenders that align with the Department's goals of implementing Evidence-Based Practices in order to reduce the rate of reoffending; and

WHEREAS, the Department has issued a Request for Qualifications (RFQ) seeking all qualified drug and alcohol providers that provide services to the residents in Kane County and from those responses had established a Providers List; and

WHEREAS, the Providers on the list are in the business of offering such services to offenders and are willing to provide such services to the Department's referred offenders; and

WHEREAS, the Providers on the list are willing to enlist the positive supports within the community to reinforce lawful behaviors of offenders; and

WHEREAS, the Department supervises offenders who may have need to obtain drug and alcohol assessment or who may be subject to obtaining drug and alcohol assessment and education as a condition of compliance with administrative sanctions (730 ILCS 5/5-6-3(b)(4) and 405/5 720(7)); and

WHEREAS, the Department wishes to collaborate with community based drug and alcohol providers who agree to collect and report outcome data to enhance services and support the goals of implementing Evidence-Based Practices.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the County Board Chairman is authorized to enter into agreements with the listed Providers to provide drug and alcohol assessments and educational classes for offenders as referred by the Court Services Department, which in aggregate shall not exceed \$150,000 annually, but with no guaranteed minimum per agency as referrals are based on individual assessed need with no pre-payment of services, with a guaranteed base payment of \$65 per assessment as fee for services and \$30.00/hour for group sessions only for Early Intervention Program services (EIP) (educational classes). EIP classes will not exceed ten sessions or \$300 as fee for services.

Line Item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds currently available for this personnel/item/service in the specific line item?	If funds are not currently available in the specified line item, where are the funds available?
270.430.460.50150	Probation Services	Yes	Yes	Not Applicable
273.430.464.50150	Drug Court Special Resources	Yes	Yes	Not Applicable
275.430.463.50150	Juvenile Drug Court Contractual Services	Yes	Yes	Not Applicable

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:
Yes _____
No _____
Voice _____
Abstentions _____

5DrugAlcoholEd



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-135

☒ Resolution
☐ Ordinance

Name Transit Sales Tax Bond Project Re-Appropriations

Presenter/Sponsor:

Gary Sirotzke, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: N/A

If not budgeted,
explain funding
source

SUMMARY: As the Transit Sales Tax Bond Construction Fund (513) needs to be fully expended within two to three years of issuance of the \$40,000,000 of Series 2009A and 2009B Series General Obligation Alternate Bonds (Regional Transportation Authority Sales Tax Alternate Revenue Source), staff is utilizing various finance methods such as budget adjustments, fund reallocation, and re-appropriations for eligible projects to expedite the use of the funds.

The attached Transit Sales Tax Bond Project Re-Appropriations resolution reallocates approximately \$4.8 million of the remaining open contracts/purchase orders associated with the Transit Sales Tax Bond Fund to other highway funds. Please be advised that additional action may be needed within the next month or two to fully close the fund.

Attachments: Resolution

Detailed information available from :

Staff Name: Gary Sirotzke, Chief of Finance

Phone: (630) 845-7872

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 -135

TRANSIT SALES TAX BONDS PROJECT RE-APPROPRIATIONS

WHEREAS, the County has appropriated, budgeted, expended and plans to expend monies from various funds and associated accounts for various highway purposes; and

WHEREAS, The County has issued Series 2009A and Series 2009B General Obligation Alternate Bonds (Regional Transportation Authority Sales Tax Alternate Revenue Source) for highway improvement purposes; and

WHEREAS, the County has determined it to be advantageous to re-appropriate certain previously appropriated and expended funds (transfer future indebtedness) from the Transit Sales Tax Bond Construction Fund to various other funds and accounts.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the monies previously appropriated by the Resolutions and Purchase Orders as set forth in Column "A" of the attached spread sheet, as previously approved by the Kane County Board for the projects indicated in column "B", be and are hereby re-appropriated along with the future indebtedness transferred in the amounts as set forth in Column "C" to the Funds and Accounts as indicated in Column "D" from the Transit Sales Tax Bond Construction Fund.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5TRNSITBNDFNDRE-APP.4GS

Transit Sales Tax Bond Construction Fund Re-Appropriations

A		B	C	D
Resolution No.	Purchase Order No.	Projects	Transit Sales Tax Bond Construction Fund Re-Appropriation	Re-Appropriation Fund Transfer To
09-126	2009-1133	BowesRd Ovr Fitchie Creek	\$108,976.59	540.520.525.73010
10-190	2010-1421	Damisch Road over Tyler Creek	\$44,305.24	540.520.525.73010
09-174	2009-1295	Keslinger over Mill Creek Branch	\$165,424.79	540.520.525.73010
11-187	2011-1674	Orchard -Jericho to Rt.30	\$1,806,646.34	540.520.525.73000
11-100	2011-1074	Randall & Fabyan	\$190,721.69	540.520.525.73000
05-324	2007-123	Randall & IL Rt64	\$1,371,636.00	540.520.525.73000
09-476	2010-137	Stearns Rd Bridge - Stg 3A	\$786,587.11	304.520.524.50140
11-95	2011-977	Stearns Rd Bridge - Stg 5B Umbdenstock	\$270,841.19	540.520.525.50140

Total Reimbursement = \$4,745,138.95



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-136

☒ Resolution
☐ Ordinance

Name FY 2012 Fund Transfers, Transit Sales Tax Bond

Presenter/Sponsor: Gary Sirotzke, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: N/A

If not budgeted,
explain funding
source

SUMMARY: As the Transit Sales Tax Bond Construction Fund (513) needs to be fully expended within two to three years of issuance of the \$40,000,000 of Series 2009A and 2009B Series General Obligation Alternate Bonds (Regional Transportation Authority Sales Tax Alternate Revenue Source), staff is utilizing various finance methods such as budget adjustments, fund reallocation, and re-appropriations for eligible projects to expedite the use of the funds.

The attached Fund Transfers resolution reimburses previously expended funds from the MFT Local Option Fund (304), the Transportation Capital Fund (540), and the Transportation Sales Tax Fund (305) to the Transit Sales Tax Bond Construction Fund (513) to expedite the use of the Bond funds. Please be advised that additional action may be needed within the next month or two to fully close the fund.

Attachments: Resolution

Detailed information available from :

Staff Name: Gary Sirotzke, Chief of Finance

Phone: (630) 845-7872

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 136

**FY 2012 FUND TRANSFERS
TRANSIT SALES TAX BOND**

WHEREAS, the County has appropriated, budgeted, expended, and plans to expend monies from various funds and associated accounts for various highway purposes; and

WHEREAS, the County has issued Series 2009A and Series 2009B General Obligation Alternate Bonds (Regional Transportation Authority Sales Tax Alternate Revenue Source) for highway improvement purposes; and

WHEREAS, the County has determined it to be advantageous and appropriate to reimburse certain previously expended funds and accounts as well as transfer future indebtedness from the Transit Sales Tax Bond Construction Fund to various other funds and accounts.

NOW THEREFORE BE IT RESOLVED that the monies indicated as previously appropriated and expended pursuant to the Resolutions and Purchase Orders as set forth in Column "A" of the attached spread sheet for the highway improvement projects indicated in column "B" be reimbursed in the amounts as set forth in Column "C" to the Funds and Accounts as indicated in Column "D" by the Transit Sales Tax Bond Construction Fund.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5TRNSITBNDEXPTTRANSFERS.4GS

**FY 2012 Fund Transfers
Transit Sales Tax Construction Bond Fund**

A		B	C	D
Resolution No.	Purchase Order No.	Projects	Fund Reimbursement to Prior Account	Prior Account
11-188	2011-1675	Big Timber Over Tyler & Pingree 045-3323	\$134,059.34	304.520.524.73000
11-187	2011-1674	Orchard - Jericho to Rt.30	\$916,320.47	304.520.524.73000
11-68	2011-563		\$244,032.34	540.520.525.50140
11-74	2011-590	Randall Over I-88	\$178,691.23	540.520.525.50140
09-172	2009-1316	Stearns Rd Bridge - Stg 4 McLean to IL25	\$786,548.48	305.520.527.73010
09-260	2009-2026	Stearns Rd Bridge - Stg 5 McLean Blvd to Randall	\$383,189.35	305.520.527.73010

Total Reimbursement = \$2,642,841.21



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-137

☒ Resolution
☐ Ordinance

Name Approving an Intergovernmental Agreement Between the County of Kane and the Village of Elburn for the Construction of Anderson Road Extended Including a Bridge Over the Union Pacific Railroad Tracks and Metra Coach Yard

Presenter/Sponsor: Steve Coffinbargar, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: An Intergovernmental Agreement between the County of Kane and the Village of Elburn is necessary to improve and extend Anderson Road between Keslinger Road and IL38. This project encompasses the design and construction of a grade separation over the Union Pacific Railroad tracks and the METRA Coach Yard east of Elburn. The improvement will facilitate access to the Metra Station, provide an alternative and bypass for the IL47 atgrade crossing, improve emergency response times, and provide pedestrian/bicycle connections. The primary components of the agreement includes:

- County acts as lead agency for construction
- Temporary jurisdictional transfer of existing portions of Anderson Road from the Village to the County
- Jurisdictional transfer of Anderson Road back to the Village one year after completion and open to the motoring public
- County and Village share in bridge maintenance with the Village receiving full maintenance responsibility at 20 years
- Village cost participation
- Project subject to funding earmarks, land acquisition, and awarding by IDOT

Attachments: Resolution

Detailed information available from :

Staff Name: Tom Rickert, Deputy Director

Phone: (630) 406-7305

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 137

**APPROVING AN INTERGOVERNMENTAL AGREEMENT BETWEEN THE
COUNTY OF KANE AND THE VILLAGE OF ELBURN FOR THE CONSTRUCTION OF ANDERSON
ROAD EXTENDED INCLUDING A BRIDGE
OVER THE UNION PACIFIC RAILROAD TRACKS AND METRA COACH YARD**

WHEREAS, the County of Kane (County), the Village of Elburn (Village) and the Commuter Rail Division of the Regional Transportation Authority (METRA) previously entered into an agreement to facilitate the design and construction of a bridge over the Union Pacific Railroad tracks and the METRA coach yard east of Elburn, Illinois; and

WHEREAS, in furtherance of their commitments, the County and the Village desire to construct, improve and extend Anderson Road between Keslinger Road and Illinois State Route 38 by extending Anderson Road and constructing the aforesaid bridge (the "Project"); and

WHEREAS, the Project is of regional transportation significance and is funded from various federal, state and local sources; and

WHEREAS, the County and the Village have determined a mutually satisfactory allocation of responsibilities and costs for said Project as set forth in an intergovernmental agreement between the Village and the County (a copy of which is on file in the office of the Kane County Clerk).

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the County Board Chairman is hereby authorized and directed to sign an intergovernmental agreement with the Village of Elburn for the extension of Anderson Road, including the construction of a bridge over the Union Pacific Railroad Company's tracks and METRA coach yard east of Elburn, Illinois.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5ANDRSNELBRNIGA.4PJ



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-138

- ☒ Resolution
☐ Ordinance

Name Approving an Agreement for Regional Planning Liaison Services

Presenter/Sponsor: Steve Coffinbargar, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: N/A

If not budgeted,
explain funding
source

SUMMARY: The Kane/Kendall Council of Mayors receives federal transportation planning funds to perform various planning and programming activities for Kane County and Kendall County. The Chicago Metropolitan Agency for Planning (CMAP) directs these funds to the Kane County Division of Transportation (KDOT) to provide transportation planning staff to the Kane/Kendall Council of Mayors for regional coordination and planning activities.

KDOT staff facilitate strategic participation by local officials in the region's planning process as required by SAFETEA-LU and the Regional Planning Act. Staff also support the Council of Mayors by assisting with the development and management of the Surface Transportation and the Congestion Mitigation Air Quality Programs, general liaison services, technical support, and communications.

The proposed FY2013 agreement to be executed with CMAP will be nearly identical to the FY2012 agreement and Planning Liaison Scope of Services. It is anticipated that the County will receive \$155,716.15 in federal funding to provide these services in FY2013.

Attachments: Resolution, FY2013 Planning Liaison Scope of Services

Detailed information available from :

Staff Name: Jan Ward, Planning & Programming Chief

Phone: 630/444-3143

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 138

**APPROVING AN AGREEMENT
FOR REGIONAL PLANNING LIAISON SERVICES**

WHEREAS, the Council of Mayors program of the Chicago Metropolitan Agency for Planning (CMAP) was established to provide a forum for early public involvement and partnerships in regional and transportation planning efforts and projects developed for northeastern Illinois; and

WHEREAS, the Kane/Kendall Council of Mayors is comprised of duly elected local officials as defined in the Federal Highway Acts of 1970, 1973, and 1976, the Surface Transportation Assistance Acts of 1978, 1982, 1987, the Intermodal Surface Transportation Efficiency Act of 1991, the Transportation Equity Act for the 21st Century, the Safe, Accountable, Flexible, and Efficient Transportation Equity Act which Council of Mayors represent thirty-six (36) municipalities in Kane and Kendall Counties; and

WHEREAS, the Kane Kendall Council of Mayors receives Federal Transportation funds which it utilizes to retain professional staff assistance from the County of Kane in order to provide effective planning liaison with various regional planning and transportation agencies, to supply professional technical assistance to local units of government in order to develop and administer an annual Surface Transportation Program of over eight million dollars, and to perform transportation planning activities for the region; and

WHEREAS, the Kane Kendall Council of Mayors desires to continue to receive professional staff assistance from the County of Kane and requests that the County of Kane by and through the Kane County Division of Transportation continue to employ transportation planning professionals to provide said assistance; and

WHEREAS, it is deemed to be in the best interests of the residents of Kane County that the County of Kane enter into an agreement for regional planning liaison services with the Chicago Metropolitan Agency for Planning (a copy of which is on file in the County Clerk's office).

NOW, THEREFORE, BE IT RESOLVED that the Chairman of the Kane County Board is hereby authorized to execute an agreement with the Chicago Metropolitan Agency for Planning for the FY2013 Regional Planning Liaison Services.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
County Clerk
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes

No

Voice

Abstentions



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-139

☒ Resolution
☐ Ordinance

Name Approving an Engineering Services Agreement with Transcore ITS, LLC. for Advanced Traffic Management System, Kane County Section No. 12-00389-01-EG

Presenter/Sponsor: Steve Coffinbargar, KDOT

Budget Information: Was this item budgeted? ☐ Yes ☒ No ☐ N/A

Appropriation Amount: \$399,999.90

If not budgeted,
explain funding
source

County Highway Fund 300 - ATMS is part of the overall AOC scope, but broken out to expend the EECBG funds.

SUMMARY: Staff has completed the consultant selection process using current QBS Procedures and has negotiated a contract with TransCore ITS, LLC for an amount not to exceed \$399,999.90. Information on the QBS Process and selection details may be found on KDOT's website. Proposed project scope generally includes the development and integration of a traffic management software package and associated services integral for future AOC operations is described as follows:

- ~ CAD (Computer Aided Dispatch) communication to KaneComm and other Police/Emergency dispatch centers
- ~ Remote access to County's Traffic Signals for real-time control and monitoring
- ~ Remote access the to County's weather station data and National Weather Service information
- ~ Video (camera) management
- ~ Software Licencing
- ~ Initial training for staff
- ~ 1 year of maintenance/technical support

The services as described above are estimated to cost \$399,999 90 and are partially funded with \$200,000 in EECBG funds.

Attachments: Resolution, Draft Engineering Agreement

Detailed information available from :

Staff Name: Tom Szabo, Traffic Section Manager

Phone: 630/208-3139

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

EXHIBIT "D"

CONTRACTOR DISCLOSURE ACKNOWLEDGEMENT
KANE COUNTY CODE, ARTICLE III, DIVISION 3, SECTION 2-211

Requirement	TransCore ITS, LLC Response
1. Prior to award, every contractor or vendor who is seeking or who has obtained contracts or change orders to contracts or two (2) or more individual contracts with Kane County resulting in an amount greater than Fifteen Thousand Dollars (\$15,000) shall disclose to the Kane County Purchasing Department, in writing all cumulative campaign contributions, (which includes multiple candidates) made within the previous twelve (12) months of awarding of the contract made by that contractor, union, or vendor to any current officer or countywide elected officer whose office the contract to be awarded will benefit. Disclosure shall be updated annually during the term of a multi-year contract and prior to any change order or renewal requiring Board level approval. For purposes of this disclosure requirement, "contractor or vendor" shall include owners, officers, managers, insurance brokers, lobbyists, agents, consultants, bond counsel and underwriters counsel, subcontractors corporations, partnerships, associations, business trusts, estates, trustees, and/or beneficiaries under the control of the contracting person, and political action committees to which the contracting person has made contributions.	NONE
2. All contractors and vendors who have obtained or are seeking contracts with Kane County must disclose the following information which shall be certified and attached to the application or document. Penalties for knowingly violating disclosure requirements will potentially result in immediate cancellation of the contract, and possible disbarment from future County contracts:	
A. Name, address and percentage of ownership interest of each individual or entity having a legal or a beneficial interest of more than five percent (5%) in the applicant. Any entity required by law to file a statement providing substantially the information required by this paragraph with any other government agency may file a duplicate of such statement;	See Document entitled: "TRANSCORE ORGANIZATIONAL STRUCTURE" attached hereto.
B. Names and contact information of their lobbyists, agents and representatives and all individuals who are or will be having contact with County employees or officials in relation to the contract or bid. This information disclosure must be updated when any changes to the information occurs.	NONE
C. Whenever any interest required to be disclosed in paragraph (a) above is held by an agent or agents, or a nominee or nominees, the principals for whom such agents or nominees hold such interest shall also be disclosed. The application of a spouse or any other party, if constructively controlled by another person, or legal entity as set forth above, shall state the name and address and percentage of beneficial interest of such person or entity possessing such	Not applicable.

EXHIBIT "D"

CONTRACTOR DISCLOSURE ACKNOWLEDGEMENT
KANE COUNTY CODE, ARTICLE III, DIVISION 3, SECTION 2-211

constructive control and the relationship under which such control is being or may be exercised. Whenever a stock or beneficial interest is held by a corporation or other legal entity, such shareholder or beneficiary shall also make disclosure as required by paragraph (a) above.	
D. A statement under oath that the applicant has withheld no disclosures as to economic interests in the undertaking nor reserved any information, data or plan as to the intended use or purpose for which it seeks County Board or other county agency action.	By signature hereto, the undersigned affirms that no disclosures have been withheld.
3. All disclosures and information shall be current as of the date upon which the application is presented and shall be maintained current until such time as Kane County shall take action on the application. Furthermore, this information shall be maintained in a database by the Purchasing Department, and made available for public viewing.	All disclosures are current as of the date indicated below.
4. Notwithstanding any of the above provisions, the County Purchasing Department with respect to contracts awarded may require any such additional information from any applicant which is reasonably intended to achieve full disclosure relevant to the application for action by the County Board or any other County agency.	Understood.
5. Any failure to comply with the provisions of this section shall render any ordinance, ordinance amendment, County Board approval or other County action in behalf of the applicant failing to comply voidable at the option of the County Board or other County agency involved upon the recommendation of the County Board Chairman or the majority of the County Board.	Understood.

6. Contractor Disclosure information shall be sent to the Kane County Purchasing Department and the Kane County Division of Transportation at the following address, or via email, prior to Transportation Committee of the Kane County Board:

Kane County Government Center
Purchasing Department, Bldg A
719 S. Batavia Ave. Geneva, IL 60134
purchasing@countyofkane.org

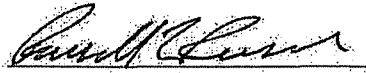
Kane County Division of Transportation
Linda Haines
41W011 Burlington Road
St. Charles, IL 60175
haineslinda@countyofkane.org

EXHIBIT "D"

CONTRACTOR DISCLOSURE ACKNOWLEDGEMENT
KANE COUNTY CODE, ARTICLE III, DIVISION 3, SECTION 2-211

Company Name: TransCore ITS, LLC
Contact Person: Christine K. Faschini, CPA, CPCM
Director of Contracts
Address: 9440 Carroll Park Drive, Suite 150
City: San Diego
State: California
Zip Code: 92121
Phone: 858.736.8236 Email: ckfaschini@transcore.com

Signature of Officer



Print Name

Russell L. Reeser

Title

TransCore Partners, LLC – Assistant Secretary
TransCore, LP – Vice President and Assistant Secretary
TransCore, ITS LLC – Vice President and Assistant Secretary

Date

4 / 10 / 2012

EXHIBIT "D"

CONTRACTOR DISCLOSURE ACKNOWLEDGEMENT
KANE COUNTY CODE, ARTICLE III, DIVISION 3, SECTION 2-211

TRANSCORE ORGANIZATIONAL STRUCTURE

Roper Industries, Inc. (a NYSE company with a market equity capitalization in excess of \$4 billion) was incorporated 12/17/81 in the state of Delaware as Dexier Industries, Inc. The name was officially changed to Roper Industries on 06/29/82. Roper Industries, Inc. acquired TransCore Holdings, Inc. and its subsidiary companies on 12/13/04.

FEIN No.: 51-0263969

Dun & Bradstreet (D&B) DUNS No.: 00-326-4850

Roper Corporate Address: 6901 Professional Parkway East, Suite 200, Sarasota, FL 34240

Corporate Address for all TransCore entities: 8158 Adams Drive, Hummelstown, PA 17036

TransCore Holdings, Inc. was incorporated 9/3/99 in the state of Delaware (following the divestiture of SAIC on this date), and is the parent company of TLP Holdings, LLC and TransCore Partners, LLC. TransCore Holdings, Inc. became a wholly owned subsidiary of Roper Industries, Inc. when it was acquired by Roper on 12/13/04.

TransCore Partners, LLC was incorporated 12/01/87 in the state of Delaware as AMGT Corporation. On 08/13/01, the name was officially changed to TransCore Partners Inc. On 07/31/05, the corporation underwent a legal business entity conversion from a corporation to a limited liability company (the FEIN did not change). TransCore Partners, LLC is the limited partner of TransCore, LP (98.864% ownership).
FEIN No.: 75-2205460

TLP Holdings, LLC was incorporated 8/13/2001 in the state of Delaware and is the general partner of TransCore, LP (1.136% ownership).
FEIN No.: 25-1894329

TransCore, LP was incorporated 3/3/94 in the state of Delaware under the name Syntonic Technology, Inc. On 11/15/99, the name was officially changed to TransCore, Inc. On 9/10/01, TransCore, Inc. underwent a legal business entity conversion from a corporation to a limited partnership (the FEIN number remained unchanged). TLP Holdings, LLC and TransCore Partners, LLC manage TransCore, LP. TransCore, LP is the limited partner of Viastar Services, LP (99% ownership). Also note that TC (Bermuda) Finance, LTD (incorporated in the Islands of Bermuda on 10/24/2001, FEIN No.: 98-0355546) was domesticated and rolled into TransCore, LP on 11/30/04. TransCore, Inc. completed its acquisition of Amtech Systems, a division of Intermec Technologies Corp., a UNOVA Inc. company on 06/30/00.
FEIN No.: 25-1730334

TransCore ITS, LLC (formerly JHK & Associates, JHK & Associates, Inc., d/b/a TransCore and subsequently TransCore ITS, Inc.) was incorporated 1/21/94 as "JHK Acquisition Corporation" in the state of Delaware. On 4/8/94, "JHK & Associates" (a California company) merged with and into "JHK Acquisition Corporation" to form "JHK & Associates, Inc." On 2/2/00, the name was officially changed to TransCore ITS, Inc. On 09/30/05, the corporation underwent a legal business entity conversion to a limited liability company (the FEIN did not change), and the name changed to TransCore ITS, LLC. TransCore ITS, LLC is a wholly owned subsidiary of TransCore, LP. JHK & Associates was incorporated on 06/02/71 in California, and the FEIN was 94-1745398 (although no "official" supporting documentation exists to substantiate this).
FEIN No.: 94-3198006

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 139

**APPROVING AN ENGINEERING SERVICES AGREEMENT
WITH TRANSORE ITS, LLC. FOR
ADVANCED TRAFFIC MANAGEMENT SYSTEM
KANE COUNTY SECTION NO. 12-00389-01-EG**

WHEREAS, Engineering services are needed for the Advanced Traffic Management System software development and Integration (herein referred to as the "Project"); and

WHEREAS, in order to accomplish the Project, it is necessary to retain the services of a professional engineering firm to provide Advanced Traffic Management System engineering services; and

WHEREAS, TransCore ITS, LLC, 2511 North 124th Street, Suite 205, Brookfield, WI 53005 has experience and professional expertise in Advanced Traffic Management System engineering and is willing to perform the required services for an amount not to exceed Three Hundred Ninety Nine Thousand Nine Hundred Ninety Nine and 90/100 Dollars (\$399,999.90) as set forth in the Advanced Traffic Management System engineering services agreement (a copy of which is on file with the County Clerk's Office).

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Chairman thereof is hereby authorized to execute an Advanced Traffic Management System engineering services agreement with TransCore ITS, LLC.

BE IT FURTHER RESOLVED that the Kane County Board appropriate the not to exceed sum of Three Hundred Ninety Nine Thousand Nine Hundred Ninety Nine and 90/100 Dollars (\$399,999.90) from County Highway Fund #300, Line Item #70020 (Computer Software) to pay for said Engineering services for the Project with approximately fifty percent (50%) thereof to be reimbursed to the County from EECBG funds.

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
300.520.520.70020	Computer Software	No	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5ATMSPHIITRNSCR.4LH



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-140

☒ Resolution
☐ Ordinance

Name Approving a Phase III Engineering Services Agreement with Knight E/A, Inc. for Arterial Operations Center, Kane County Section No. 10-00389-00-MG

Presenter/Sponsor: Steve Coffinbargar, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$174,124.07

If not budgeted,
explain funding
source

SUMMARY:

This project includes construction of a building addition to existing KDOT Building A to house a new Arterial Operation Center. Kane County requires engineering assistance for Phase III Engineering Services (Construction Engineering). Information on the QBS Process and selection details may be found on KDOT's website.

Knight A/E, Inc. was selected and a contract was negotiated to provide Phase III Engineering Services for this project. The negotiated contract is for a not to exceed amount of \$174,124.07 and is primarily funded with CMAQ (Congestion Mitigation and Air Quality) funds.

Attachments: Resolution, Draft Engineering Agreement

Detailed information available from :

Staff Name: Tom Szabo, Traffic Section Manager

Phone: 630/208-3139

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012



Engineers & Architects

April 23, 2012

Mr. Jim Hansen
Assistant Director of Purchasing
Kane County Government Center
Purchasing Department, Building A
719 S. Batavia Ave,
Geneva IL 60134

Subject: Contractor Disclosure Statement

Gentlemen:

In response to your request, Knight E/A, Inc. offers the following Contractor Disclosure Statement:

1. Cumulative campaign contributions made in the previous 12 months to any current county-wide elected offer are as follows:
 - a. Citizens for Karen McConaughay - \$750.00
 - b. Citizens to Elect Cathy Hurlbut - \$500.00
2. Ownership, lobbyist, agents:
 - a. Knight E/A, Inc. is a wholly owned subsidiary of Knight Partners, LLC, 221 N. LaSalle St., Suite 300, Chicago, IL 60601-1211
 - b. No lobbyists were engaged in relation to the contract.
 - c. Knight Partners, LLC ownership is as follows:

<u>Name</u>	<u>Pro Rata Ownership Interest</u>
JWE Knight S-Corp (James E. Wolfe, Owner)	35.03%
KEL Knight S-Corp (Kevin E. Lentz, Owner)	33.28%
Thomas J. Murphy, PC Defined Benefit Pension Plan & Trust	12.29%
MMM Knight S-Corp (Melissa M. Mulhern, Owner)	6.04%
Joseph Lamb	5.55%
DGK Knight S-Corp (Daniel G. Kavanaugh, Owner)	4.90%
CES Knight S-Corp (Charles E. Sommer, Owner)	2.91%
Total % Shares Outstanding	100.00%

Business address for all owners is same as that disclosed in 2.a. above.

- d. I certify the contents of this disclosure to be true and accurate to the best of my knowledge and that no disclosures as to the economic interests in the undertaking has been withheld nor any information, data or plan as to the intended use or purpose has been reserved.

If you should have any questions, I can be reached at (312) 577-3381.

Respectfully Submitted,

KNIGHT E/A, INC.

Kevin E. Lentz
President

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 140

**APPROVING A PHASE III ENGINEERING SERVICES AGREEMENT
WITH KNIGHT E/A, INC. FOR
ARTERIAL OPERATIONS CENTER
KANE COUNTY SECTION NO. 10-00389-00-MG**

WHEREAS, Phase III Engineering services are needed for the Kane County Division of Transportation Arterial Operations Center (herein referred to as the "Project"); and

WHEREAS, in order to accomplish the Project, it is necessary to retain the services of a professional engineering firm to provide Phase III Engineering services therefor; and

WHEREAS, Knight E/A, Inc., 221 North LaSalle, Suite 300, Chicago, IL 60601 has experience and professional expertise in Phase III Engineering and is willing to perform the required services for an amount not to exceed One Hundred Seventy Four Thousand One Hundred Twenty Four and 07/100 Dollars (\$174,124.07) as set forth in the Phase III Engineering services agreement (a copy of which is on file with the County Clerk's Office).

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Chairman thereof is hereby authorized to execute a Phase III Engineering services agreement with Knight E/A, Inc.

BE IT FURTHER RESOLVED that the Kane County Board appropriate the not to exceed sum of One Hundred Seventy Four Thousand One Hundred Twenty Four and 07/100 Dollars (\$174,124.07) from County Highway Fund #300, Line Item #72010 (Building Improvements) to pay for said Phase III Engineering services for the Project with approximately eighty percent (80%) thereof to be reimbursed to the County from federal funds.

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
300.520.520.72010	Building Improvements	Yes	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5AOCPHIIKNGHT.4LH



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-141

- ☒ Resolution
☐ Ordinance

Name Approving an Intergovernmental Agreement with the State of Illinois for Phase III Engineering Services for Arterial Operations Center, Kane County Section No. 10-00389-00-MG

Presenter/Sponsor:

Steve Coffinbargar - Assistant Director of Transportation

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: Attached is the draft Intergovernmental Agreement with IDOT that will provide for federal reimbursement of a portion of the Arterial Operations Center(AOC) Improvements. Federal Congestion Mitigation and Air Quality (CMAQ) funds of \$855,200 will provide approximately 79.6% of the estimated cost which is currently estimated at \$1,074,124. The local (County) match is estimated to be \$218,924.

Attachments: Resolution, Draft Funding Agreement

Detailed information available from :

Staff Name: Tom Szabo, Traffic Section Manager

Phone: 630/208-3139

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 141

**APPROVING AN INTERGOVERNMENTAL AGREEMENT WITH THE STATE OF ILLINOIS
FOR PHASE III CONSTRUCTION AND CONSTRUCTION ENGINEERING SERVICES FOR
AN ARTERIAL OPERATIONS CENTER
KANE COUNTY SECTION NO. 10-00389-00-MG**

WHEREAS, the Illinois Constitution of 1970, Article VII, Section 10 and the Illinois Compiled Statutes, 5 ILCS 220/1, *et seq.* authorizes the County of Kane (County) and the State of Illinois (State) to cooperate in the performance of their respective duties and responsibilities by contract and other agreements; and

WHEREAS, the County and the State (through its Illinois Department of Transportation) desire to cooperate among themselves to construct the Kane County Arterial Operations Center (hereinafter referred to as the "Project"); and

WHEREAS, the County and the State desire to undertake Phase III Construction and Construction Engineering Services for the Project at an estimated cost of One Million Seventy Four Thousand One Hundred Twenty Four and 07/100 Dollars (\$1,074,124.07); and

WHEREAS, the Projects are deemed by the County and the State to be of immediate benefit to the residents of the County of Kane and the State of Illinois in that it shall facilitate the safe and efficient movement of traffic, and provide for the safety of the motoring public; and

WHEREAS, the County and the State have determined a mutually satisfactory allocation of responsibilities and costs for said Project as set forth in an intergovernmental agreement with the State (a copy of which is on file with the County Clerk's Office), with the County's share of the Phase III Construction and Construction Engineering Services estimated to be \$218,924.00.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Chairman thereof is hereby authorized to execute an intergovernmental agreement(s) with the State of Illinois acting through the Illinois Department of Transportation for Phase III Construction and Construction Engineering Services of the Project.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-142

☒ Resolution
☐ Ordinance

Name Approving Contract for Rural Traffic Sign Upgrades, Kane County Section No. 11-00000-00-SG

Presenter/Sponsor: Steve Coffinbargar, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$ 30,098.80

If not budgeted,
explain funding
source

SUMMARY: On April 12, 2012, material bids were opened at the Division of Transportation office for the Rural Traffic Sign Upgrade Program for various qualified rural communities located in Kane County. The following communities were included in this program.

1. Village of Big Rock
2. Big Rock Road District
3. Village of Campton Hills
4. Burlington Township Road District
5. Village of Virgil
6. Virgil Township Road District

The apparent low bidder is Hall Signs, Inc. of Bloomington, Indiana with a proposed contract amount of \$30,098.80. The low bid amount is approximately 21% below the engineer's estimate of \$37,989.00. This project is funded 100% with HSIP/ HRRRP funds, which will be reimbursed to the County upon completion of the proposed sign replacement.

Attachments: Resolution, Bid Tabulation

Detailed information available from :

Staff Name: Ray Johnson, Traffic / Utility Coordinator

Phone: 630/406-7356

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012



CONTRACTOR DISCLOSURE

Ownership Interest:

- | | | |
|----------------------------------|--|---------------|
| 1. The LWH-018 Irrevocable Trust | 4495 W. Vernal Pk. Bloomington, IN 47404 | 50% ownership |
| 2. The PDH-018 Irrevocable Trust | 4495 W. Vernal Pk. Bloomington, IN 47404 | 50% ownership |

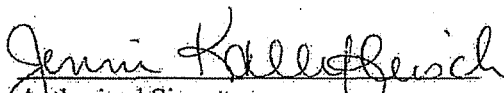
Representative/Agent Contact Information:

All of the following have the same address and phone number

Address: 4495 W. Vernal Pike Bloomington, IN 47404 / Phone No.: 812-332-9355

- | | |
|----------------------|---------------------------------|
| 1. Larry Hall | President, Treasurer |
| 2. Patricia Hall | Vice President, Secretary |
| 3. Randy Barnard | General Manager |
| 4. Angela Eads | Assistant Controller |
| 5. Janet Wilson | Customer Service Manager |
| 6. Jenni Kalbfleisch | Bid Specialist |
| 7. Brittany Doering | Accounting Specialist |
| 8. Deborah Brooking | Customer Service Representative |
| 9. Jill Johnson | Customer Service Representative |
| 10. Christine Arnold | Customer Service Representative |
| 11. Meghan Keleher | Customer Service Representative |
| 12. Natalie Garrett | Purchasing/Bid Specialist |

Hall Signs, Inc. has not withheld any disclosures as to economic interests in the undertaking nor reserved any information, data or plan as to the intended use or purpose for which it seeks County Board or other county agency action.


Authorized Signature

April 10, 2012

Date

Subscribed and sworn to before me this 10th day of April, 2012.


Notary

May 28, 2017

Commission Expires

traffic • custom • materials

Family owned for over 50 years

Hall Signs, Incorporated • www.hallsigns.com
4495 W. Vernal Pike Bloomington, Indiana 47404
(812)-332-9355 voice • (812) 332-9816 fax



CONTRACTOR DISCLOSURE ACKNOWLEDGEMENT

Pursuant to Kane County Code, Article III, Division 3, Section 2-211, Hall Signs, Inc. has not made any campaign contributions. Nor, has any person holding ownership in Hall Signs, Inc. made any campaign contributions.

Jenni Kallbach
Authorized Signature

April 10, 2012
Date

Subscribed and sworn to before me this 10th day of April, 2012.

Angela L. Eads
Notary

May 28, 2017
Commission Expires

traffic • custom • materials

Family owned for over 50 years

Hall Signs, Incorporated • www.hallsigns.com
4495 W. Vernal Pike Bloomington, Indiana 47404
(812)-332-9355 voice • (812)-332-9816 fax

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 142

**APPROVING CONTRACT FOR
RURAL TRAFFIC SIGN UPGRADES
KANE COUNTY SECTION NO. 11-00000-00-SG**

WHEREAS, the Kane County Division of Transportation has solicited and received bids for the work and/or construction described as:

KANE COUNTY SECTION NO. 11-00000-00-SG
RURAL TRAFFIC SIGN UPGRADES
(hereinafter the "Project")

WHEREAS, the lowest responsible bidder for the Project is:

HALL SIGNS, INC. OF BLOOMINGTON, IND
WITH A LOW BID OF
\$30,098.80

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Contract for the Project described hereinabove shall be awarded to the low bidder as indicated hereinabove and that the County Board Chairman is hereby authorized and directed to execute a contract and contractor's bond therefor.

BE IT FURTHER RESOLVED that there is hereby appropriated the sum of Thirty Thousand Ninety Eight and 80/100 Dollars (\$30,098.80) from County Highway Fund #300, Line Item #60430 (Sign Material).

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
300.520.520.60430	Sign Material	Yes	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5APCNRRLTRFCSGN.4LH



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-143

☒ Resolution
☐ Ordinance

Name Approving a "T" Intersection Access to Randall Road for the Dundee Township Park District

Presenter/Sponsor: Steve Coffinbargar, KDOT

Budget Information: Was this item budgeted? ☐ Yes ☐ No ☒ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: Pursuant to Resolution No. 11-64, the County approved a temporary construction access and KDOT is working with the Dundee Township Park District to finalize their Recreation and Fitness Center access design for a signalized "T" intersection on the west side of Randall Road at a location approximately 1,600 feet north of Illinois State Route 72.

The County is continuing to work with the District and Village of West Dundee to finalize an access agreement for Randall Road between Illinois State Route 72 and Binnie Road. An intergovernmental agreement is presently being negotiated for future County Board consideration which agreement will address present and planned future access locations on this segment of Randall Road and all requirements and conditions established by the County Board.

The signalized "T" intersection access will ultimately serve both the Dundee Township Park District and future private development south of the District's property between the "T" intersection and Illinois State Route 72 on the west side of Randall Road and will be subject to a forthcoming intergovernmental agreement and other permitting conditions as may be required by the Kane County Engineer.

Attachments: Resolution

Detailed information available from :

Staff Name: Tom Rickert, Deputy Director

Phone: (630) 406-7305

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 143

**APPROVING A "T" INTERSECTION ACCESS
TO RANDALL ROAD FOR THE DUNDEE TOWNSHIP PARK DISTRICT**

WHEREAS, pursuant to Resolution No. 11-64, the County of Kane approved a temporary right-in/right-out access to Dundee Township Park District (District) for a Recreation and Fitness Center on the west side of Kane County Highway No. 34 (Randall Road) at a location approximately 1,600 feet north of Illinois State Route 72 until such time the District constructs a signalized "T" intersection to Randall Road; and

WHEREAS, the District has engineered the signalized "T" intersection access for the Recreation and Fitness Center and requires a permit for said access; and

WHEREAS, the County of Kane is continuing to work with the District and Village of West Dundee to finalize an access agreement for Randall Road between Illinois State Route 72 and Binnie Road and a future intergovernmental agreement is presently being negotiated for forthcoming County Board consideration which agreement will address present and planned future access locations on this segment of Randall Road and all requirements and conditions established by the County Board therefor; and

WHEREAS, the "T" intersection access requested by the District is permanent in nature and will be signalized serving both the District and future private development south of the District's property between the full access "T" intersection and Illinois State Route 72. Said "T" intersection access will also be subject to said forthcoming intergovernmental agreement and may, pursuant to said agreement become part of a future full access to Randall Road at said location.

NOW, THEREFORE, BE IT RESOLVED that the Kane County Board hereby approves permitting said signalized "T" intersection access to serve both the Dundee Township Park District and future private development south of the District's property between the "T" intersection and Illinois State Route 72 on the west side of Randall Road approximately 1,600 feet north of Illinois State Route 72 subject to a forthcoming intergovernmental agreement and other permitting conditions as may be required by the Kane County Engineer.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-144

☒ Resolution
☐ Ordinance

Name Approving Amendment No. 1 to a Phase II Engineering Services Agreement with Crawford, Murphy & Tilly, Inc. for Plank Road Realignment, Kane County Section No. 10-00095-00-FP

Presenter/Sponsor:

Steve Coffinbargar - Assistant Director of Transportation

Budget Information: Was this item budgeted?

☐ Yes ☒ No ☐ N/A

Appropriation Amount: \$23,381.64

If not budgeted,
explain funding
source

Impact Fees Fund 553

SUMMARY:

The following tasks were not part of the original scope but are deemed prudent and necessary. This is due in part to existing drainage and flooding concerns immediately upstream of the project as recently identified by Burlington's Village Engineer. It would be more cost effective to address these flooding concerns now than after construction is completed. In order to move phase 2 engineering forward with completion of plans the following tasks are requested:

- Additional field and land surveys
- Geotechnical investigations and reports
- Expanded location drainage study
- Additional plan sheets due to upstream drainage/flooding issues

The Phase 2 Engineering amendment is estimated to cost \$23,381.64.

Attachments:

Resolution, Engineering Agreement, Location Map

Detailed information available from :

Staff Name: Steve Coffinbargar, Assistant Director

Phone: 630/406-7170

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

Crawford, Murphy & Tilly, Inc.
Kane County Political Contributions
June 2010 to June 2011

6/3/2010	Citizens for Karen McConnaughay	\$750.00
08/24/2010	Citizens for Karen McConnaughay	\$250.00
01/20/2011	Kane County FOP#14	\$100.00
04/25/2011	Citizens for Karen McConnaughay	\$750.00
06/03/2011	Kane County Republican Party	\$1200.00

2011 SUMMARY BY DISCLOSURE QUESTION

CMT COMPANIES, INC. (Parent Company of CRAWFORD, MURPHY & TILLY, INC.)

Disclosure of Potential Conflicts of Interest:

- (a) *State employment, currently or in the previous 3 years, including contractual employment of services.*

No potential conflicts of interest.

- (b) *State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years.*

Dennis D. Denby:	Spouse, Janice L. Denby, was employed as a part-time program facilitator in the 4H program for Madison-St. Clair counties, University of Illinois Extension. (No longer employed. Position was eliminated due to budget cuts in May 2010.)
Randall L. Vogel:	Spouse, Jerri E. Vogel, is currently employed as a Public Service Administrator by the Illinois Gaming Board.
Laura A. Sakach:	Spouse, John Sakach, is currently employed by the Illinois Department of Corrections as a Corrections Casework Supervisor, responsible for placement of homeless and special needs parolees and for contract management for funding for contractual services.
James M. Michael:	Spouse, Erica T. Michael, is currently employed by the University of Illinois at Springfield as Assistant to the Chancellor.
Louis D. Weller	Spouse, Melinda Weller, works for the Illinois Environmental Protection Agency as a project manager for Leaking Underground Storage Tank (LUST) projects.

None of the individuals listed above is/was paid a salary exceeding \$106,447.20.

- (c) *Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years.*

No potential conflicts of interest.

- (d) *Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter.*

Bruce L. Jacobson:	Mother, Joyce E. Jacobson, was elected to serve as a village trustee for the Village of New Bedford, Illinois. (She is currently unable to serve due to declining health and admittance to a nursing home.)
Richard J. Kerhlikar:	Spouse, Judith W. Barringer, serves as a precinct committeeman for Woodside Township Precinct 19, Sangamon County, Illinois (effective May 2010). No salary in this position.

D. Kyle Peabody: Father, Richard Dean Peabody, is currently supervisor, formerly a board trustee (elected official), for Cazenovia Township in Woodford County, Illinois. Salary is \$3,600 per year.

- (e) *Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of the expenses incurred in the discharge of that office currently or in the previous 3 years.*

Eric J. Hansen Currently serving as a member of the Zoning Board for the Village of Tremont, Illinois, for which he is paid a small stipend for each meeting attended.

- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter.

No potential conflicts of interest.

- (g) *Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government.*

Daniel R. Meckes: Currently a registered lobbyist for Crawford, Murphy & Tilly, Inc., but not employed for lobbying purposes (no salary for this position).

Brian R. Whiston: In 2009, was a registered lobbyist for Crawford, Murphy & Tilly, Inc., but not employed for lobbying purposes (no salary for this position).

- (h) *Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter.*

No potential conflicts of interest.

- (i) *Compensated employment, currently or in the previous 3 years, by any registered election or reelection committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections.*

No potential conflicts of interest.

- (j) *Relationship to anyone; spouse, father, mother, son, or daughter; who was a compensated employee in the last 2 years by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections.*

Stanley P. Hansen: Mother, Janet Hansen, serves as a paid election official in Morgan County, Illinois. (Salary is less than \$106,447.20.)

**CMT Companies, Inc. Ownership
After 1.1.2011 Buy/Sell Transactions**

STOCKHOLDER	2011		OWNERSHIP VALUE \$518.22
	TOTAL SHARES	% OWNERSHIP	
DANIEL R MECKES	3,020	5.75%	\$ 1,565,024.40
MICHAEL J DOERFLER	2,830	5.38%	\$ 1,466,562.60
BERNARD D HELD	2,830	5.38%	\$ 1,466,562.60
LOUIS H DIXON	2,650	5.04%	\$ 1,373,283.00
THOMAS W ROY	2,130	4.05%	\$ 1,103,808.60
BRUCE L JACOBSON	1,900	3.61%	\$ 984,618.00
BRIAN R WELKER	1,740	3.31%	\$ 901,702.80
BRIAN R WHISTON	1,680	3.20%	\$ 870,609.60
STEVEN K SCHROLL	1,450	2.76%	\$ 751,419.00
CHARLES E TAYLOR	1,390	2.64%	\$ 720,325.80
WARREN A KNOLES	1,340	2.55%	\$ 694,414.80
TIMOTHY P TAPPENDORF	1,230	2.34%	\$ 637,410.60
RICHARD J KERHLIKAR	1,200	2.28%	\$ 621,864.00
JOHN E DRAKE	1,160	2.21%	\$ 601,135.20
ROGER A AUSTIN	1,140	2.17%	\$ 590,770.80
WILLIAM L BAILEY JR	1,140	2.17%	\$ 590,770.80
GREGORY L LAW	990	1.88%	\$ 513,037.80
EDWARD B LABELLE	970	1.85%	\$ 502,673.40
STANLEY P HANSEN	940	1.79%	\$ 487,126.80
KEVIN D NELSON	940	1.79%	\$ 487,126.80
DANIEL L PAPE	920	1.75%	\$ 476,762.40
DENNIS D DENBY	860	1.64%	\$ 445,669.20
RAED A ARMOUTI	820	1.56%	\$ 424,940.40
DOUGLAS J KLONOWSKI	760	1.45%	\$ 393,847.20
ALLEN O OERTEL	760	1.45%	\$ 393,847.20
RANDALL L VOGEL	760	1.45%	\$ 393,847.20
ERIC J HANSEN	740	1.41%	\$ 383,482.80
LAURA A SAKACH	740	1.41%	\$ 383,482.80
GREG E HEATON	670	1.27%	\$ 347,207.40
DAVID KYLE PEABODY	670	1.27%	\$ 347,207.40
KENNETH SCOTT KNIGHT	660	1.26%	\$ 342,025.20
RONALD TYLER BESALKE	640	1.22%	\$ 331,660.80
BRAD M HAMILTON	610	1.16%	\$ 316,114.20
DAVE T NAUMAN	600	1.14%	\$ 310,932.00
JOEL S KOENIG	580	1.10%	\$ 300,567.60
RICHARD G THUMA	570	1.08%	\$ 295,385.40
JEFF J FICKBOHM	550	1.05%	\$ 285,021.00
GERALD E HALM	545	1.04%	\$ 282,429.90
MATTHEW N DEMOS	540	1.03%	\$ 279,838.80
JACK W BLAKEMORE	530	1.01%	\$ 274,656.60
TIMOTHY F SUMNER	420	0.80%	\$ 217,652.40
KEVIN P FULLER	410	0.78%	\$ 212,470.20
THERESA L O'GRADY	400	0.76%	\$ 207,288.00
RONALD E BREVILLE	390	0.74%	\$ 202,105.80
JAMES M MICHAEL	380	0.72%	\$ 196,923.60
AHMAD-TALAL S IDRIS	320	0.61%	\$ 165,830.40
THOMAS M LAVIN	320	0.61%	\$ 165,830.40
ASAD M BAJWA	310	0.59%	\$ 160,648.20
DAVID L BURKUM	270	0.51%	\$ 139,919.40
MICHAEL J BURRIS	250	0.48%	\$ 129,555.00
TY C SANDER	250	0.48%	\$ 129,555.00
DANIEL J STUMPF	250	0.48%	\$ 129,555.00
JOSEPH F MILLER	240	0.46%	\$ 124,372.80
BRAIN S EADS	220	0.42%	\$ 114,008.40
LOUIS D WELLER	220	0.42%	\$ 114,008.40
WILLIAM H PEFFLEY	200	0.38%	\$ 103,644.00
RAYMOND JAY RAKERS	200	0.38%	\$ 103,644.00
MICHAEL J SMEJKAL	200	0.38%	\$ 103,644.00
GEORGE T CAIN	140	0.27%	\$ 72,550.80
JASON E FRANK	130	0.25%	\$ 67,368.60
CASSIN M REITER	130	0.25%	\$ 67,368.60
CHRIS P DAGIANTIS	120	0.23%	\$ 62,186.40
ADAM J BURNS	110	0.21%	\$ 57,004.20
MATTHEW A ZICK	110	0.21%	\$ 57,004.20
THOMAS MEMMINGER	100	0.19%	\$ 51,822.00
CHRISTINA L CRITES	80	0.15%	\$ 41,457.60
HENRY EDWARD CRAMER	70	0.13%	\$ 36,275.40
RYAN B LORTON	70	0.13%	\$ 36,275.40
CHRIS GROTH	30	0.06%	\$ 15,546.60
KRISTIN TIMMONS	30	0.06%	\$ 15,546.60
TOTAL	52,565	100.00%	\$ 27,240,234.30

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

a. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

b. If Vendor is a privately held corporation with more than 400 shareholders

- These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

c. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

1. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

2. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

3. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
4. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$196,923.60. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: JAMES M. MICHAEL
Address: 11220 GORDON DR
GLENARM, IL 62536

- ii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☒ No ☐
Spouse, Erica T. Michael, is currently employed by the University of Illinois at Springfield as Assistant to the Chancellor. Salary is less than the stated amount.
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

d. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- ii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

e. If Vendor is a privately held corporation with more than 400 shareholders

- ii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

f. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- iii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

5. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

6. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

7. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
8. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$445,669.20. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: DENNIS D. DENBY
Address: 5757 CISKOWSKI LN
EDWARDSVILLE, IL 62025

- iv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☒ No ☒
Spouse, Janice L. Denby, was employed as a part-time program facilitator in the 4H program for Madison-St. Clair counties, University of Illinois Extension. Salary was less than the stated amount. (No longer employed. Position was eliminated due to budget cuts in May 2010.)
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

g. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- iii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

h. If Vendor is a privately held corporation with more than 400 shareholders

- iii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

i. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- v. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

9. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

10. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

11. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
12. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$393,847.20. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: RANDALL L. VOGEL
Address: 2101 MORNING SUN LN
SPRINGFIELD, IL 62711

- vi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☒ No ☐
Spouse, Jerri E. Vogel, is currently employed as a Public Service Administrator by the Illinois Gaming Board. Salary is less than the stated amount.
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

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Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

j. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- iv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

k. If Vendor is a privately held corporation with more than 400 shareholders

- iv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

l. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- vii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

13. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

14. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

15. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
16. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$383,482.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %
6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:
Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____
Name: LAURA A. SAKACH
Address: 604 OLD TIPPECANOE
SPRINGFIELD, IL 62711

viii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☒ No ☐
Spouse, John Sakach, is currently employed as a Corrections Casework Supervisor by the Illinois Department of Corrections. Salary is less than the stated amount.
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

m. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- v. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

n. If Vendor is a privately held corporation with more than 400 shareholders

- v. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

o. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- ix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

17. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

18. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

19. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

20. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$114,008.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: LOUIS D. WELLER
Address: 4 SETTLERS LN
SPRINGFIELD, IL 62707

x. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☒ No ☐

Spouse, Melinda Weller, works for the Illinois Environmental Protection Agency as a project manager for Leaking Underground Storage Tank (LUST) projects. Salary is less than the stated amount.

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

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- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

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If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

p. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- vi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

q. If Vendor is a privately held corporation with more than 400 shareholders

- vi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

r. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xi. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

21. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

22. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

23. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

24. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$347,207.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: DAVID KYLE PEABODY
Address: 522 HEARTLAND DR
YORKVILLE, IL 60560

xii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☒ No ☐

Father, Richard Dean Peabody, is currently a supervisor, formerly a board trustee (elected official,) for Cazenovia Township in Woodford County, Illinois. Salary is \$3,600 per year.

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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- There are six sections to this form and each must be completed to meet full disclosure requirements.
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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

s. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- vii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

t. If Vendor is a privately held corporation with more than 400 shareholders

- vii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

u. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xiii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

25. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

26. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

27. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

28. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$984,618.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: BRUCE L. JACOBSON

Address: R R #1 BOX 119 74 CVRD BRIDGE ACRES
GLENARM, IL 62536

xiv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☒ No ☐

Mother, Joyce E. Jacobson, serves as village trustee (elected official) for the Village of New Bedford, Illinois. (She is currently unable to serve due to declining health.)

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

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If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

v. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- viii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

w. If Vendor is a privately held corporation with more than 400 shareholders

- viii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

x. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

29. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

30. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

31. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
32. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$621,864.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: RICHARD J. KERHLIKAR
Address: 125 S JEFFERSON ST NO 2407
CHICAGO, IL 60661

- xvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☒ No ☐
Spouse, Judith W. Barringer, serves as precinct committeeman for Woodside Township Precinct 19, Sangamon County, Illinois (effective May 2010). No salary for this position.
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

y. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- ix. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

z. If Vendor is a privately held corporation with more than 400 shareholders

- ix. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

aa. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

33. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

34. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

35. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
36. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$383,482.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: ERIC J. HANSEN
Address: 504 N WEST ST
TREMONT, IL 61568

- xviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | |
|--|---|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years.
<i>Currently serving as a member of the Zoning Board for the Village of Tremont, Illinois, for which he is paid a small stipend for each meeting attended.</i> | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

bb. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- x. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

cc. If Vendor is a privately held corporation with more than 400 shareholders

- x. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

dd. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

37. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☒ Yes ☐ No

38. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

39. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

40. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$1,565,024.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: DANIEL R. MECKES
Address: 1732 GREENING LN
ST LOUIS, MO 63122

xx. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☒ No ☐

Currently a registered lobbyist for Crawford, Murphy & Tilly, Inc., but not employed for lobbying purposes. (No salary for this position.)

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ee. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ff. If Vendor is a privately held corporation with more than 400 shareholders

- xi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

gg. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xxi. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

41. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

42. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

43. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
44. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$870,609.60. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %
6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:
Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____
Name: BRIAN R. WHISTON
Address: 23 CABIN SMOKE TRAIL
SPRINGFIELD, IL 62707

xxii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☒ No ☐
In 2009, was a registered lobbyist for Crawford, Murphy & Tilly, Inc., but not employed for lobbying purposes. (No salary for this position.)
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

hh. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ii. If Vendor is a privately held corporation with more than 400 shareholders

- xii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

jj. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xxiii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

45. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

46. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

47. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
48. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$487,126.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: STANLEY P. HANSEN
Address: 2704 KEATS
SPRINGFIELD, IL 62711

xxiv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☒ No ☐

Mother, Janet Hansen, serves as a paid election official in Morgan County, Illinois. Salary is less than the stated amount.

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

kk. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xiii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ll. If Vendor is a privately held corporation with more than 400 shareholders

- xiii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

mm. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

xxv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

49. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☒ Yes ☐ No

50. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

51. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
52. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$1,466,562.60. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %
6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:
Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____
Name: MICHAEL J. DOERFLER
Address: 1300 OAKLAND HILLS COURT
SPRINGFIELD, IL 62704

xxvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

nn. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xiv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

oo. If Vendor is a privately held corporation with more than 400 shareholders

- xiv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

pp. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

xxvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

53. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☒ Yes ☐ No

54. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

55. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

56. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$1,466,562.60. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: BERNARD D. HELD
Address: 15221 S HERITAGE DR
PLAINFIELD, IL 60544

xxviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

qq. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

rr. If Vendor is a privately held corporation with more than 400 shareholders

- xv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

ss. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

xxix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

57. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☒ Yes ☐ No

58. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

59. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

60. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$1,373,283.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: LOUIS H. DIXON
Address: 2040 WIGGINS AVE
SPRINGFIELD, IL 62704

xxx. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

tt. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xvi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

uu. If Vendor is a privately held corporation with more than 400 shareholders

- xvi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

vv. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xxxi. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

61. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

62. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

63. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
64. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$1,103,808.60. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %
6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:
Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____
Name: THOMAS W. ROY
Address: 316 CUMBERLAND DR
ROCHESTER, IL 62563

xxxii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ww. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xvii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

xx. If Vendor is a privately held corporation with more than 400 shareholders

- xvii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

yy. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xxiii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

65. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

66. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

67. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
68. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$901,702.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %
6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:
Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____
Name: BRIAN R. WELKER
Address: 2269 RIVER WOODS DR
NAPERVILLE, IL 60565

xxxiv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

zz. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xviii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

aaa. If Vendor is a privately held corporation with more than 400 shareholders

- xviii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

bbb. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

xxxv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

69. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

70. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

71. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
72. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$751,419.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %
6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:
Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____
Name: STEVEN K. SCHROLL
Address: 400 EAGLE RIDGE DR
CHATHAM, IL 62629

xxxvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ccc. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xix. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ddd. If Vendor is a privately held corporation with more than 400 shareholders

- xix. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

eee. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

xxvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

73. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

74. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

75. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

76. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$720,325.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: CHARLES E. TAYLOR
Address: 2017 APPLETON
SPRINGFIELD, IL 62711

xxxviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

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If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

fff. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xx. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ggg. If Vendor is a privately held corporation with more than 400 shareholders

- xx. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

hhh. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

xxxix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

77. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

78. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

79. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

80. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$694,414.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: WARREN A. KNOLES
Address: 3101 JALNA DR
SPRINGFIELD, IL 62704

xl. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

iii. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

jjj. If Vendor is a privately held corporation with more than 400 shareholders

- xxi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

kkk. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xli. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

81. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

82. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

83. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

84. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$637,410.60. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: TIMOTHY P. TAPPENDORF
Address: 1932 MESSINGER TRAIL
SHILOH, IL 62226

xlii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

III. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

mmm. If Vendor is a privately held corporation with more than 400 shareholders

- xxii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

nnn. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xlili. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

85. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

86. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

87. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

88. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$601,135.20. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: JOHN E. DRAKE
Address: 4020 EAGLE WING RD
SPRINGFIELD, IL 62711

xliv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ooo. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxiii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ppp. If Vendor is a privately held corporation with more than 400 shareholders

- xxiii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

qqq. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xlv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

89. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

90. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

91. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No
92. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No
5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$590,770.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %
6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:
Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____
Name: ROGER A. AUSTIN
Address: 807 RANDOLPH CT
SPRINGFIELD, IL 62702

xlvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

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This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

rrr. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxiv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

sss. If Vendor is a privately held corporation with more than 400 shareholders

- xxiv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

ttt. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xlvi. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

93. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

94. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

95. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

96. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$590,770.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
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>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: WILLIAM L. BAILEY
Address: 8753 LILY COURT
ZIONSVILLE, IN 46077

xlvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

uuu. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

vvv. If Vendor is a privately held corporation with more than 400 shareholders

- xxv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

www. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xlix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

97. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

98. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

99. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

100. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$513,037.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: GREGORY L. LAW
Address: 938 TIMBER GLEN LANE
BALLWIN, MO 63021

I. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

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This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

xxx. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

xxvi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

yyy. If Vendor is a privately held corporation with more than 400 shareholders

xxvi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

zzz. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- ii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

101. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

102. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

103. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

104. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$502,673.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: EDWARD B. LABELLE
Address: 1300 SOUTHERN HILLS LANE
SPRINGFIELD, IL 62704

lii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

aaaa. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxvii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

bbbb. If Vendor is a privately held corporation with more than 400 shareholders

- xxvii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

cccc. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- liii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

105. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

106. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

107. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

108. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$487,126.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: KEVIN D. NELSON

Address: 3611 OTHELLO

NAPERVILLE, IL 60564

liv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

dddd. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxviii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

eeee. If Vendor is a privately held corporation with more than 400 shareholders

- xxviii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

ffff. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- iv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

109. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

110. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

111. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

112. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$476,762.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: DANIEL L. PAPE

Address: 4N412 SAMUEL CLEMENS COURSE
ST CHARLES, IL 60175

lvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

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This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

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gggg. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxix. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

hhhh. If Vendor is a privately held corporation with more than 400 shareholders

- xxix. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

- iiii. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

113. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

114. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

115. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

116. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$424,940.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: RAED A. ARMOUTI
Address: 123 CHAMBERLAIN DR
EDWARDSVILLE, IL 62025

lviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

jjjj. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxx. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

kkkk. If Vendor is a privately held corporation with more than 400 shareholders

- xxx. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

llll. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

117. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

118. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

☐ Yes ☒ No

☐ Yes ☒ No

0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

ix. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

mmmm. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxxi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

nnnn. If Vendor is a privately held corporation with more than 400 shareholders

- xxxi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

oooo. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxi. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

121. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

122. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

123. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

124. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$393,847.20. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):

0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: ALLEN O. OERTEL

Address: 69 CIRCLE DR

SPRINGFIELD, IL 62703

lxii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

pppp. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxxii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

qqqq. If Vendor is a privately held corporation with more than 400 shareholders

- xxxii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

rrrr. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxiii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

125. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

126. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

127. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

128. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$347,207.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: GREG E. HEATON
Address: 1722 FALLHAVEN DRIVE
COLUMBUS, OH 43235

lxiv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ssss. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxxiii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

tttt. If Vendor is a privately held corporation with more than 400 shareholders

- xxxiii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

uuuu. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

129. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

130. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

131. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

132. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$342,025.20. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: KENNETH SCOTT KNIGHT
Address: 6 OAK CREST DRIVE
BETHALTO, IL 62010

lxvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

www. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxxiv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

www. If Vendor is a privately held corporation with more than 400 shareholders

- xxxiv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

- xxxx. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

133. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

134. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

135. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

136. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$331,660.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: RONALD TYLER BESALKE
Address: 4672 BARRINGTON DR
SPRINGFIELD, IL 62711

lxviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

yyyy. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

xxxv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

zzzz. If Vendor is a privately held corporation with more than 400 shareholders

xxxv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

aaaaa. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

lxix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

137. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

138. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

139. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

140. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$316,114.20. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: BRADLEY M. HAMILTON
Address: 15137 SHEEDY RD
DIVERNON, IL 62530

lxx. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

bbbb. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxxvi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

cccc. If Vendor is a privately held corporation with more than 400 shareholders

- xxxvi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

dddd. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxxi. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

141. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

142. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

143. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

144. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$310,932.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: DAVID T. NAUMAN
Address: 7505 KIRKY COURT PO BOX 191092
ST LOUIS, MO 63119

lxxii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

eeee. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxxvii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ffff. If Vendor is a privately held corporation with more than 400 shareholders

- xxxvii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

ggggg. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxxiii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

145. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

146. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

147. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

148. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$300,567.60. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: JOEL S. KOENIG

Address: 1780 RIDGEWOOD LN

HOFFMAN ESTATES, IL 60192

lxxiv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

hhhhh. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxxviii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

- iiii. If Vendor is a privately held corporation with more than 400 shareholders

- xxxviii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

- jjjj. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxxv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

149. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

150. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

151. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

152. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$295,385.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: RICHARD G. THUMA
Address: 130 CYPRESS POINT DR
SPRINGFIELD, IL 62704

lxxvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

kkkkk. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xxxix. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

lllll. If Vendor is a privately held corporation with more than 400 shareholders

- xxxix. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

mmmmm. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxxvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

153. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

154. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

155. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

156. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$285,021.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: JEFFREY J. FICKBOHM
Address: 5220 MOUNTAIN VIEW D
SPRINGFIELD, IL 62711

lxviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

nnnnn. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xl. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ooooo. If Vendor is a privately held corporation with more than 400 shareholders

- xl. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

ppppp. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxxix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

157. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

158. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

159. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)

☐ Yes ☒ No

160. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?

☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$282,429.90. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):

0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: GERALD E. HALM

Address: 3809 GINGER CREEK DR

SPRINGFIELD, IL 62711

lxxx. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

qqqqq. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xli. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

rrrrr. If Vendor is a privately held corporation with more than 400 shareholders

- xli. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

sssss. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

lxxxi. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

161. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

162. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

163. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

164. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$279,838.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: MATTHEW N. DEMOS

Address: 4608 LEE AVENUE

DOWNERS GROVE, IL 60515

lxxxii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ttttt. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xlvi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

uuuuu. If Vendor is a privately held corporation with more than 400 shareholders

- xlvii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

vvvvv. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxxxiii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

165. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

166. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

167. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

168. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$274,656.60. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: JACK W. BLAKEMORE
Address: 7045 STALLION DR
EDWARDSVILLE, IL 62025

lxxxiv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

www. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xlili. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

xxxxx. If Vendor is a privately held corporation with more than 400 shareholders

- xlili. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

yyyyy. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxxxv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

169. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

170. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

171. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

172. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$217,652.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: TIMOTHY F. SUMNER
Address: 155 SEMINOLE DR
SPRINGFIELD, IL 62704

lxxxvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

zzzzz. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xliv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

aaaaaa. If Vendor is a privately held corporation with more than 400 shareholders

- xliv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

bbbbbb. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- lxxvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

173. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

174. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

175. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

176. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$212,470.20. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: KEVIN P. FULLER
Address: 12885 MARY SUE LANE
HIGHLAND, IL 62249

lxxxviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

cccccc.If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xlv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

dddddd. If Vendor is a privately held corporation with more than 400 shareholders

- xlv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

eeeeee. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

lxxxix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

177. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

178. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

179. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

180. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$207,288.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: THERESA L. O'GRADY
Address: 2812 SPRINGDALE CIRCLE
NAPERVILLE, IL 60564

xc. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ffffff. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xlvi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

gggggg. If Vendor is a privately held corporation with more than 400 shareholders

- xlvi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

hhhhhh. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xc. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

181. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

182. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

183. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

184. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$202,105.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: RONALD E. BREVILLE
Address: 6401 MURDOCH AVE
ST LOUIS, MO 63109

xcii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

iiiiii. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xlvi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

jjjjj. If Vendor is a privately held corporation with more than 400 shareholders

- xlvi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

kkkkk. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xciii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

185. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

186. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

187. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

188. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$165,830.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: AHMAD-TALAL S. IDRIS
Address: 2755 CLARA AVE
AURORA, IL 60502

xciv. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

IIIIII. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xlvi. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

mmmmmm. If Vendor is a privately held corporation with more than 400 shareholders

- xlvi. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

nnnnnn. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xcv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

189. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

190. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

191. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

192. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$165,830.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: THOMAS M. LAVIN
Address: 1614 WOODSIDE VIEW LANE
BALLWIN, MO 63021

xcvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒
- (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒
- (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒
- (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒
- (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒
- (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒
- (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒
- (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ooooo. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- xlix. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

pppppp. If Vendor is a privately held corporation with more than 400 shareholders

- xlix. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

qqqqqq. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- xcvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

193. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

194. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

195. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

196. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$160,648.20. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: ASAD M. BAJWA
Address: 1132 CHADWICK CT
AURORA, IL 60504

xcviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | |
|--|---|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

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If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

rrrrrr. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

ssssss.If Vendor is a privately held corporation with more than 400 shareholders

- These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

ttttt. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

xcix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

197. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

198. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

199. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

200. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$139,919.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):
0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: DAVID L. BURKUM
Address: 1546 OLD IVY DR
SPRINGFIELD, IL 62711

c. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

uuuuuu. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

vvvvvv.If Vendor is a privately held corporation with more than 400 shareholders

- These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

wwwwww. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

201. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

202. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

203. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)

☐ Yes ☒ No

204. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?

☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$129,555.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):

0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: MICHAEL J. BURRIS

Address: 9196 N CR 3350 E

MASON CITY, IL 62664

cii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

xxxxxx.If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- lii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

yyyyyy.If Vendor is a privately held corporation with more than 400 shareholders

- lii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

zzzzzz.If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- ciii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

205. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

206. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

207. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)

☐ Yes ☒ No

208. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?

☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$129,555.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):

0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: TY C. SANDER

Address: 4079 MARK KORTE LANE

ST CHARLES, MO 63304

civ. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

aaaaaaa. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- liii. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

bbbbbbb. If Vendor is a privately held corporation with more than 400 shareholders

- liii. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

ccccccc. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- cv. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

209. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

210. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

211. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

212. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$129,555.00. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):

0.5% or less ☐ >0.5 to 1.0% ☐ >1.0 to 2.0% ☐ >2.0 to 3.0 % ☐ > 3.0 to 4.0% ☐ %
>4.0 to 5.0% ☐ and in additional 1% increments as appropriate ☐ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: DANIEL J. STUMPF
Address: 1337 W WABASH AVE STE C
SPRINGFIELD, IL 62704

cvi. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

dddddd. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- liv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

eeeeeee. If Vendor is a privately held corporation with more than 400 shareholders

- liv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

ffffff. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- cvii. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

213. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

214. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

215. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)
☐ Yes ☒ No

216. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?
☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$124,372.80. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):

0.5% or less ☐ >0.5 to 1.0% ☐ >1.0 to 2.0% ☐ >2.0 to 3.0 % ☐ > 3.0 to 4.0% ☐ %
>4.0 to 5.0% ☐ and in additional 1% increments as appropriate ☐ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: JOSEPH F. MILLER
Address: 7020 BROADDUS ROAD
CHATHAM, IL 62629

cviii. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

- | | | |
|--|------------------------------|--|
| (a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

SUBCONTRACTOR DISCLOSURES AND CONFLICTS OF INTEREST

Instructions: Vendor shall disclose financial interests, potential conflicts of interest and contract information identified in Sections 1, 2 and 3 below as a condition of receiving an award or contract (30 ILCS 500/50-13 and 50-35). Failure to fully disclose shall render the contract, bid, proposal, subcontract, or relationship voidable by the chief procurement officer if s/he deems it in the best interest of the State of Illinois and may be cause for barring from future contracts, bids, proposals, subcontracts, or relationships with the State.

- There are six sections to this form and each must be completed to meet full disclosure requirements.
- Note: The requested disclosures are a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the resultant contract if the bid/offer is awarded. As required by 30 ILCS 500/50-2, for multi-year contracts Vendors must submit these disclosures on an annual basis.

A publicly traded entity may submit its 10K disclosure in satisfaction of the disclosure requirements set forth in Section 1 below. HOWEVER, if a Vendor submits a 10K, they must still must complete Sections 2, 3, 4 and 5 and submit the disclosure form.

If the Vendor is a wholly owned subsidiary of a parent organization, separate disclosures must be made by the Vendor and the parent. For purposes of this form, a parent organization is any entity that owns 100% of the Vendor.

This disclosure information is submitted on behalf of (show official name of Vendor, and if applicable, D/B/A and parent):

Name of Vendor: Crawford, Murphy & Tilly, Inc.

D/B/A (if used): _____

Name of any Parent Organization: CMT Companies, Inc.

Section 1: Section 50-35 Disclosure of Financial Interest in the Vendor. *(All Vendors must complete this section)*

Vendors must complete subsection (a), (b) or (c) below. Please read the following subsections and complete the information requested.

ggggggg. If Vendor is a Publicly traded corporation subject to SEC reporting requirements

- lv. Vendor shall submit their 10K disclosure (include proxy if referenced in 10k) in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 (a) and (b) of the Procurement Code. The SEC 20f or 40f, supplemented with the names of those owning in excess of 5% and up to the ownership percentages disclosed in those submissions, may be accepted as being substantially equivalent to 10K.

Check here if submitting a 10k ☐, 20f ☐, or 40f ☐.

OR

hhhhhhh. If Vendor is a privately held corporation with more than 400 shareholders

- lv. These Vendors may submit the information identified in 17 CFR 229.401 and list the names of any person or entity holding any ownership share in excess of 5% in satisfaction of the financial and conflict of interest disclosure requirements set forth in subsections 50-35 a and b of the Illinois Procurement Code.

OR

iiiiiii. If Vendor is an individual, sole proprietorship, partnership or any other not qualified to use subsections (A) or (B), complete (i) and (ii) below as appropriate.

- cix. For **each individual** having any of the following financial interests in the Vendor (or its parent), please mark each that apply and show the applicable name and address. Use a separate form for each individual.

217. Do you have an ownership share of greater than 5% of the offering entity or parent entity?

☐ Yes ☒ No

218. Do you have an ownership share of less than 5%, but which has a value greater than \$106,447.20?

☒ Yes ☐ No

219. Do you receive more than \$106,447.20 of the offering entity's or parent entity's distributive income? (Note: Distributive income is, for these purposes, any type of distribution of profits. An annual salary is not distributive income.)

☐ Yes ☒ No

220. Do you receive greater than 5% of the offering entity's or parent entity's total distributive income, but which is less than \$106,447.20?

☐ Yes ☒ No

5. If you responded yes to any of questions 1 – 4 above, please provide either the percentage or dollar amount of your ownership or distributive share of income: \$114,008.40. For partnerships with more than 50 partners, the percentage share of ownership of each individual identified above may be shown in the following ranges (dollar value fields must also be completed when applicable):

0.5% or less _____ >0.5 to 1.0% _____ >1.0 to 2.0% _____ >2.0 to 3.0 % _____ > 3.0 to 4.0% _____ %
>4.0 to 5.0% _____ and in additional 1% increments as appropriate _____ %

6. If you responded yes to any of the questions 1-4 above, please check the appropriate type of ownership/distributable income share:

Sole Proprietorship ☐ Stock ☒ Partnership ☐ Other (explain) _____

Name: BRIAN S. EADS

Address: 1467 CEDAR BLUFF DR

BALLWIN, MO 63021

cx. In relation to individuals identified above, indicate whether any of the following potential conflict of interest relationships apply. If "Yes," please describe each situation (label with appropriate letter) using the space at the end of this Section (attach additional pages as necessary). If no individual has been identified above, mark not applicable (N/A) here _____.

(a) State employment, currently or in the previous 3 years, including contractual employment of services directly with the individuals identified in Section 1 in their individual capacity unrelated to the Vendor's contract. Yes ☐ No ☒

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years. Yes ☐ No ☒

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years. Yes ☐ No ☒

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United States of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of expenses incurred in the discharge of that office currently or in the previous 3 years. Yes ☐ No ☒

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government. Yes ☐ No ☒

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ☐ No ☒

(i) Compensated employment, currently or in the previous 3 years, by any registered election or re-election committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who is or was a compensated employee in the last 2 years of any registered election or reelection committee registered with the Secretary of State or any county clerk in the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ☐ No ☒

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 144

**APPROVING AMENDMENT NO. 1 TO A PHASE II ENGINEERING SERVICES
AGREEMENT WITH CRAWFORD, MURPHY & TILLY, INC. FOR
PLANK ROAD REALIGNMENT
KANE COUNTY SECTION NO. 10-00095-00-FP**

WHEREAS, pursuant to Resolution No. 10-241, the Kane County Board appropriated Three Hundred Ninety Seven Thousand Four Hundred Forty One and 52/100 Dollars (\$397,441.52) (hereinafter the "appropriation") and entered into an Agreement with Crawford, Murphy & Tilly, Inc for Phase II Engineering services for the proposed Plank Road (Kane County Highway No. 22) realignment (hereinafter referred to as the "Project"); and

WHEREAS, additional engineering services not anticipated in the original scope of work are needed which will result in improved drainage and potential cost savings; and

WHEREAS, it is in the County's best interest to extend the upper limit of the appropriation by an additional Twenty Three Thousand Three Hundred Eighty One and 64/100 Dollars (\$23,381.64) from \$397,441.52 to \$420,823.16 and to enter into an Amendment No. 1 to the Agreement with Crawford Murphy & Tilly, Inc. for the Project (a copy of which is on file with the County Clerk's Office).

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Chairman thereof is hereby authorized to execute Amendment No. 1 to the Phase II Engineering services agreement with Crawford, Murphy & Tilly, Inc. for the Project.

BE IT FURTHER RESOLVED that the Kane County Board appropriate the additional sum of Twenty Three Thousand Three Hundred Eighty One and 64/100 Dollars (\$23,381.64) from Impact Fees Fund #553, Line Item #50140 (Engineering), P.O. #2010-1869 for a total appropriation for the Project not to exceed Four Hundred Twenty Thousand Eight Hundred Twenty Three and 16/100 Dollars (\$420,823.16) to pay for said Phase II Engineering services for the Project.

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
553.520.553.50140	Engineering	Yes	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5PLNKREALNMNTPHIICMTAMND1.4LH



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-145

☒ Resolution
☐ Ordinance

Name Approving Contract for Construction, 2012 Kane County Pavement Preservation, Kane County Section No. 12-00000-03-GM

Presenter/Sponsor:

Steve Coffinbargar - Assistant Director of Transportation

Budget Information: Was this item budgeted?

☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$834,204.32

If not budgeted,
explain funding
source

SUMMARY: On April 5, 2012, construction bids were opened at the Division of Transportation office for the 2012 Kane County Pavement Preservation Project. This program includes pavement preservation treatments that are designed to extend the time between the full grind and resurfacing treatments commonly used on our roads, which should results in a life cycle cost savings. We are applying 3 different types of treatment this year: Pavement Rejuvenator, A1 Seal Coat, and a Cape Seal treatment. Both the Cape Seal and rejuvenator treatments were applied to County roads last year with good results. Portions of roads receiving the pavement rejuvenator include Davis, Swan, West County Line, Main St., Beith and Silver Glen. Portions of Burlington, Dunham, Army Trail, Huntley and Galligan Roads will receive the Cape Seal treatment. West County Line Road from Main Street to Perry Road will receive the A1 Seal Coat treatment. The total length of roads treated will be approximately 29.17 miles.

The apparent low bidder is AC Pavement Striping Company of Elgin, Illinois and the proposed contract amount for the project is \$834,204.32. The low bid amount is approximately 13.95% below the engineer's estimate of \$969,393.50.

Attachments: Resolution, Bid Tabulation, Location Map

Detailed information available from :

Staff Name: Steve Coffinbargar, Assistant Director

Phone: 630/406-7170

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012



PAVEMENT STRIPING CO.

695 Church Road • Elgin, Illinois 60123 • Phone: (847) 214-9500 • Fax: (847) 214-9078

June 6, 2011

Kane County Purchasing Department
719 S. Batavia Ave.
Geneva, IL 60134
Attn: Tim Keovongsak

Re: Kane County Section #11-00000-03-GM
2011 Pavement Preservation Contract

Dear Mr. Keovongsak:

We hereby submit the following disclosures in reference to the above-mentioned contract.

AC Pavement Striping Co., as a contractor for Kane County, has not made any campaign contributions in the previous twelve months of award of the contract stated above to any current officer or county wide elected officer of Kane County.

The percentage of ownership interest of AC Pavement Striping Co. is as follows:

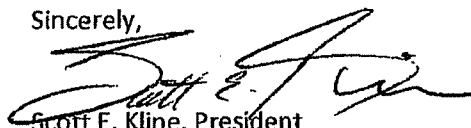
Scott Kline 54 %
Jeffrey Bergquist 36 %
William Brinati 10 %

The following individuals will have contact with County employees or officials in relation to this contract:

Jeffrey Bergquist, General Manager
Gene Johnson, Pavement Preservation Manager
Chester Folak, Microsurfacing Manager
Keith Bergquist, Microsurfacing Manager

We hereby affirm, under oath, that we have withheld no disclosures as to the economic interests in the undertaking nor reserved any information, data, or plan as to the intended use or purpose for which we seek County Board or other County agency action.

Sincerely,



Scott E. Kline, President
AC Pavement Striping Co.

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 145

**APPROVING CONTRACT FOR
2012 PAVEMENT PRESERVATION
KANE COUNTY SECTION NO. 12-00000-03-GM**

WHEREAS, the Kane County Division of Transportation has solicited and received bids for the work and/or construction described as:

KANE COUNTY SECTION NO. 12-00000-03-GM
2012 PAVEMENT PRESERVATION
(hereinafter the "Project")

WHEREAS, the lowest responsible bidder for the Project is:

A C PAVEMENT STRIPING COMPANY OF ELGIN, IL
WITH A LOW BID OF
\$834,204.32

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Contract for the Project described hereinabove shall be awarded to the low bidder as indicated hereinabove and that the County Board Chairman is hereby authorized and directed to execute a contract and contractor's bond therefor.

BE IT FURTHER RESOLVED that there is hereby appropriated the sum of Eight Hundred Thirty Four Thousand Two Hundred Four and 32/100 Dollars (\$834,204.32) from Local Option Tax Fund #304, Line Item #52280 (Pavement Preservation).

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
304.520.524.52280	Pavement Preservation	Yes	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____

No _____

Voice _____

Abstentions _____

5APCNPVMNTPRSRV.4LH



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-146

☒ Resolution
☐ Ordinance

Name Approving Contract for the 2012 Pavement Crack Sealing, Kane County Section No. 12-00000-04-GM

Presenter/Sponsor:

Steve Coffinbargar - Assistant Director of Transportation

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$512,720.60

If not budgeted,
explain funding
source

SUMMARY: On April 5, 2012, construction bids were opened at the Division of Transportation office for the 2012 Kane County Crack Sealing Program project. This program seals minor cracking of the pavement riding surface preventing water infiltration to the lower pavement structure base which helps extend the life of the pavement. The contract will seal approximately 24.67 miles of roads in the County including portions of Big Timber, Corron, Fabyan Parkway, French, Granart, Randall, Silver Glen Roads and Highland Ave. A copy of the Bid Tabulation and Location Map are attached.

The apparent low bidder is Freehill Asphalt, Inc. of Watseka, Illinois and the proposed contract amount for the project is \$512,720.60. The low bid amount is approximately 15.54% below the engineer's estimate of \$520,813.00.

Attachments: Resolution, Bid Tabulation, Location Map

Detailed information available from :

Staff Name: Steve Coffinbargar, Assistant Director

Phone: 630/406-7170

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

FREEHILL ASPHALT, INC.

Thomas P. Freehill
President

Stephen M. Freehill
Secretary

MR. Tim Hanson

This letter is to confirm that
Freehill Asphalt Inc has made no donations
to any county wide elected officials in 2011 or
2012. Our principal owners are as follows:

PRESIDENT Thomas P Freehill 1692 BLUEBELL BEAN RD
WATSEKA, ILLINOIS 60970

SEC. — STEVE FREEHILL — 920 S. 3RD ST.
WATSEKA, ILLINOIS 60970

103 Yount Avenue; P.O. Box 154
Watseka, Illinois 60970
Phone: 815/432-5438 Fax: 815/432-5439
Email: fai@capstonebank.net

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 146

**APPROVING CONTRACT FOR THE
2012 PAVEMENT CRACK SEALING
KANE COUNTY SECTION NO. 12-00000-04-GM**

WHEREAS, the Kane County Division of Transportation has solicited and received bids for the work and/or construction described as:

KANE COUNTY SECTION NO. 12-00000-04-GM
2012 PAVEMENT CRACK SEALING
(hereinafter the "Project")

WHEREAS, the lowest responsible bidder for the Project is:

FREEHILL ASPHALT, INC. OF WATSEKA, IL
WITH A LOW BID OF
\$512,720.60

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Contract for the Project described hereinabove shall be awarded to the low bidder as indicated hereinabove and that the County Board Chairman is hereby authorized and directed to execute a contract and contractor's bond therefor.

BE IT FURTHER RESOLVED that there is hereby appropriated the sum of Five Hundred Twelve Thousand Seven Hundred Twenty and 60/100 Dollars (\$512,720.60) from Local Option Tax Fund #304, Line Item #52050 (Crack Sealing).

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
304.520.524.52050	Crack Sealing	Yes	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5APCNCRCKSLNG.4LH



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-147

☒ Resolution
☐ OrdinanceName Approving Contract for the Corron Road over Branch of Ferson Creek, Kane County
Section No. 08-00381-00-BR

Presenter/Sponsor:

Steve Coffinbargar - Assistant Director of Transportation

Budget Information: Was this item budgeted?

☒ Yes ☐ No ☐ N/A

Appropriation Amount: \$599,877.61

If not budgeted,
explain funding
source

SUMMARY: On April 10, 2012, construction bids were opened at the Division of Transportation office for the Corron Road over Branch of Ferson Creek Bridge Replacement project. The scope of this project involves the removal and replacement of an existing box culvert on Corron Road south of Fair Oaks Drive along with embankment and pavement removal, guardrail and striping removal and replacement. Construction is anticipated to take approximately 2 1/2 months and a detour using Silver Glen and Burlington Roads will be necessary in order to complete this project as quickly and safely as possible. The project is scheduled to be completed by August 3, 2012.

The apparent low bidder is Curran Contracting Company of Crystal Lake, Illinois and the proposed contract amount for the project is \$599,877.61. The low bid amount is approximately 7.65% below the engineer's estimate of \$649,588.00.

Attachments: Resolution, Bid Tabulation, Location Map

Detailed information available from :

Staff Name: Steve Coffinbargar, Assistant Director

Phone: 630/406-7170

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012



April 11, 2012

Kane County D.O.T.
41W011 Burlington Road
St. Charles, IL 60175

Dear Sirs:

This is to inform you that Curran Contracting Company has not made any political contributions to anyone in Kane County within the last year.

Sincerely,

Curran Contracting Company

A handwritten signature in black ink, appearing to read "D. Curran", written over a horizontal line.

Daniel P. Curran
Executive Vice President

RETURN WITH BID

**ILLINOIS DEPARTMENT
OF TRANSPORTATION**

**Form A
Financial Information &
Potential Conflicts of Interest
Disclosure**

Contractor Name Curran Contracting Company		
Legal Address 286 Memorial Court		
City, State, Zip Crystal Lake, IL 60014		
Telephone Number 815/455-5100	Email Address	Fax Number (if available) 815/455-7894

Disclosure of the information contained in this Form is required by the Section 50-35 of the Illinois Procurement Code (30 ILCS 500). Vendors desiring to enter into a contract with the State of Illinois must disclose the financial information and potential conflict of interest information as specified in this Disclosure Form. This information shall become part of the publicly available contract file. This Form A must be completed for bids in excess of \$25,000, and for all open-ended contracts. A publicly traded company may submit a 10K disclosure (or equivalent if applicable) in satisfaction of the requirements set forth in Form A. See Disclosure Form Instructions.

The current annual salary of the Governor is \$177,412.00.

DISCLOSURE OF FINANCIAL INFORMATION

1. Disclosure of Financial Information. The individual named below has an interest in the BIDDER (or its parent) in terms of ownership or distributive income share in excess of 5%, or an interest which has a value of more than 60% of the annual salary of the Governor. (Make copies of this form as necessary and attach a separate Disclosure Form A for each individual meeting these requirements)

FOR INDIVIDUAL (type or print information)	
NAME:	<u>Please see attached</u>
ADDRESS	_____
Type of ownership/distributable income share:	
stock <input checked="" type="checkbox"/>	sole proprietorship _____ Partnership _____ other: (explain on separate sheet): _____
% or \$ value of ownership/distributable income share: _____	

2. Disclosure of Potential Conflicts of Interest. Check "Yes" or "No" to indicate which, if any, of the following potential conflict of interest relationships apply. If the answer to any question is "Yes", please attach additional pages and describe.

(a) State employment, currently or in the previous 3 years, including contractual employment of services.

Yes ___ No ☒

If your answer is yes, please answer each of the following questions.

- Are you currently an officer or employee of either the Capitol Development Board or the Illinois State Toll Highway Authority? Yes ___ No ___
- Are you currently appointed to or employed by any agency of the State of Illinois? If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds 60% of the annual salary of the Governor, provide the name the State agency for which you are employed and your annual salary. _____

Attachment to Form A – Item 1

For Individual: Timothy J. Curran
7914 Cherry Vail Way
Woodstock, IL 60098

- 1) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-2 which owns 60.02% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Catherine C. Curran
14 Willett Way
Cary, IL 60013

- 1) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-2 which owns 60.02% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Michael J. Curran
 661 Saddle Ridge
 Crystal Lake, IL 60012

- 1) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-2 which owns 60.02% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Sue C. Rushmore
141 E. Meadow Dr., Suite 1000
Vail, CO 81657

- 1) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-2 which owns 60.02% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Cynthia C. DeTrempe
 748 Forest Drive
 Barrington, IL 60010

- 1) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-2 which owns 60.02% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Patricia C. Poth
1690 Surrey Lane
Lake Forest, IL 60045

- 1) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-2 which owns 60.02% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Daniel P. Curran
1514 Sunflower Drive South
Sycamore, IL 60178

- 1) The Subject individual has a beneficial interest in the William Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the William Curran Trust 76-2 which owns 39.98% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Kimberly A. Johnson
 183 Wendy St.
 Sycamore, IL 60178

- 1) The Subject individual has a beneficial interest in the William Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the William Curran Trust 76-2 which owns 39.98% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: William Curran
465 Bristol Way
Cary, IL 60013

- 1) The Subject individual has a beneficial interest in the William Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 2) The Subject individual has a beneficial interest in the William Curran Trust 76-2 which owns 39.98% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Kelly A. Patrick
9691 Salem Court
Highlands Ranch, CO 80130

- 1) The Subject individual has a beneficial interest in the William Curran Trust 76-1 which owns 50% of the Class A Common stock issued and outstanding.
- 3) The Subject individual has a beneficial interest in the William Curran Trust 76-2 which owns 39.98% of the Class B Common stock issued and outstanding.

Attachment to Form A – Item 1

For Individual: Heather L. Curran
115 N. Buesching Rd., Apt. 931
Lake Zurich, IL 60047

- 1) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-2 which owns 60.02% of the Class B Common stock issued outstanding.

Attachment to Form A – Item 1

For Individual: Erik Curran
661 Saddle Ridge
Crystal Lake, IL 60012

- 1) The Subject individual has a beneficial interest in the J.H. Curran Trust 76-2 which owns 60.02% of the Class B Common stock issued outstanding.

Attachment to Form A - Item I

For Individual: William Curran
1384 Milan Dr., South
Sycamore, IL 60178

- 1) The Subject individual has a beneficial interest in the William Curran Trust 76-3 which owns 100% of the Preferred Stock issued and outstanding, which is 100% of the indivisible interest of all beneficiaries in such trust.

-14-
RETURN WITH BID

3. If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 7/1/07) are you entitled to receive (i) more than 7 1/2% of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of the salary of the Governor? Yes ___ No ___
4. If you are currently appointed to or employed by any agency of the State of Illinois, and your annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 7/1/07) are you and your spouse or minor children entitled to receive (i) more than 15% in aggregate of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of 2 times the salary of the Governor? Yes ___ No ___

(b) State employment of spouse, father, mother, son, or daughter, including contractual employment for services in the previous 2 years.

Yes ___ No X

If your answer is yes, please answer each of the following questions.

1. Is your spouse or any minor children currently an officer or employee of the Capitol Development Board or the Illinois Toll Highway Authority? Yes ___ No ___
2. Is your spouse or any minor children currently appointed to or employed by any agency of the State of Illinois? If your spouse or minor children is/are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 7/1/07) provide the name of the spouse and/or minor children, the name of the State agency for which he/she is employed and his/her annual salary. _____
3. If your spouse or any minor children is/are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$106,447.20.00, (60% of the salary of the Governor as of 7/1/07) are you entitled to receive (i) more than 7 1/2% of the total distributable income of your firm, partnership, association or corporation, or (ii) an amount in excess of the salary of the Governor? Yes ___ No ___
4. If your spouse or any minor children are currently appointed to or employed by any agency of the State of Illinois, and his/her annual salary exceeds \$106,447.20, (60% of the Governor's salary as of 7/1/07) are you and your spouse or any minor children entitled to receive (i) more than 15% in the aggregate of the total distributable income from your firm, partnership, association or corporation, or (ii) an amount in excess of 2 times the salary of the Governor? Yes ___ No ___

(c) Elective status; the holding of elective office of the State of Illinois, the government of the United States, any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois currently or in the previous 3 years.

Yes ___ No X

(d) Relationship to anyone holding elective office currently or in the previous 2 years; spouse, father, mother, son, or daughter.

Yes ___ No X

(e) Appointive office; the holding of any appointive government office of the State of Illinois, the United State of America, or any unit of local government authorized by the Constitution of the State of Illinois or the statutes of the State of Illinois, which office entitles the holder to compensation in excess of the expenses incurred in the discharge of that office currently or in the previous 3 years.

Yes ___ No X

(f) Relationship to anyone holding appointive office currently or in the previous 2 years; spouse, father, mother, son, or daughter.

Yes ___ No X

(g) Employment, currently or in the previous 3 years, as or by any registered lobbyist of the State government.

Yes ___ No X

RETURN WITH BID

(h) Relationship to anyone who is or was a registered lobbyist in the previous 2 years; spouse, father, mother, son, or daughter. Yes ___ No X

(i) Compensated employment, currently or in the previous 3 years, by any registered election or reelection committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ___ No X

(j) Relationship to anyone; spouse, father, mother, son, or daughter; who was a compensated employee in the last 2 years by any registered election or re-election committee registered with the Secretary of State or any county clerk of the State of Illinois, or any political action committee registered with either the Secretary of State or the Federal Board of Elections. Yes ___ No X

3. Communication Disclosure.

Disclose the name and address of each lobbyist and other agent of the bidder or offeror who is not identified in Section 2 of this form, who is has communicated, is communicating, or may communicate with any State officer or employee concerning the bid or offer. This disclosure is a continuing obligation and must be promptly supplemented for accuracy throughout the process and throughout the term of the contract. If no person is identified, enter "None" on the line below:

Name and address of person(s): None

RETURN WITH BID

4. **Debarment Disclosure.** For each of the persons identified under Sections 2 and 3 of this form, disclose whether any of the following has occurred within the previous 10 years: debarment from contracting with any governmental entity; professional licensure discipline; bankruptcies; adverse civil judgments and administrative findings; and criminal felony convictions. This disclosure is a continuing obligation and must be promptly supplemented for accuracy throughout the procurement process and term of the contract. If no person is identified, enter "None" on the line below:

Name of person(s): N/A

Nature of disclosure: N/A

APPLICABLE STATEMENT

This Disclosure Form A is submitted on behalf of the INDIVIDUAL named on previous page. Under penalty of perjury, I certify the contents of this disclosure to be true and accurate to the best of my knowledge.

Completed by: ☒ James Lancaster 4-11-12
Signature of Individual or Authorized Representative Controller Date

NOT APPLICABLE STATEMENT

Under penalty of perjury, I have determined that no individuals associated with this organization meet the criteria that would require the completion of this Form A.

This Disclosure Form A is submitted on behalf of the CONTRACTOR listed on the previous page.

☐ _____
Signature of Authorized Representative Date

The bidder has a continuing obligation to supplement these disclosures under Sec. 50-35 of the Procurement Code.

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 147

**APPROVING CONTRACT FOR
CORRON ROAD OVER BRANCH OF FERSON CREEK
KANE COUNTY SECTION NO. 08-00381-00-BR**

WHEREAS, the Kane County Division of Transportation has solicited and received bids for the work and/or construction described as:

KANE COUNTY SECTION NO. 08-00381-00-BR
CORRON ROAD OVER BRANCH OF FERSON CREEK
(hereinafter the "Project")

WHEREAS, the lowest responsible bidder for the Project is:

CURRAN CONTRACTING COMPANY OF CRYSTAL LAKE, IL
WITH A LOW BID OF
\$599,877.61

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board that the Contract for the Project described hereinabove shall be awarded to the low bidder as indicated hereinabove and that the County Board Chairman is hereby authorized and directed to execute a contract and contractor's bond therefor.

BE IT FURTHER RESOLVED that there is hereby appropriated the sum of Five Hundred Ninety Nine Thousand Eight Hundred Seventy Seven and 61/100 Dollars (\$599,877.61) from Transportation Capital Fund #540, Line Item #73010 (Bridge Construction).

Line item	Line Item Description	Was personnel/item/service approved in original budget or a subsequent budget revision?	Are funds <u>currently</u> available for this personnel/item/service in the specified line item?	If funds are not currently available in the specified line item, where are the funds available?
540.520.525.7301	Bridge Construction	Yes	Yes	

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5APCNCRRNBR.4LH



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-148

☒ Resolution
☐ Ordinance

Name

Acquisition of Highway Right-of-Way, Anderson Road Extension, Kane County Section No. 01-00274-00-BR, Parcel No. 0005PE

Presenter/Sponsor:

Steve Coffinbargar, Assistant Director

Budget Information: Was this item budgeted?

☒ Yes ☐ No ☐ N/A

Appropriation Amount: N/A

If not budgeted,
explain funding
source

SUMMARY:

The proposed Anderson Road Extension improvement will involve the construction of new 2 mile roadway and bridge/overpass over the UP Railroad. A permanent easement is required from the Metra Commuter Rail System in order to complete this improvement.

Attachments:

Resolution, Location Map

Detailed information available from :

Staff Name:

Steve Coffinbargar, Assistant Director

Phone:

630-406-7170

Resolution/Ordinance Tracking:

Assigned Committee:

Transportation

Passed

Sent to:

Executive

on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee:

Executive

Passed

Sent to:

County Board

on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee:

County Board

Sent to:

on:

Committee Remarks:

County Board Date:

05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 148

**ACQUISITION OF HIGHWAY RIGHT OF WAY
ANDERSON ROAD EXTENSION
KANE COUNTY SECTION NO. 01-00274-00-BR
PARCEL NO. 0005PE**

WHEREAS, the County of Kane is authorized by law, pursuant to the Illinois Compiled Statutes, 605 ILCS 5/5-801 (2010), to acquire real property for highway purposes by negotiated purchase or eminent domain proceedings; and

WHEREAS, the County of Kane has developed right of way plans for the extension of Anderson Road over the Union Pacific Railroad Company's tracks and the Metra coach yard on the east side of Elburn, Illinois (Sec. No. 01-00274-00-BR); and

WHEREAS, the Commuter Rail Division of the Regional Transportation Authority a division of an Illinois municipal corporation, the property owner of Anderson Road Parcel No.0005PE has offered to grant to the County of Kane a permanent easement for highway purposes over, under, along and through the property described in the attached proposed permanent easement agreement referred to as Exhibit "A", (a copy of which is on file in the office of the Kane County Clerk), at no expense to the County.

NOW THEREFORE BE IT RESOLVED, by the Kane County Board that the County of Kane accept the offer of the Commuter Rail Division of the Regional Transportation Authority, the property owner of Anderson Road Parcel No.0005PE, to grant a permanent easement to the County of Kane at no cost to the County and the Chairman of the Kane County Board is hereby authorized and directed to execute a permanent easement agreement therefor.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes _____
No _____
Voice _____
Abstentions _____

5ANDRSNMETRAROW.4PJ



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-149

- ☒ Resolution
☐ Ordinance

Name Authorizing Acquisition of Highway Right of Way, Burlington Road (County Highway No. 2) at Illinois Route 47, Kane County Section No. 07-00357-00-CH (4 Parcels)

Presenter/Sponsor: Steve Coffinbargar, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount:

If not budgeted,
explain funding
source

SUMMARY: The proposed Burlington Road at IL Route 47 project will involve the construction of a roundabout at the intersection, and also includes approach lighting, an open drainage system and detention. Right of way is required from four properties to complete the proposed improvements. These are summarized in the following table:

Parcel Number	Part Taken (acres)	Previously Dedicated	Parcel Number	Part Taken (acres)	Previously Dedicated
1JU0001	0.534 acres	0.0 acres	1JU0003	1.756 acres	0.879 acres
1JU0001 TE	0.155 acres		1JU0003 TE-1	0.062 acres	
			1JU0003 TE-2	0.012 acres	
1JU0002	1.295 acres	0.830 acres	1JU0004	1.398 acres	0.838 acres
1JU0002 TE-1	0.057 acres		1JU0004 TE-1	0.017 acres	
1JU0002 TE-2	0.053 acres		1JU0004 TE-2	0.046 acres	

Attachments: Resolution, Plat of Highways, Location Map

Detailed information available from :

Staff Name: Steve Coffinbargar, Assistant Director

Phone: 630-406-7170

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 149

**AUTHORIZING ACQUISITION OF HIGHWAY RIGHT OF WAY
BURLINGTON ROAD (COUNTY HIGHWAY NO. 2) AT ILLINOIS ROUTE 47
KANE COUNTY SECTION NO. 07-00357-00-CH (4 PARCELS)**

WHEREAS, the County of Kane is authorized by law, pursuant to Illinois Compiled Statutes, 605 ILCS 5/5-801 *et seq* (2012) to acquire by negotiated purchase or eminent domain proceedings, real property for highway purposes; and

WHEREAS, the County of Kane has jurisdiction over Kane County Highway No. 2, also referred to as Burlington Road, within the boundaries of the County of Kane; and

WHEREAS, the County of Kane deems it necessary and appropriate to improve Kane County Highway No. 2 (Burlington Road) at Illinois Route 47; and

WHEREAS, Burlington Road and the planned improvements therefor, has been surveyed, laid out and projected over certain lands situated in Kane County, Illinois. It is deemed by the County of Kane that certain parcels of land and, in particular, the parcels described herein in Exhibit "A" (copy of which is on file with the County Clerk of Kane County and which is incorporated herein and made a part hereof), which are located within the limits of Kane County should be acquired by negotiated purchase and, negotiation failing, by eminent domain proceedings for highway purposes.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board:

1. That it is hereby determined by the County Board of Kane County that the real property described herein in Exhibit "A" be used, occupied and improved for highway purposes in a manner necessary and convenient for public use.
2. That it is further hereby determined it is necessary and proper that the County of Kane acquire title to or other appropriate interest in and possession of these parcels because said real property is necessary and required by the County of Kane for highway purposes and, more specifically, the improvement of Burlington Road.
3. That the Chairman of the Kane County Board or her duly authorized representative are hereby authorized, empowered and directed to negotiate for and on behalf of the County of Kane with any and all owners of the property described herein or with any other individual possessing an interest therein for the purchase thereof upon such terms and conditions as shall be approved by the Transportation Committee of the Kane County Board and/or the County Board of Kane County.
4. In the event the County of Kane is unable to agree with the owner or owners, or any other party having an interest in the real property described herein regarding the compensation to be paid for said real property, then to the extent allowed by law, the County of Kane shall institute proceedings to acquire the property by eminent domain pursuant to the applicable provisions of the eminent domain laws of the State of Illinois. Further, in the event the County of Kane is unable to agree with the owner or owners, or any other party having an interest in the real property described herein regarding the compensation to be paid for said real property, then the County of Kane hereby directs the States Attorney of Kane County to

institute eminent domain proceedings in a court of competent jurisdiction to acquire title to and possession of said real property in the name of the County of Kane.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:

Yes

No

Voice

Abstentions

5BRLNGTN/IL47ROW.4LH

EXHIBIT "A"

Section: 07-00357-00-CH
Parcel No. 1JU0001

That part of the North Half of the Southwest Quarter of Section 32, Township 41 North, Range 7 East of the Third Principal Meridian in Kane County, Illinois, described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 294.23 feet along the north line of said Southwest Quarter to the intersection of the easterly right-of-way line of Burlington Road extended per Document No. 2001K032101; thence South 31 degrees 35 minutes 23 seconds East, 564.72 feet along said right-of-way line to the Point of Beginning; thence North 58 degrees 24 minutes 37 seconds East, 12.00 feet; thence South 31 degrees 35 minutes 23 seconds East, 125.00 feet; thence South 56 degrees 51 minutes 02 seconds East, 154.24 feet; thence North 78 degrees 04 minutes 45 seconds East, 150.00 feet; thence North 84 degrees 55 minutes 19 seconds East, 251.79 feet; thence North 78 degrees 09 minutes 42 seconds East, 193.33 feet to the northwesterly right-of-way line of Illinois Route 47 per Document No. 2001K009849; thence southwesterly, 184.52 feet along said right-of-way line, being a curve to the right having a radius of 1,749.57 feet, the chord of said curve bears South 75 degrees 03 minutes 28 seconds West, 184.43 feet; thence continuing on said right-of-way line South 78 degrees 04 minutes 45 seconds West, 477.50 feet to said easterly right-of-way line of Burlington Road; thence North 31 degrees 35 minutes 23 seconds West, 279.15 feet along said right-of-way line to the Point of Beginning.

Said parcel contains 0.534 acre, more or less.

Section: 07-00357-00-CH
Parcel No. 1JU0001TE

That part of the North Half of the Southwest Quarter of Section 32, Township 41 North, Range 7 East of the Third Principal Meridian in Kane County, Illinois, described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 294.23 feet along the north line of said Southwest Quarter to the intersection of the easterly right-of-way line of Burlington Road extended per Document No. 2001K032101; thence South 31 degrees 35 minutes 23 seconds East, 564.72 feet along said right-of-way line; thence North 58 degrees 24 minutes 37 seconds East, 12.00 feet; thence South 31 degrees 35 minutes 23 seconds East, 101.57 feet to the Point of Beginning; thence South 56 degrees 51 minutes 02 seconds East, 171.28 feet; thence North 78 degrees 04 minutes 45 seconds East, 146.45 feet; thence North 84 degrees 55 minutes 19 seconds East, 251.80 feet; thence North 78 degrees 09 minutes 42 seconds East, 111.54 feet; thence South 11 degrees 50 minutes 03 seconds East, 10.00 feet; thence South 78 degrees 09 minutes 42 seconds West, 112.13 feet; thence South 84 degrees 55 minutes 19 seconds West, 251.79 feet; thence South 78 degrees 04 minutes 45 seconds West, 150.00 feet; thence North 56 degrees 51 minutes 02 seconds West, 154.24 feet; thence North 31 degrees 35 minutes 23 seconds West, 23.43 feet to the Point of Beginning.

Said parcel contains 0.155 acre, more or less.

Section: 07-00357-00-CH
Parcel No. 1JU0002

That part of the North Half of the Southwest Quarter of Section 32, Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, lying southerly of the centerline of State Route 47 (except that part lying westerly of the centerline of Burlington Road), described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 918.71 feet along said centerline to the centerline of Illinois Route 47 and to the Point of Beginning; thence North 78 degrees 04 minutes 45 seconds East, 509.15 feet along the centerline of Illinois Route 47; thence continuing along said centerline, 110.85 feet on a curve to the left having a radius of 1,809.57 feet, the chord of said curve bears North 76 degrees 19 minutes 28 seconds East, 110.83; thence South 15 degrees 25 minutes 50 seconds East, 60.00 feet; thence southwesterly, 114.52 feet along a curve to the right being 60.00 feet southeasterly of and concentric with said centerline, having a radius of 1,869.57 feet, the chord of said curve bears South 76 degrees 19 minutes 28 seconds West, 114.51 feet; thence continuing 60.00 feet southeasterly of and parallel with said centerline South 78 degrees 04 minutes 45 seconds West, 349.15 feet; thence South 50 degrees 36 minutes 41 seconds West, 71.12 feet; thence South 31 degrees 35 minutes 23 seconds East, 180.00 feet along a line 60.00 feet northeasterly of and parallel with said centerline of Burlington Road; thence South 58 degrees 24 minutes 37 seconds West, 10.00 feet; thence South 31 degrees 35 minutes 23 seconds East, 100.00 feet, parallel with said centerline; thence South 58 degrees 24 minutes 37 seconds West, 50.00 feet to said centerline; thence North 31 degrees 35 minutes 23 seconds West, 400.00 feet along said centerline, to the Point of Beginning.

Said parcel contains 1.295 acres, more or less, of which 0.830 acre, more or less, has been previously dedicated for highway purposes.

Section: 07-00357-00-CH
Parcel No. 1JU0002 TE-1

That part of the North Half of the Southwest Quarter of Section 32, Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, lying southerly of the centerline of State Route 47 (except that part lying westerly of the centerline of Burlington Road), described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 918.71 feet along said centerline to the centerline of Illinois Route 47; thence North 78 degrees 04 minutes 45 seconds East, 509.15 feet along said centerline of Illinois Route 47; thence continuing along said centerline, 110.85 feet on a curve to the left having a radius of 1,809.57 feet, the chord of said curve bears North 76 degrees 19 minutes 28 seconds East, 110.83; thence South 15 degrees 25 minutes 50 seconds East, 60.00 feet to the Point of Beginning; thence continuing South 15 degrees 25 minutes 50 seconds East, 20.00 feet; thence southwesterly, 124.90 feet along a curve to the right having a radius of 1,889.57 feet, the chord of said curve bears South 76 degrees 27 minutes 47 seconds West, 124.88 feet; thence North 11 degrees 55 minutes 15 seconds West, 19.98 feet; thence North 78 degrees 04 minutes 45 seconds East, 9.15 feet; thence northeasterly, 114.52 feet along a curve to the left having a radius of 1,869.57 feet, the chord of said curve bears North 76 degrees 19 minutes 28 seconds East, 114.51 feet to the Point of Beginning.

Said parcel contains 0.057 acre, more or less.

Section: 07-00357-00-CH
Parcel No. 1JU0002 TE-2

That part of the North Half of the Southwest Quarter of Section 32, Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, lying southerly of the centerline of State Route 47 (except that part lying westerly of the centerline of Burlington Road), described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 1,318.71 feet along said centerline; thence North 58 degrees 24 minutes 37 seconds East, 50.00 feet; thence North 31 degrees 35 minutes 23 seconds West, 75.00 feet to the Point of Beginning; thence continuing North 31 degrees 35 minutes 23 seconds West, 25.00 feet; thence North 58 degrees 24 minutes 37 seconds East, 10.00 feet; thence North 31 degrees 35 minutes 23 seconds West, 180.00 feet; thence North 50 degrees 36 minutes 41 seconds East, 10.09 feet; thence South 31 degrees 35 minutes 23 seconds East, 206.37 feet; thence South 58 degrees 24 minutes 37 seconds West, 20.00 feet, to the Point of Beginning.

Said parcel contains 0.053 acre, more or less.

Section: 07-00357-00-CH
Parcel No. 1JU0002 TE-3

That part of the North Half of the Southwest Quarter of Section 32, Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, lying southerly of the centerline of State Route 47 (except that part lying westerly of the centerline of Burlington Road), described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 1,318.71 feet along said centerline; thence North 58 degrees 24 minutes 37 seconds East, 50.00 feet to the Point of Beginning; thence South 31 degrees 35 minutes 23 seconds East, 30.00 feet; thence South 58 degrees 24 minutes 37 seconds West, 17.00 feet; thence North 31 degrees 35 minutes 23 seconds West, 30.00 feet; thence North 58 degrees 24 minutes 37 seconds East, 17.00 feet to the Point of Beginning.

Said parcel contains 0.012 acre, more or less.

Section: 07-00357-00-CH
Parcel 1JU0003

That part of the North Half of the Southwest Quarter of Section 32 lying southerly of the centerline of State Route 47 and westerly of the centerline of Burlington Road, in Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 918.71 feet along said centerline to the centerline of Illinois Route 47 and to the Point of Beginning; thence continuing along said centerline, South 31 degrees 35 minutes 23 seconds East, 400.00 feet; thence South 58 degrees 24 minutes 37 seconds West, 55.00 feet; thence North 31 degrees 35 minutes 23 seconds West, 160.00 feet on a line 55.00 feet southwesterly of and parallel with said centerline; thence North 53 degrees 20 minutes 49 seconds West, 206.07 feet to a line 90.00 feet southeasterly of and parallel with the centerline of Illinois Route 47; thence South 78 degrees 04 minutes 45 seconds West, 192.65 feet along said parallel line; thence South 84 degrees 35 minutes 56

seconds West, 352.28 feet; thence North 11 degrees 55 minutes 15 seconds West, 50.00 feet to said centerline of Illinois Route 47; thence North 78 degrees 04 minutes 45 seconds East, 650.00 feet along said centerline, to the Point of Beginning.

Said parcel contains 1.756 acres, more or less, of which 0.879 acre, more or less, has been previously dedicated for highway purposes.

Section: 07-00357-00-CH
Parcel 1JU0003 TE-1

That part of the North Half of the Southwest Quarter of Section 32 lying southerly of the centerline of State Route 47 and westerly of the centerline of Burlington Road, in Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 1,318.71 feet along said centerline; thence South 58 degrees 24 minutes 37 seconds West, 55.00 feet; thence North 31 degrees 35 minutes 23 seconds West, 100.00 feet to the Point of Beginning; thence South 58 degrees 24 minutes 37 seconds West, 10.00 feet; thence North 31 degrees 35 minutes 23 seconds West, 58.08 feet; thence North 53 degrees 20 minutes 49 seconds West, 212.97 feet; thence North 78 degrees 04 minutes 45 seconds East, 13.34 feet; thence South 53 degrees 20 minutes 49 seconds East, 206.07 feet; thence South 31 degrees 35 minutes 23 seconds East, 60.00 feet to the Point of Beginning.

Said parcel contains 0.062 acre, more or less.

Section: 07-00357-00-CH
Parcel 1JU0003 TE-2

That part of the North Half of the Southwest Quarter of Section 32 lying southerly of the centerline of State Route 47 and westerly of the centerline of Burlington Road, in Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 1,318.71 feet along said centerline; thence South 58 degrees 24 minutes 37 seconds West, 33.00 feet to the Point of Beginning; thence South 31 degrees 35 minutes 23 seconds East, 30.00 feet; thence South 58 degrees 24 minutes 37 seconds West, 17.00 feet; thence North 31 degrees 35 minutes 23 seconds West, 30.00 feet; thence North 58 degrees 24 minutes 37 seconds East, 17.00 feet to the Point of Beginning.

Said parcel contains 0.012 acre, more or less.

Section: 07-00357-00-CH
Parcel 1JU0004 TE-1

That part of the North Half of the Southwest Quarter of Section 32, lying northerly of the centerline of State Route 47 and westerly of the centerline of Burlington Road, in Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 543.71 feet along said centerline; thence South 58 degrees 24 minutes 37 seconds West, 33.00 feet to the Point of Beginning; thence continuing South 58 degrees 24 minutes 37 seconds West, 15.00 feet; thence North 31 degrees 35 minutes 23 seconds West, 50.00 feet; thence North 58 degrees 24 minutes 37 seconds East, 15.00 feet; thence South 31 degrees 35 minutes 23 seconds East, 50.00 feet to the Point of Beginning.

Said parcel contains 0.017 acre, more or less.

Section: 07-00357-00-CH
Parcel No. 1JU0004 TE-2

That part of the North Half of the Southwest Quarter of Section 32, lying northerly of the centerline of State Route 47 and westerly of the centerline of Burlington Road, in Township 41 North, Range 7 East of the Third Principal Meridian, in Kane County, Illinois, described as follows:

Commencing at the northwest corner of said Southwest Quarter; thence on an assumed bearing of North 88 degrees 30 minutes 59 seconds East, 236.44 feet along the north line of said Southwest Quarter to the centerline of Burlington Road; thence South 31 degrees 35 minutes 23 seconds East, 543.71 feet along said centerline; thence South 58 degrees 24 minutes 37 seconds West, 55.00 feet; thence South 31 degrees 35 minutes 23 seconds East, 25.00 feet to the Point of Beginning; thence continuing South 31 degrees 35 minutes 23 seconds East, 200.00 feet; thence South 44 degrees 43 minutes 48 seconds West, 10.29 feet; thence North 31 degrees 35 minutes 23 seconds West, 202.43 feet; thence North 58 degrees 24 minutes 37 seconds East, 10.00 feet to the Point of Beginning.

Said parcel contains 0.046 acre, more or less.



AGENDA ITEM EXECUTIVE SUMMARY

Agenda Item # 12-150

☒ Resolution
☐ Ordinance

Name Authorizing Acquisition of Highway Right of Way, Fabyan Parkway (County Highway No. 8) from Nagel Boulevard to Illinois Route 25, Kane County Section No. 10-00404-00-CH (5 Parcels)

Presenter/Sponsor: Steve Coffinbargar, KDOT

Budget Information: Was this item budgeted? ☒ Yes ☐ No ☐ N/A

Appropriation Amount: N/A

If not budgeted,
explain funding
source

SUMMARY: The proposed Fabyan Parkway from Nagel Boulevard to IL Route 25 project consists of widening Fabyan Parkway widened to accommodate a continuous two way left turn lane from IL 25 to Nagel Boulevard. Right of way is required from five properties to complete the proposed improvements. These are summarized in the following table:

Parcel Number	Part Taken (acres)
0001 TE	0.008 acres
0002 TE	0.021 acres
0003 TE	0.002 acres
0004 TE	0.017 acres
0005 TE	0.017 acres

Attachments: Resolution, Plat of Highways, Location Map

Detailed information available from :

Staff Name: Steve Coffinbargar

Phone: 630-406-7170

Resolution/Ordinance Tracking:

Assigned Committee: Transportation Passed Sent to: Executive on: 04/23/2012

If Other, specify:

Committee Remarks:

Next Committee: Executive Passed Sent to: County Board on: 05/02/2012

If Other, specify:

Committee Remarks:

Next Committee: County Board Passed Sent to: on:

Committee Remarks:

County Board Date: 05/08/2012

STATE OF ILLINOIS

COUNTY OF KANE

RESOLUTION NO. 12 - 150

**AUTHORIZING ACQUISITION OF HIGHWAY RIGHT OF WAY
FABYAN PARKWAY (COUNTY HIGHWAY NO. 8)
FROM NAGEL BOULEVARD TO ILLINOIS ROUTE 25
KANE COUNTY SECTION NO. 10-00404-00-CH (5 PARCELS)**

WHEREAS, the County of Kane is authorized by law, pursuant to Illinois Compiled Statutes, 605 ILCS 5/5-801 *et seq* (2008) to acquire by negotiated purchase or eminent domain proceedings, real property for highway purposes; and

WHEREAS, the County of Kane has jurisdiction over Kane County Highway No. 8, also referred to as Fabyan Parkway, within the boundaries of the County of Kane; and

WHEREAS, the County of Kane deems it necessary and appropriate to improve Kane County Highway No. 8 (Fabyan Parkway) from Nagel Boulevard to Illinois Route 25; and

WHEREAS, Fabyan Parkway and the planned improvements therefor, has been surveyed, laid out and projected over certain lands situated in Kane County, Illinois. It is deemed by the County of Kane that certain parcels of land and, in particular the parcels described herein in Exhibit "A" (copy of which is on file with the County Clerk of Kane County and which is incorporated herein and made a part hereof), which are located within the limits of Kane County should be acquired by negotiated purchase and, negotiation failing, by eminent domain proceedings for highway purposes.

NOW, THEREFORE, BE IT RESOLVED by the Kane County Board:

1. That it is hereby determined by the County Board of Kane County that the real property described herein in Exhibit "A" be used, occupied and improved for highway purposes in a manner necessary and convenient for public use.
2. That it is further hereby determined it is necessary and proper that the County of Kane acquire title to or other appropriate interest in and possession of these parcels because said real property is necessary and required by the County of Kane for highway purposes and, more specifically, the improvement of Fabyan Parkway.
3. That the Chairman of the Kane County Board or her duly authorized representative are hereby authorized, empowered and directed to negotiate for and on behalf of the County of Kane with any and all owners of the property described herein or with any other individual possessing an interest therein for the purchase thereof upon such terms and conditions as shall be approved by the Transportation Committee of the Kane County Board and/or the County Board of Kane County.
4. In the event the County of Kane is unable to agree with the owner or owners, or any other party having an interest in the real property described herein regarding the compensation to be paid for said real property, then to the extent allowed by law, the County of Kane shall institute proceedings to acquire the property by eminent domain pursuant to the applicable provisions of the eminent domain laws of the State of Illinois. Further, in the event the County of Kane is unable to agree with the owner or owners, or any other party having an interest in the real property described herein regarding the compensation to be paid for said real property, then the County of Kane hereby directs the States Attorney of Kane County to

institute eminent domain proceedings in a court of competent jurisdiction to acquire title to and possession of said real property in the name of the County of Kane.

Passed by the Kane County Board on May 8, 2012.

John A. Cunningham
Clerk, County Board
Kane County, Illinois

Karen McConnaughay
Chairman, County Board
Kane County, Illinois

Vote:
Yes _____
No _____
Voice _____
Abstentions _____

5FBYN-NGLTOIL25ROW.4LH

EXHIBIT "A"

Section No. 10-00404-00-CH
Parcel No. 0001 TE

That part of Lot 3 of Batavia Highlands Unit No. 4, being a subdivision of part of the Northeast Quarter of Section 15 and the Northwest Quarter of Section 14, Township 39 North, Range 8 East of the Third Principal Meridian in the City of Batavia, Kane County, Illinois, described as follows:

Beginning at the northeast corner of said Lot 3; thence South 14 degrees 50 minutes 48 seconds East, 10.00 feet, on an assumed bearing along the east line of said Lot 3; thence South 75 degrees 08 minutes 54 seconds West, 35.00 feet, parallel with the north line of said Lot 3; thence North 14 degrees 51 minutes 06 seconds West, 10.00 feet to said north line of Lot 3; thence North 75 degrees 08 minutes 54 seconds East, 35.00 feet along said north line to the Point of Beginning.

Said parcel contains 0.008 acre (350 square feet), more or less.

Section No. 10-00404-00-CH
Parcel No. 0002 TE

That part of Lot 4 of Batavia Highlands Unit No. 4, being a subdivision of part of the Northeast Quarter of Section 15 and the Northwest Quarter of Section 14, Township 39 North, Range 8 East of the Third Principal Meridian in the City of Batavia, Kane County, Illinois, described as follows:

Beginning at the northeast corner of said Lot 4; thence South 14 degrees 51 minutes 06 seconds East, 20.00 feet, on an assumed bearing along the east line of said Lot 4; thence South 75 degrees 08 minutes 54 seconds West, 45.00 feet, parallel with the north line of said Lot 4; thence North 14 degrees 51 minutes 06 seconds West, 20.00 feet to said north line of Lot 4; thence North 75 degrees 08 minutes 54 seconds East, 45.00 feet along said north line to the Point of Beginning.

Said parcel contains 0.021 acre (900 square feet), more or less.

Section No. 10-00404-00-CH
Parcel No. 0003 TE

That part of Lots 6 and 8 of Batavia Highlands Unit No. 4, being a subdivision of part of the Northeast Quarter of Section 15 and the Northwest Quarter of Section 14, Township 39 North, Range 8 East of the Third Principal Meridian in the City of Batavia, Kane County, Illinois, described as follows:

Commencing at the northwest corner of said Lot 6; thence North 75 degrees 10 minutes 03 seconds East, 90.49 feet, on an assumed bearing along the north line of said Lot 6 to the Point of Beginning; thence continuing North 75 degrees 10 minutes 03 seconds East, 10.35 feet along said north line; thence South 12 degrees 49 minutes 58 seconds East, 10.01 feet; thence South 75 degrees 10 minutes 03 seconds West, 10.00 feet, parallel with said north line of Lot 6; thence North 14 degrees 49 minutes 57 seconds West, 10.00 feet to the Point of Beginning.

Said parcel contains 0.002 acre (102 square feet), more or less.

Section No. 10-00404-00-CH
Parcel No. 0004 TE

That part of Lot 7 and part of Lots 6 and 8 of Batavia Highlands Unit No. 4, being a subdivision of part of the Northeast Quarter of Section 15 and the Northwest Quarter of Section 14, Township 39 North,

Range 8 East of the Third Principal Meridian in the City of Batavia, Kane County, Illinois, described as follows:

Commencing at the northeast corner of said Lot 7; thence South 75 degrees 10 minutes 03 seconds West, 54.53 feet, on an assumed bearing along the north line of said Lot 7 to the Point of Beginning; thence South 14 degrees 49 minutes 57 seconds East, 15.00 feet; thence South 75 degrees 10 minutes 03 seconds West, 50.00 feet, parallel with the north line of said Lots 6 and 7; thence North 12 degrees 49 minutes 58 seconds West, 15.01 feet to said north line of Lots 6 and 7; thence North 75 degrees 10 minutes 03 seconds East, 49.48 feet along said north line to the Point of Beginning.

Said parcel contains 0.017 acre (746 square feet), more or less.

Section No. 10-00404-00-CH

Parcel No. 0005 TE

That part of Lot A of Batavia Highlands Unit No. 4, being a subdivision of part of the Northeast Quarter of Section 15 and the Northwest Quarter of Section 14, Township 39 North, Range 8 East of the Third Principal Meridian in the City of Batavia, Kane County, Illinois, described as follows:

Commencing at the northeast corner of said Lot A; thence South 75 degrees 10 minutes 03 seconds West, 23.41 feet, on an assumed bearing along the north line of said Lot A to the Point of Beginning; thence South 14 degrees 49 minutes 57 seconds East, 15.00 feet; thence South 75 degrees 10 minutes 03 seconds West, 50.00 feet, parallel with said north line of Lot A; thence North 14 degrees 49 minutes 57 seconds West, 15.00 feet to said north line; thence North 75 degrees 10 minutes 03 seconds East, 50.00 feet along said north line to the Point of Beginning.

Said parcel contains 0.017 acre (750 square feet), more or less.

Amendment to Kane County Board Rules

Sec. 28-48. Standing Committees

(12) Task Force

A Task force may be formed to consider items outside the realm of a Standing Committee by the Kane County Board Chairman or the Executive Committee of the Kane County Board.

The formation of the Task Force, as well as its membership, must be approved by the majority of the Kane County Board. Any Task Force involving Kane County Board members must include members from both political parties. Minutes will be taken and posted of all Task Force meetings and the Task Force must conform to all aspects of the Open Meetings Act.